

Annecey Neutrino GDR, 13 March 2007

# Sterile Neutrinos

(in all sauces)

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with:

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based on:

NPB 708 (2005) 215, hep-ph/0403158

JCAP 12 (2006) 013, hep-ph/0607086

PRD 74 (2006) 085015, astro-ph/0608206

# Why sterile neutrinos

We want to study light sterile neutrinos  $\nu_s$ .

$\mathcal{O}(\text{eV})$   
(why light?)

- spin 1/2 fermions,
- neutral under SM forces,
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- **invoked in phenomenology**

r-process nucleosynthesis

pulsar kicks

galactic ionization

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- invoked in phenomenology

- predicted in beyond SM models

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solar neutrino modulation...

right-handed neutrino

goldstino

majorino

axino

dilatino

branino

familino

modulino

mirror fermion...

**The discovery of a new light particle would be fundamental.**

# 3+1 = 4 neutrino mixing

Instead of a limited  $2\nu$  formalism  $\nu_l \rightarrow \cos \theta_s \nu_{l'} + \sin \theta_s \nu_s$

we want a full  $4\nu$  formalism, including active-active oscillations.

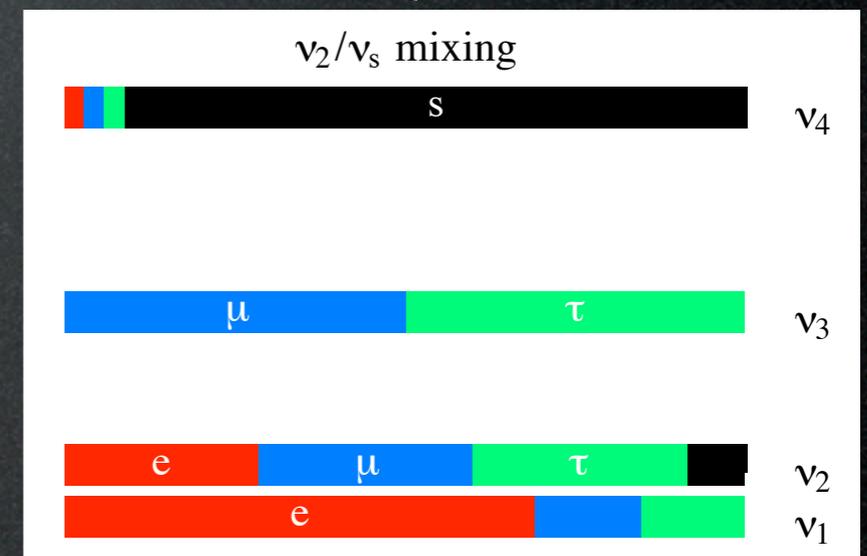
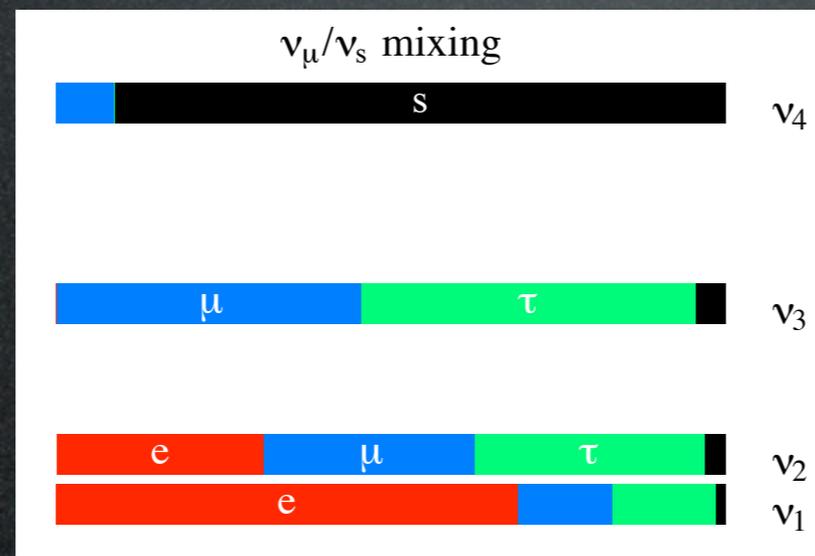
A simple **parametrization**: unit vector  $\vec{n}$  identifies a combination of  $\nu_{\text{active}}$

$$\vec{n} \cdot \vec{\nu} = n_e \nu_e + n_\mu \nu_\mu + n_\tau \nu_\tau = n_1 \nu_1 + n_2 \nu_2 + n_3 \nu_3$$

which mixes with  $\nu_s$  with an angle  $\theta_s$ ,

$\nu_s$  has a mass  $m_4$ .

Basic cases: mixing with a **flavor** eigenstate, or a **mass** eigenstate



Free parameters: given a case,  $m_4$  and  $\theta_s$ .

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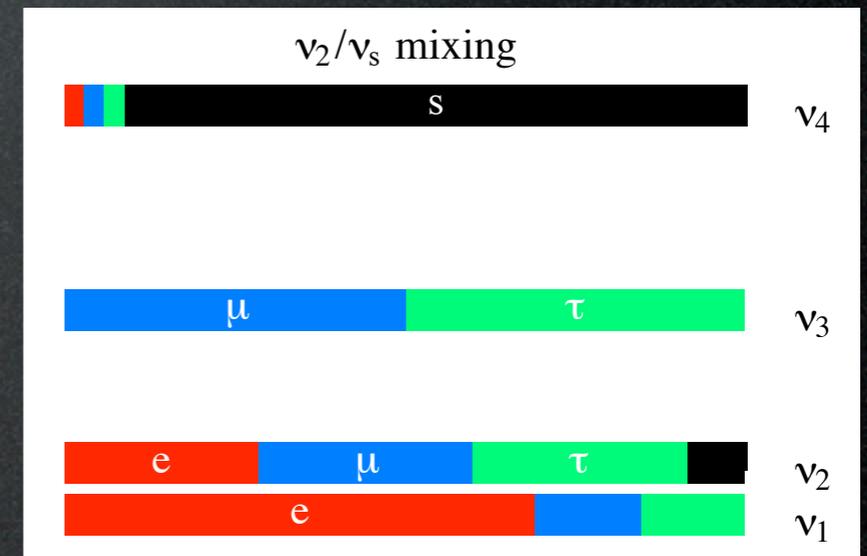
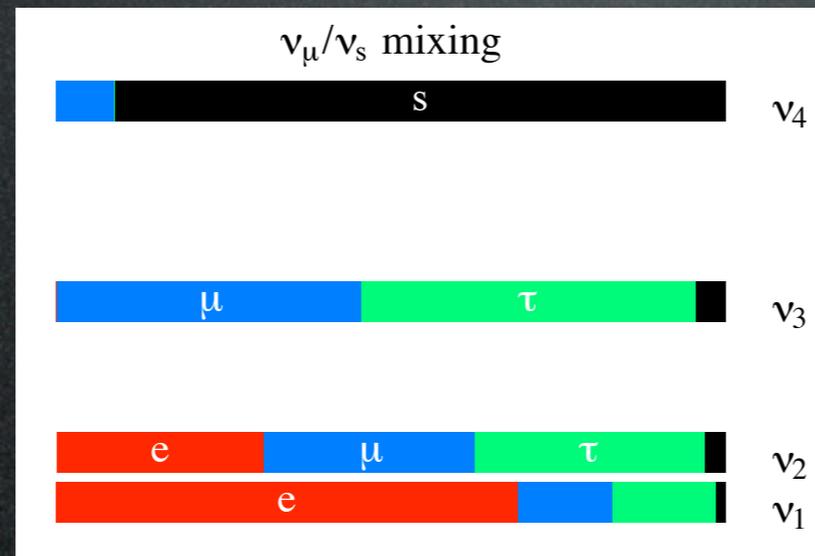
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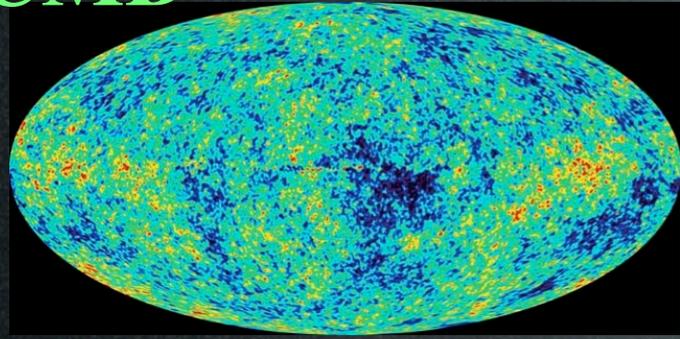
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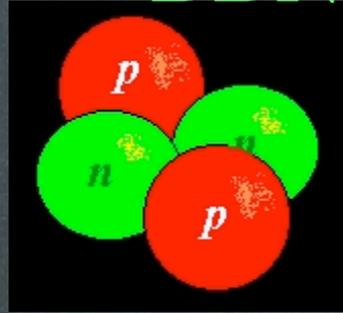
Free parameters: given a case,  $m_4$  and  $\theta_s$ .

Active parameters:  $\Delta m_{\text{sun}}^2, \Delta m_{\text{atm}}^2, \theta_{12}, \theta_{23}, \theta_{13} \equiv 0$ , norm hierarchy.

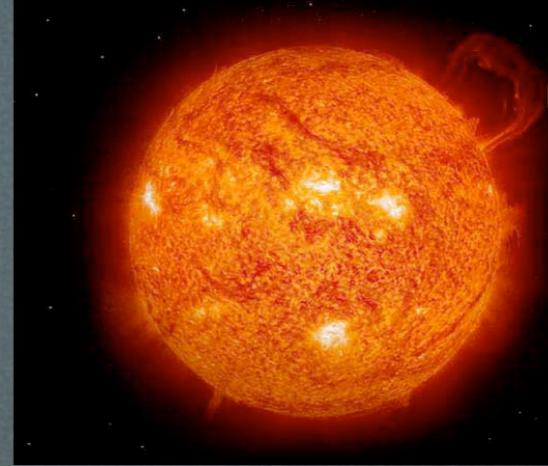
**CMB**



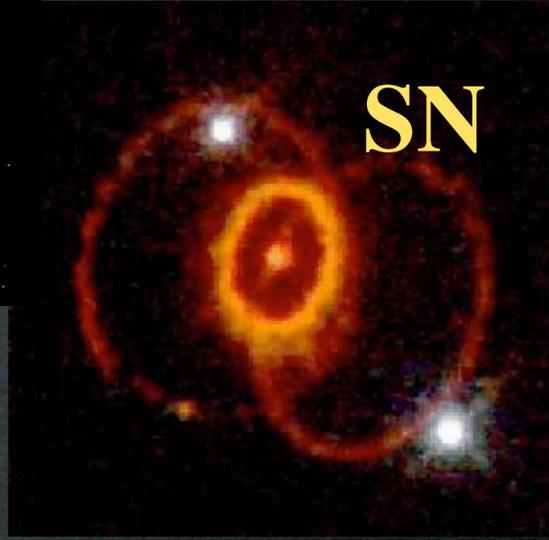
**BBN**



**Sun**



**SN**

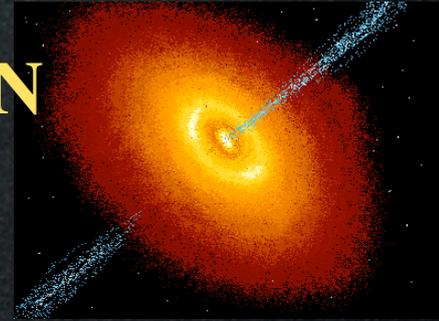


**LSS**

**Early Universe**

**Astrophysics**

**AGN**



**V S**

**Atmosphere**



**Atmo & Experiments**



**reactors**



**accelerators**

**SBL**



**Combined Results**

# Sterile neutrinos in cosmology

Neutrinos are important in the Early Universe because they are:

- a lot (as **abundant** as photons)
- the main component of the (relativistic) **energy density** that sets the **expansion scale**
- shaping the **growth of galaxies** via their free-streaming

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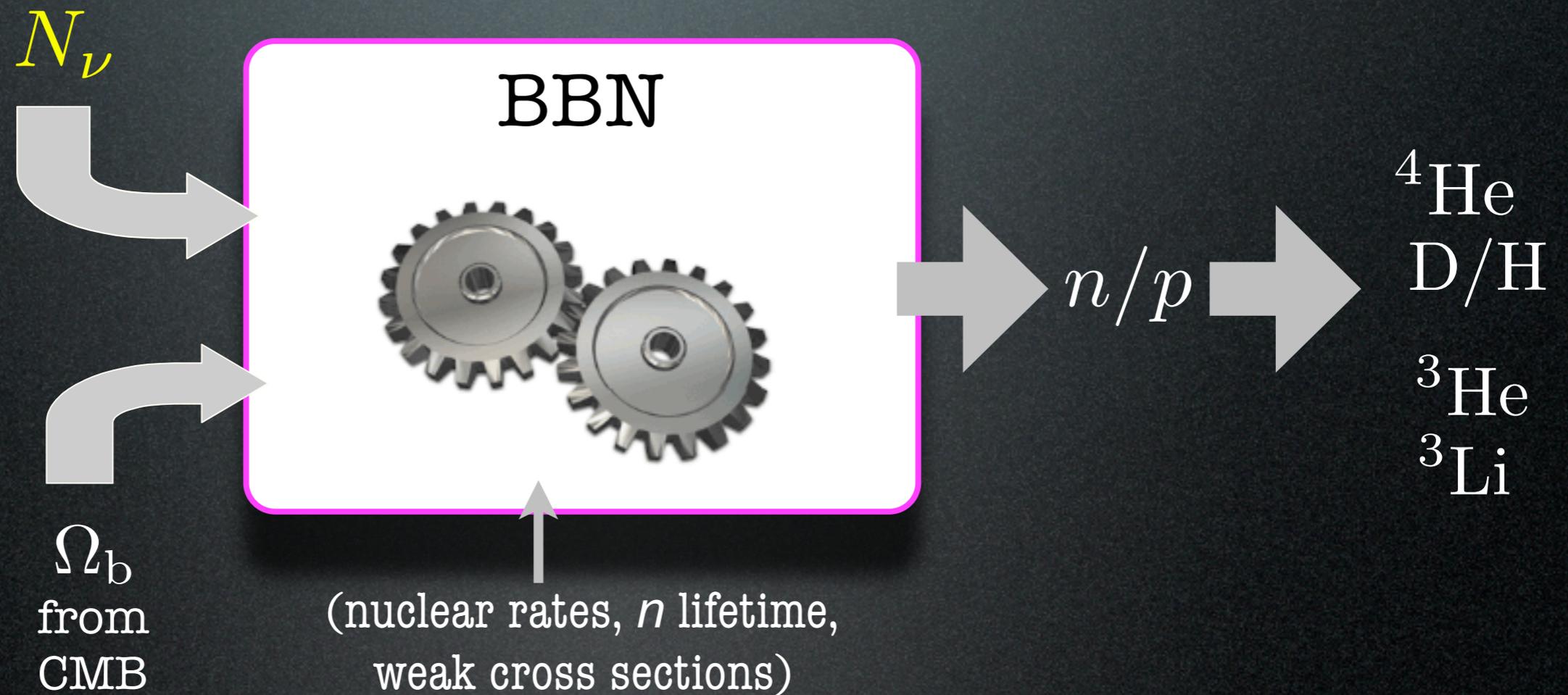
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- $T \sim \text{MeV}$
- flavor is important
- matter effects in the plasma

Bounds come: from **later cosmology (CMB, LSS)**

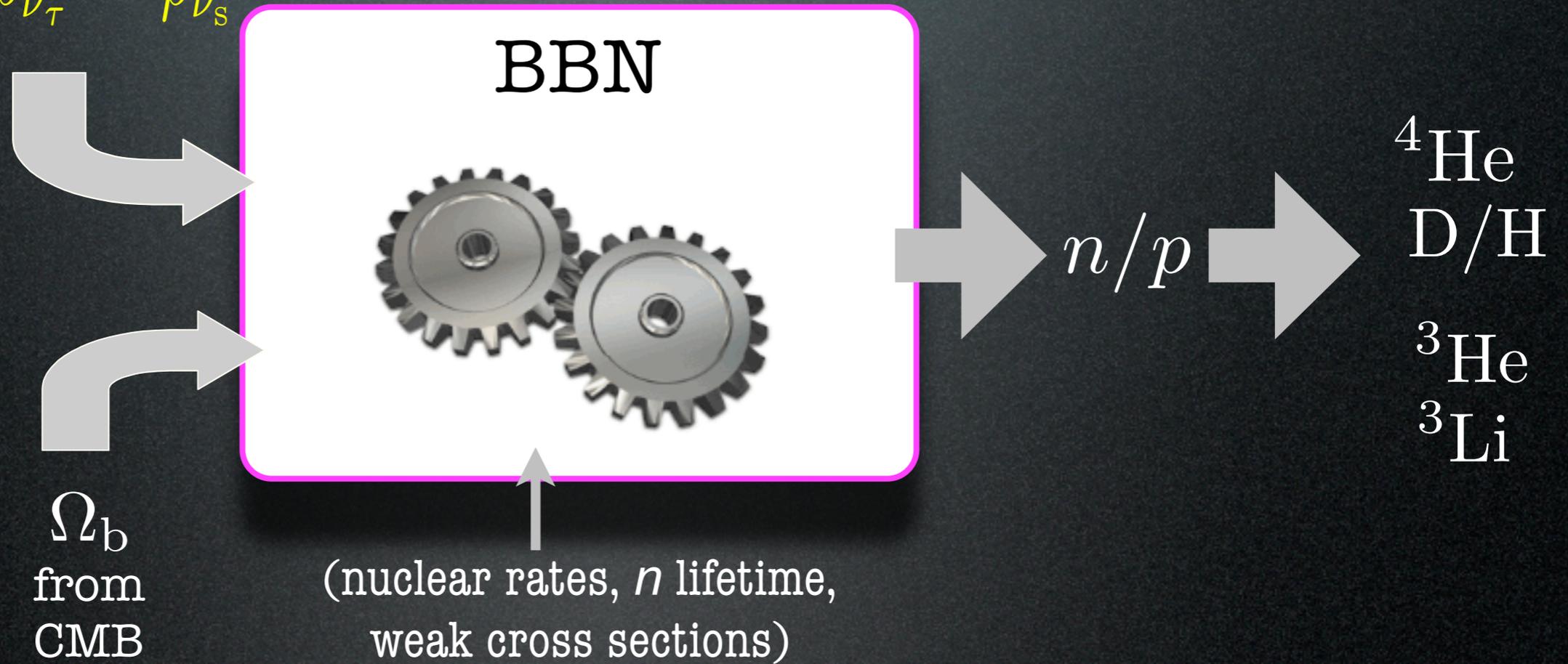
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- $m_\nu$  is important

# Big Bang Nucleosynthesis

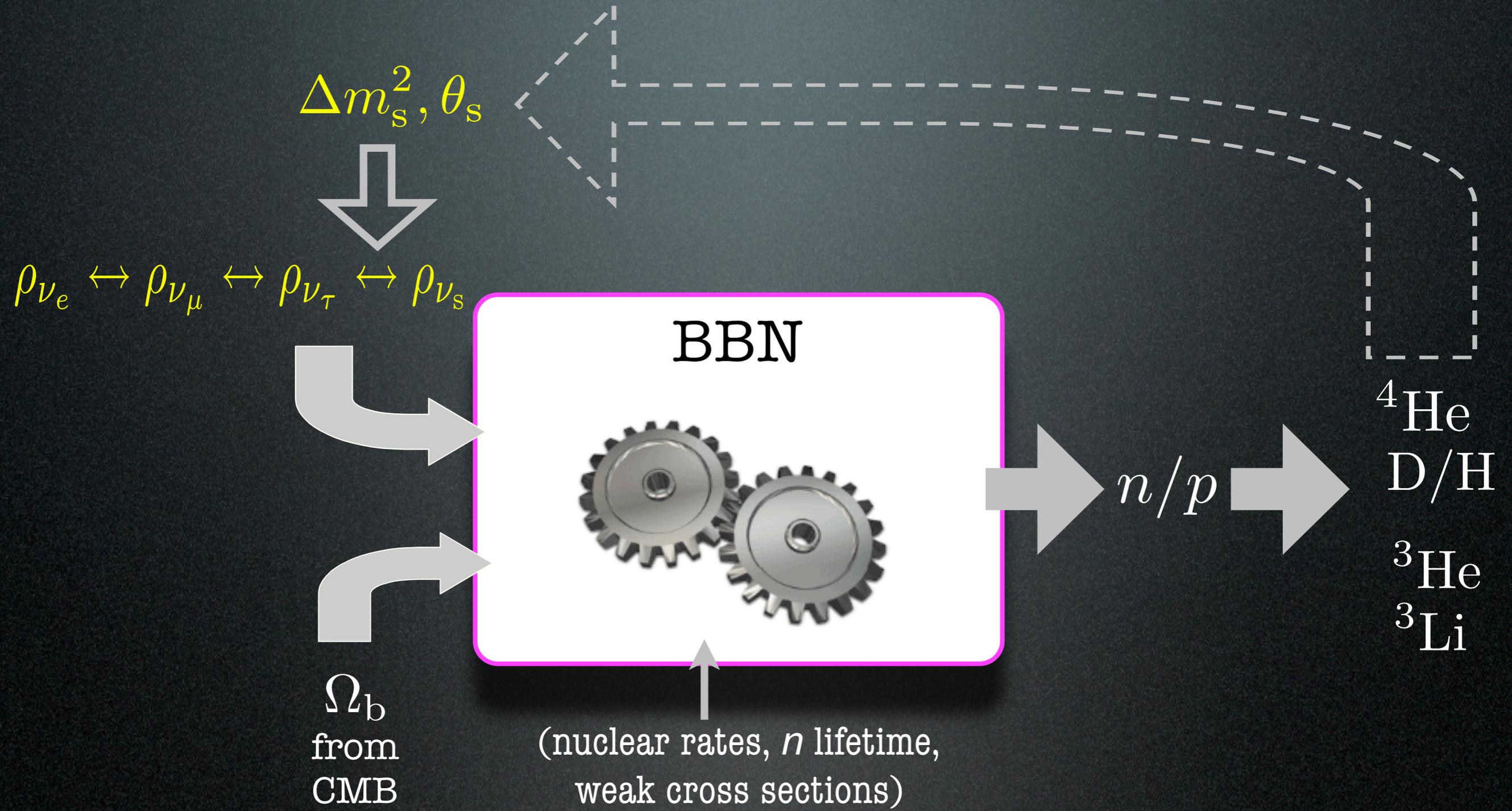


# Big Bang Nucleosynthesis

$$\rho_{\nu_e} \leftrightarrow \rho_{\nu_\mu} \leftrightarrow \rho_{\nu_\tau} \leftrightarrow \rho_{\nu_s}$$



# Big Bang Nucleosynthesis



For any choice of  $\Delta m_s^2, \theta_s$  a prediction from BBN.

# Big Bang Nucleosynthesis

For every choice of  $\Delta m_s^2, \theta_s$ ,  
for  $T \gg \text{MeV} \longrightarrow 0.07 \text{ MeV}$   
follow:

(BBN ends, les jeux sont faits)

- 1 kinetic equations for **neutrino densities**  
 $\rho_{\nu_e}, \rho_{\nu_\mu}, \rho_{\nu_\tau}, \rho_{\nu_s}$
- 2 equation for  **$n/p$**
- 3 equations of **light nuclei** ( $^4\text{He}$ , D) production

Assumptions:

- no large lepton asymmetries
- neglect spectral distortions Fuller et al., 2004-2006

# Big Bang Nucleosynthesis

## 1. Neutrino kinetic equations

4x4 neutrino density matrix  $\rho$

3. scatterings and absorptions

$$\frac{d\rho}{dt} \equiv \frac{dT}{dt} \frac{d\rho}{dT} = -i [\mathcal{H}_m, \rho] - \{\Gamma, (\rho - \rho^{\text{eq}})\}$$

Dolgov, 1981  
Barbieri, Dolgov 1990

2. oscillations

$$\mathcal{H}_m = \frac{1}{2E_\nu} [V \text{diag}(m_1^2, m_2^2, m_3^2, m_4^2) V^\dagger + E_\nu \text{diag}(V_e, V_\mu, V_\tau, 0)]$$

diag(1,1,1,0)

Active/sterile mixing parameters

1. expansion

$$\dot{T} \sim -H(T, \rho)T$$

Hubble parameter depends on

$$\rho_{\nu_e} + \rho_{\nu_\mu} + \rho_{\nu_\tau} + \rho_{\nu_s}$$

$$H = (8\pi/3 G_N \rho_{\text{tot}})^{1/2}$$

$$V_e = -\frac{199\sqrt{2}\pi^2}{180} \frac{\zeta(4)}{\zeta(3)} G_F \frac{T}{M_W^2} \left( T^4 + \frac{1}{2} T_\nu^4 \cos \theta_W \rho_{ee} \right)$$

$$V_\mu = -\frac{199\sqrt{2}\pi^2}{180} \frac{\zeta(4)}{\zeta(3)} G_F \frac{TT_\nu^4}{M_W^2} \left( \frac{1}{2} T_\nu^4 \cos \theta_W \rho_{\mu\mu} \right)$$

$$V_\tau = -\frac{199\sqrt{2}\pi^2}{180} \frac{\zeta(4)}{\zeta(3)} G_F \frac{TT_\nu^4}{M_W^2} \left( \frac{1}{2} T_\nu^4 \cos \theta_W \rho_{\tau\tau} \right)$$

$$V_s = 0$$

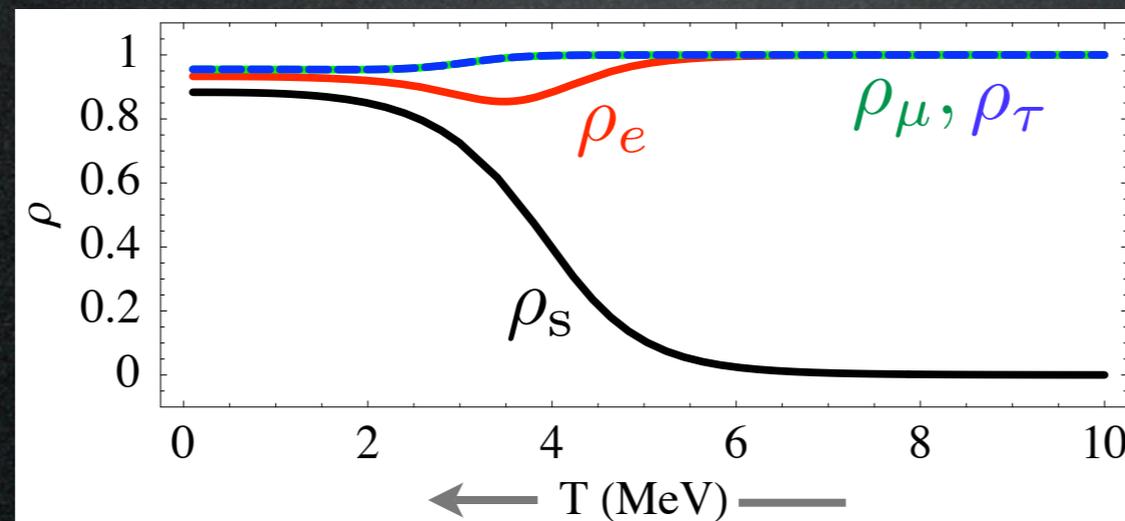
$\nu$  thermal masses

# Big Bang Nucleosynthesis

## 1. Neutrino kinetic equations

What happens qualitatively:

- for  $T \gg \text{MeV}$ , matter effects suppress mixing  $(\rho_{\nu_s} \simeq 0)$
- as  $T$  decreases, at a certain point oscillations  $\nu_{\text{active}} \leftrightarrow \nu_s$  can begin  $(\Delta m_s^2, \theta_s)$   $(\rho_{\nu_s} \nearrow)$
- + redistribution  $\nu_{\text{active}} \leftrightarrow \nu_{\text{active}}$
- meanwhile:  $\nu$  decouple at  $T \sim \text{MeV}$ ,  $e^+e^-$  annihilate...
- Output:  $\rho_{\nu_e}(T), \rho_{\nu_\mu}(T), \rho_{\nu_\tau}(T), \rho_{\nu_s}(T)$



$\nu_e/\nu_s$  mixing

$$\Delta m_s^2 = 6 \cdot 10^{-4} \text{ eV}^2$$

$$\tan^2 2\theta_s = 2 \cdot 10^{-1}$$

# Big Bang Nucleosynthesis

## 2. n/p ratio

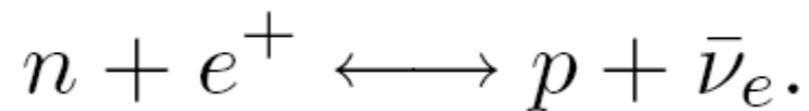
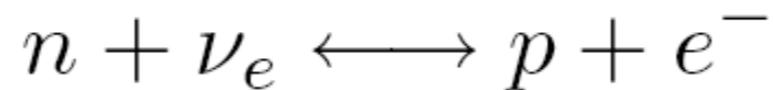
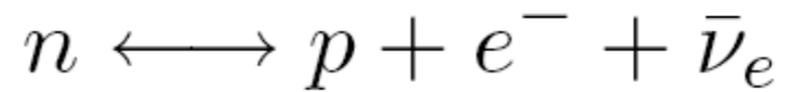
$$\dot{r} \equiv \frac{dT}{dt} \frac{dr}{dT} = \Gamma_{p \rightarrow n}(1 - r) - r\Gamma_{n \rightarrow p} \quad r = \frac{n_n}{n_n + n_p}$$

$$\dot{T} \sim -H(T, \rho)T$$

Hubble parameter  
depends on

$$\rho_{\nu_e} + \rho_{\nu_\mu} + \rho_{\nu_\tau} + \rho_{\nu_s}$$

weak interactions



depend on  $\rho_{\nu_e}, \rho_{\bar{\nu}_e}$

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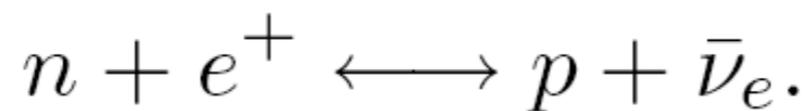
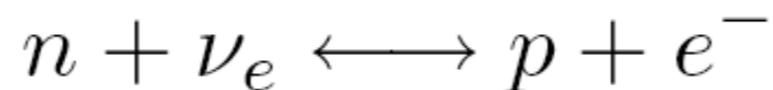
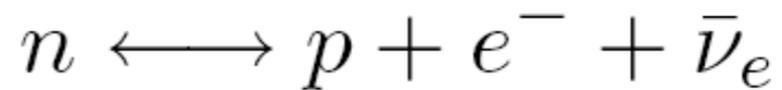
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So, where does a  $\nu_s$  enter the game?

(A) total energy density  $\Rightarrow$  expansion parameter

(B) depletion of  $\nu_e$  density  $\Rightarrow$  weak rates

# Big Bang Nucleosynthesis

## 3. Light elements production

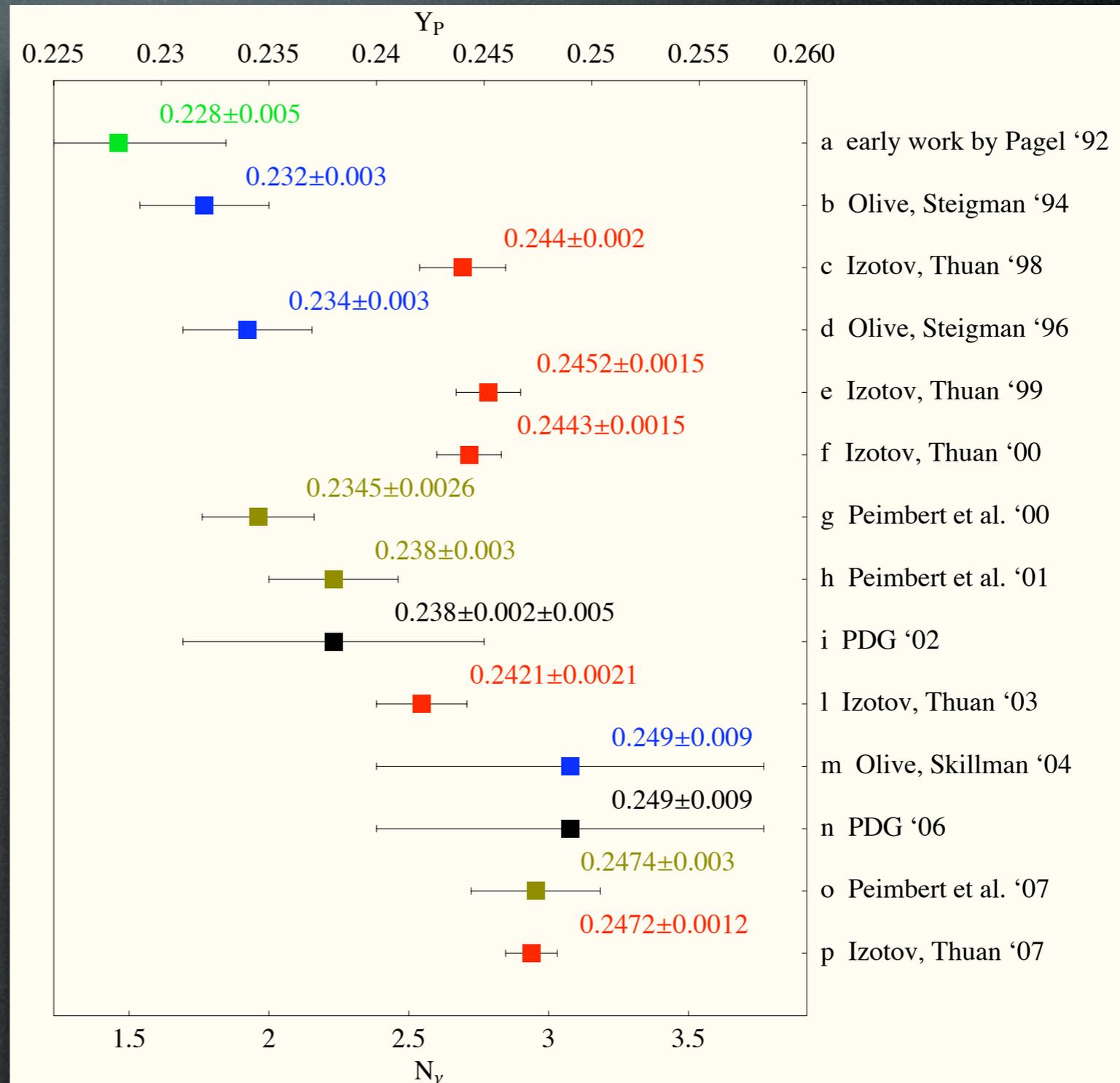
A network of Boltzmann equations with up-to-date nuclear rates...

## 4. Observations

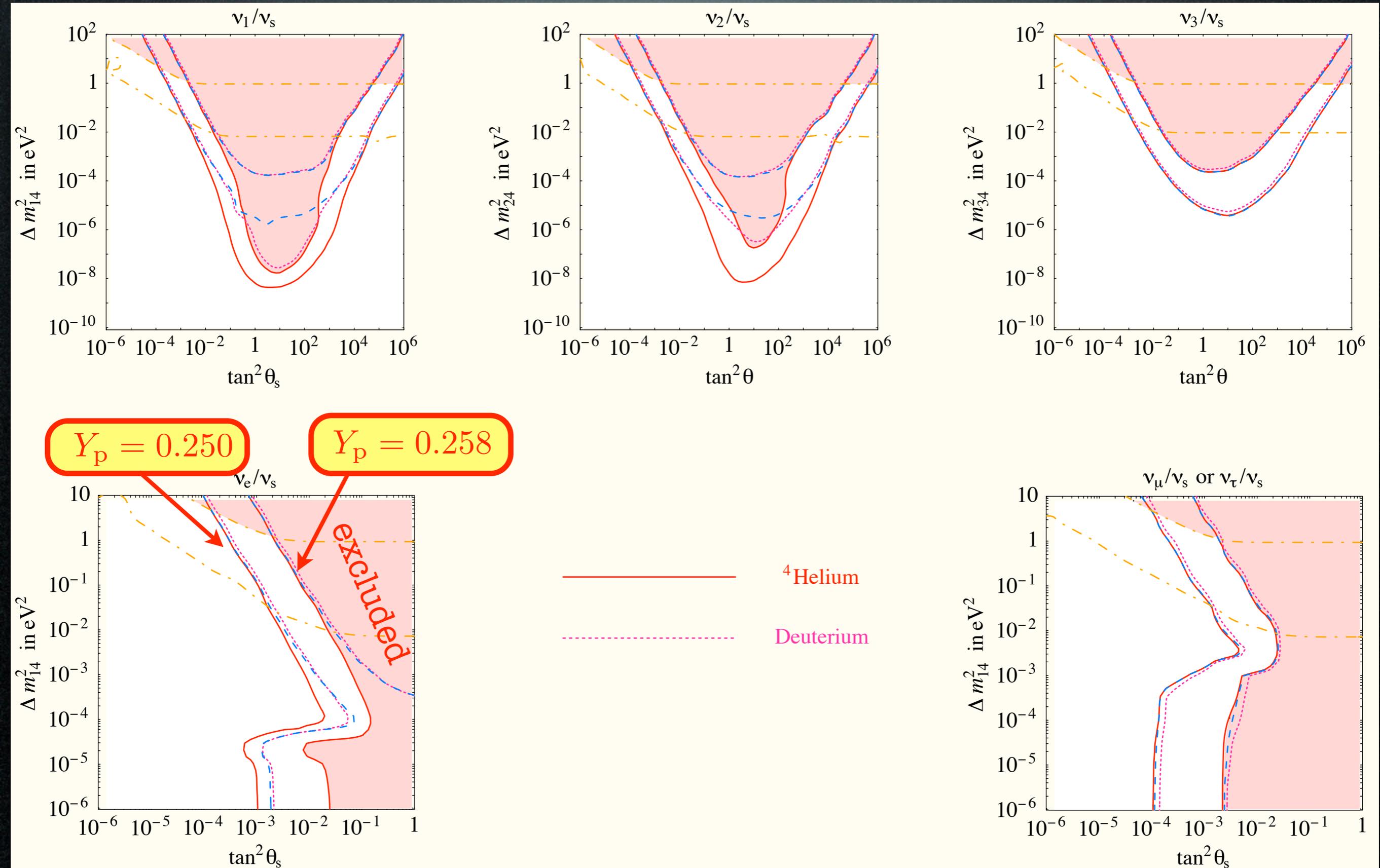
Determinations of primordial  ${}^4\text{He}$  are somehow controversial.

Conservatively, take  
 $Y_p = 0.249 \pm 0.009$

(Determinations of D/H are currently less useful.)



# Big Bang Nucleosynthesis



# LSND

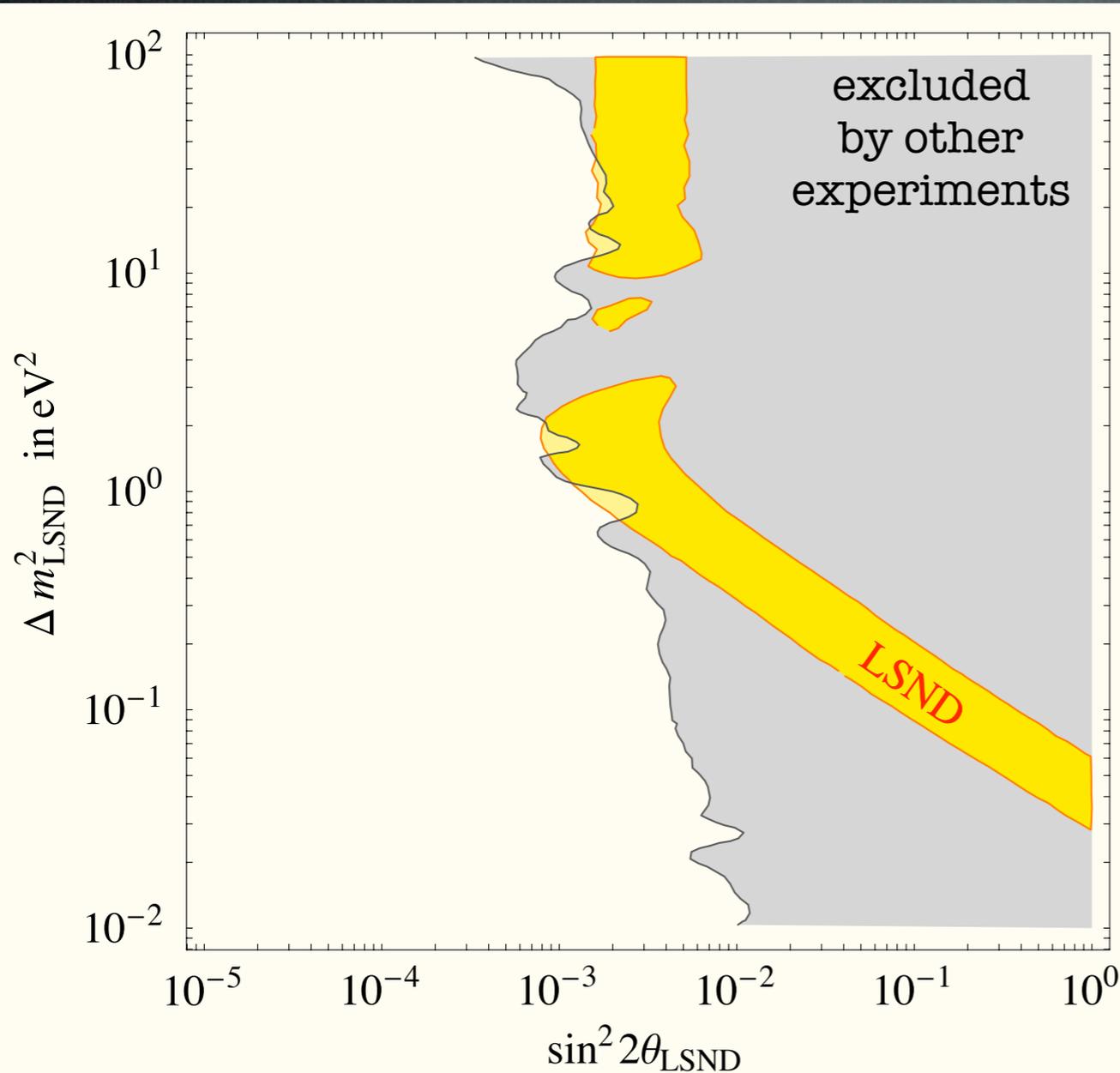
LSND claims evidence for  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  with  $\Delta m^2 \neq \Delta m_{\text{sun, atm}}^2$

Requires a new (sterile) neutrino:  $\bar{\nu}_\mu \rightarrow \bar{\nu}_s \rightarrow \bar{\nu}_e$

(if oscillations)

with mixing  $\vec{n} \simeq (\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}, 0)$   
i.e.  $\theta_{es}\theta_{\mu s} \simeq \theta_{\text{LSND}}$

$$\Delta m_{\text{LSND}}^2 \simeq 1 \text{ eV}^2$$
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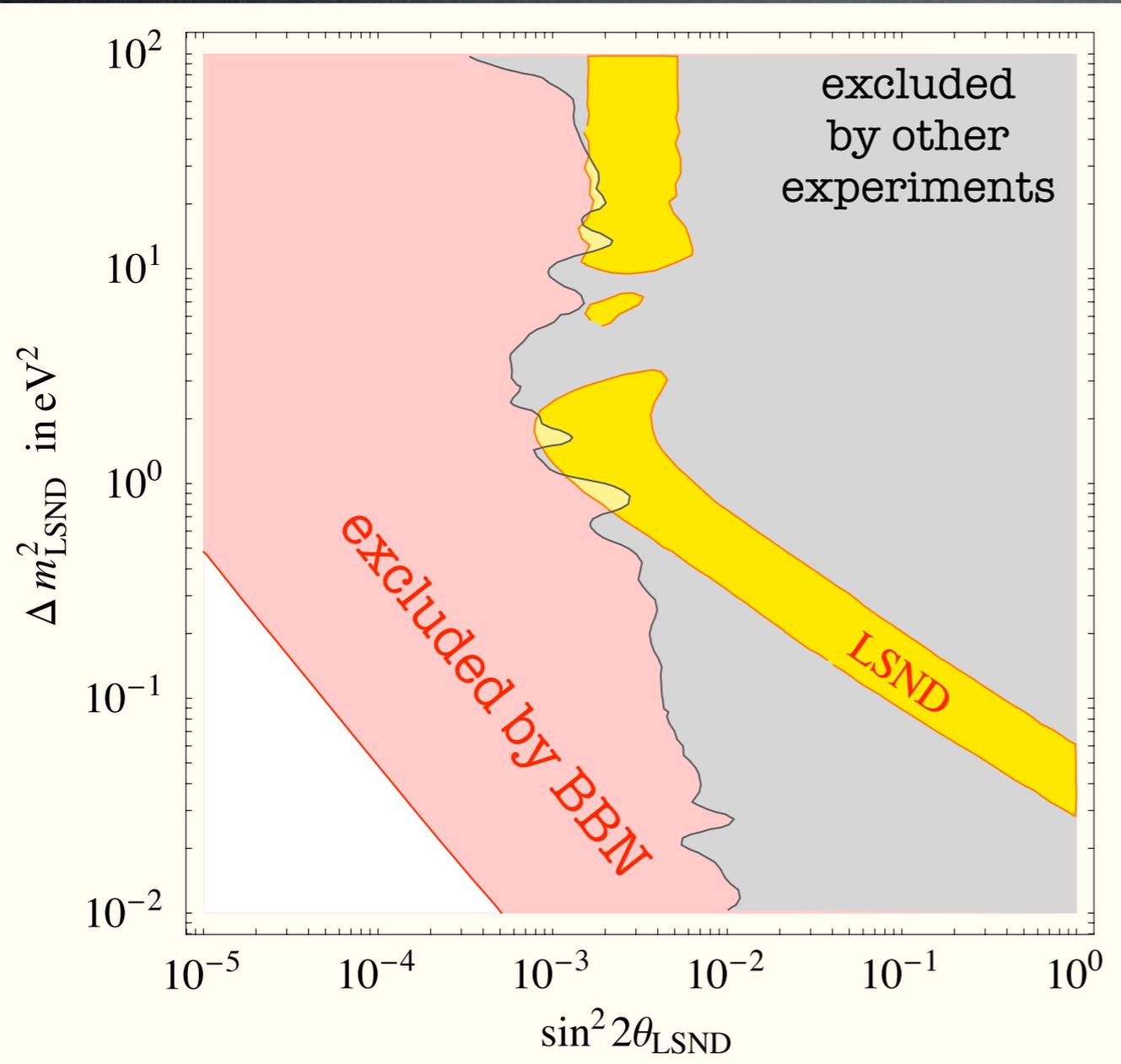
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BBN excludes the LSND  $\nu_s$   
(too much cosmo expansion)



# Sterile neutrinos in cosmology

Neutrinos are important in the Early Universe because they are:

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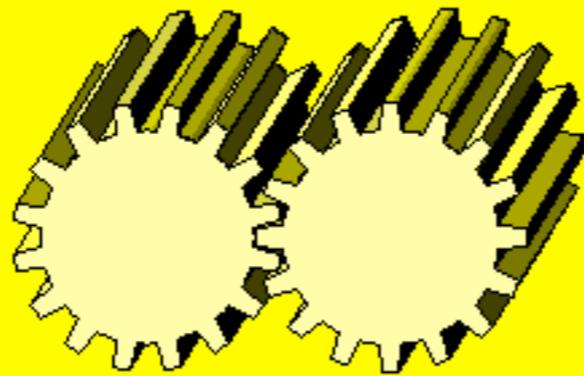
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# Cosmological Perturbations

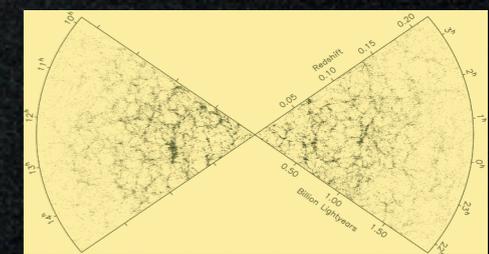
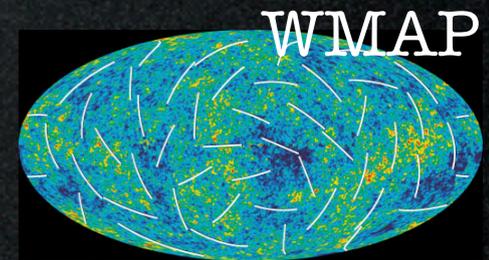
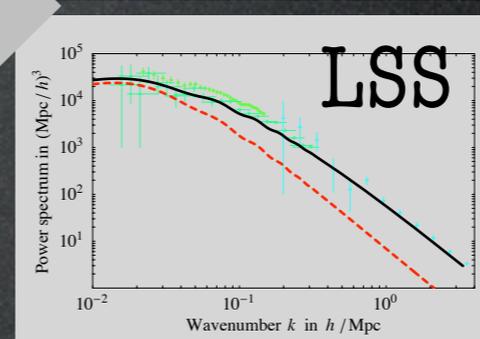
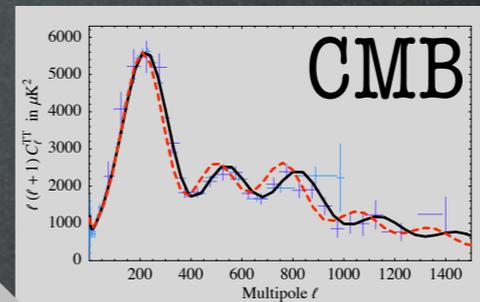
Neutrinos affect cosmological perturbations (CMB, LSS).

$$N_\nu$$
$$\sum m_\nu$$

cosmological  
perturbations  
evolution



$$\Omega_b, \Omega_{DM}, \tau,$$
$$A_s, H_0, n_s$$

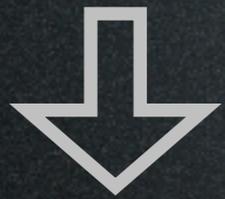


2dF, SDSS, Ly-A

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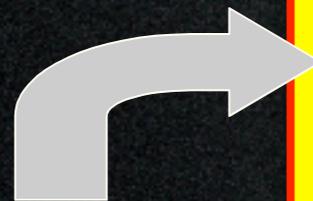
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$$\Delta m_s^2, \theta_s$$



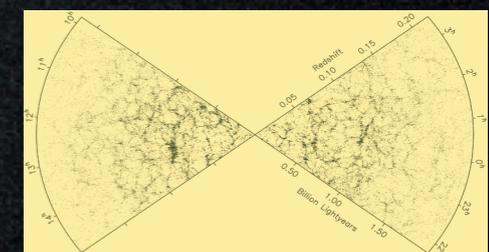
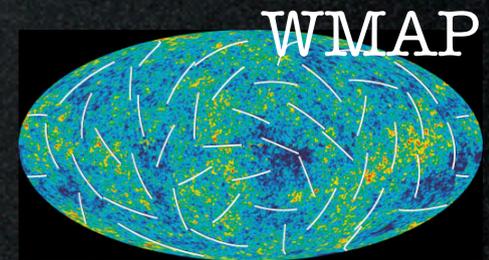
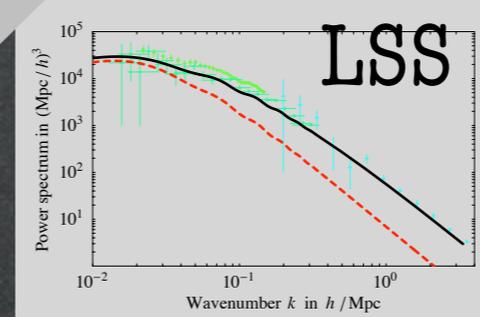
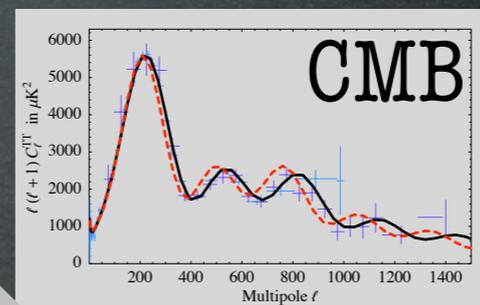
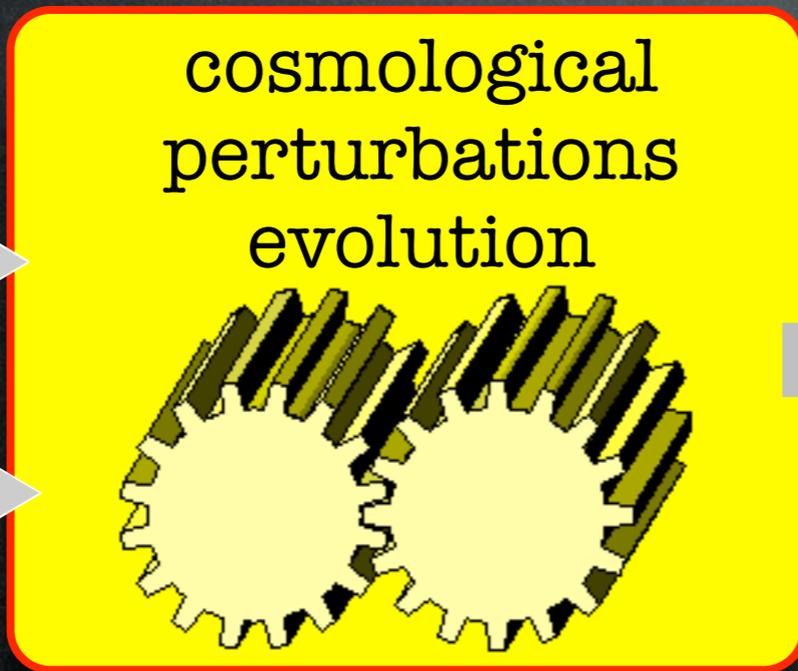
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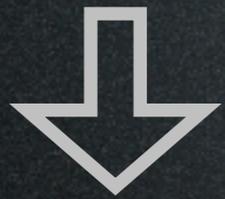


2dF, SDSS, Ly-A

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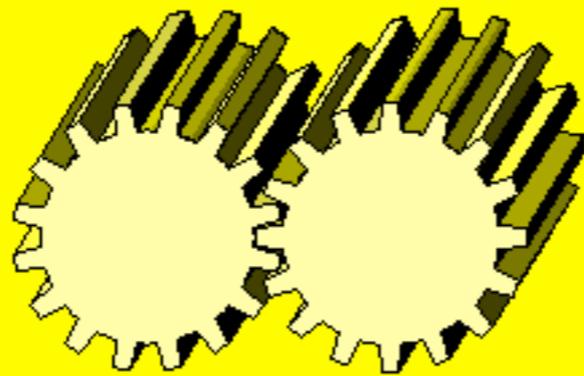


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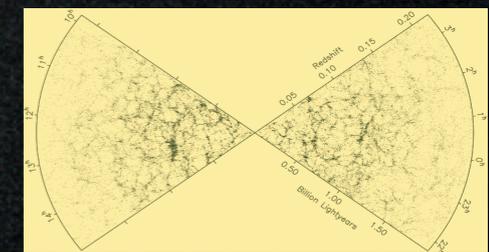
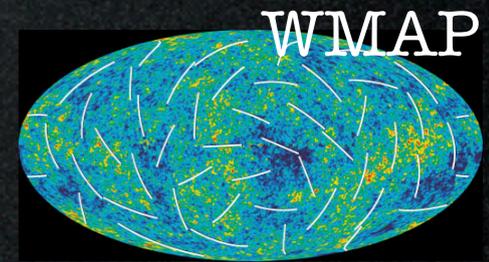
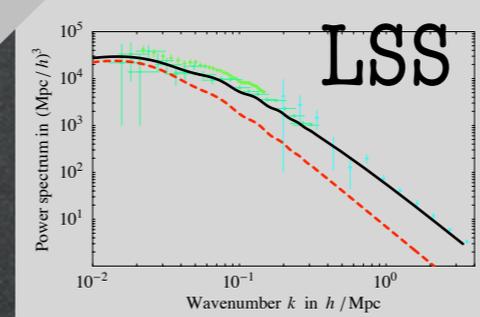
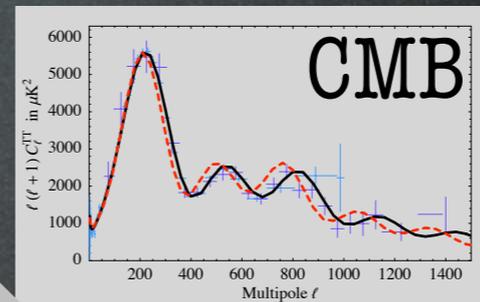


cosmological  
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CMBfast/CAMB

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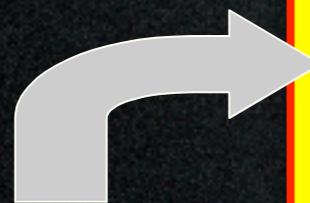
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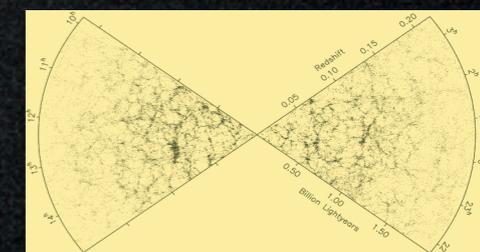
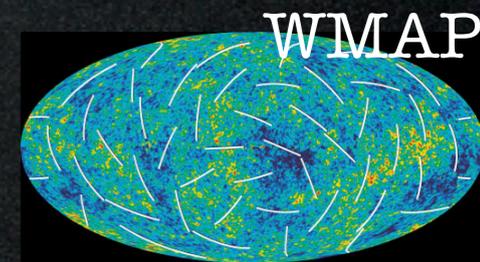
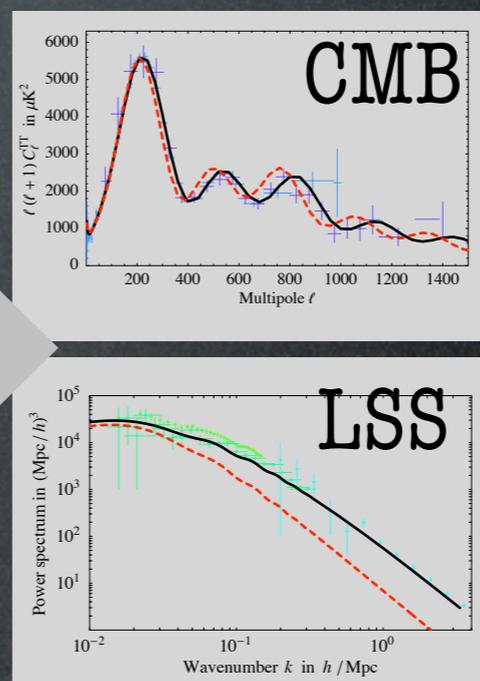
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cosmological perturbations evolution

our code



Cirelli,  
Strumia  
2006



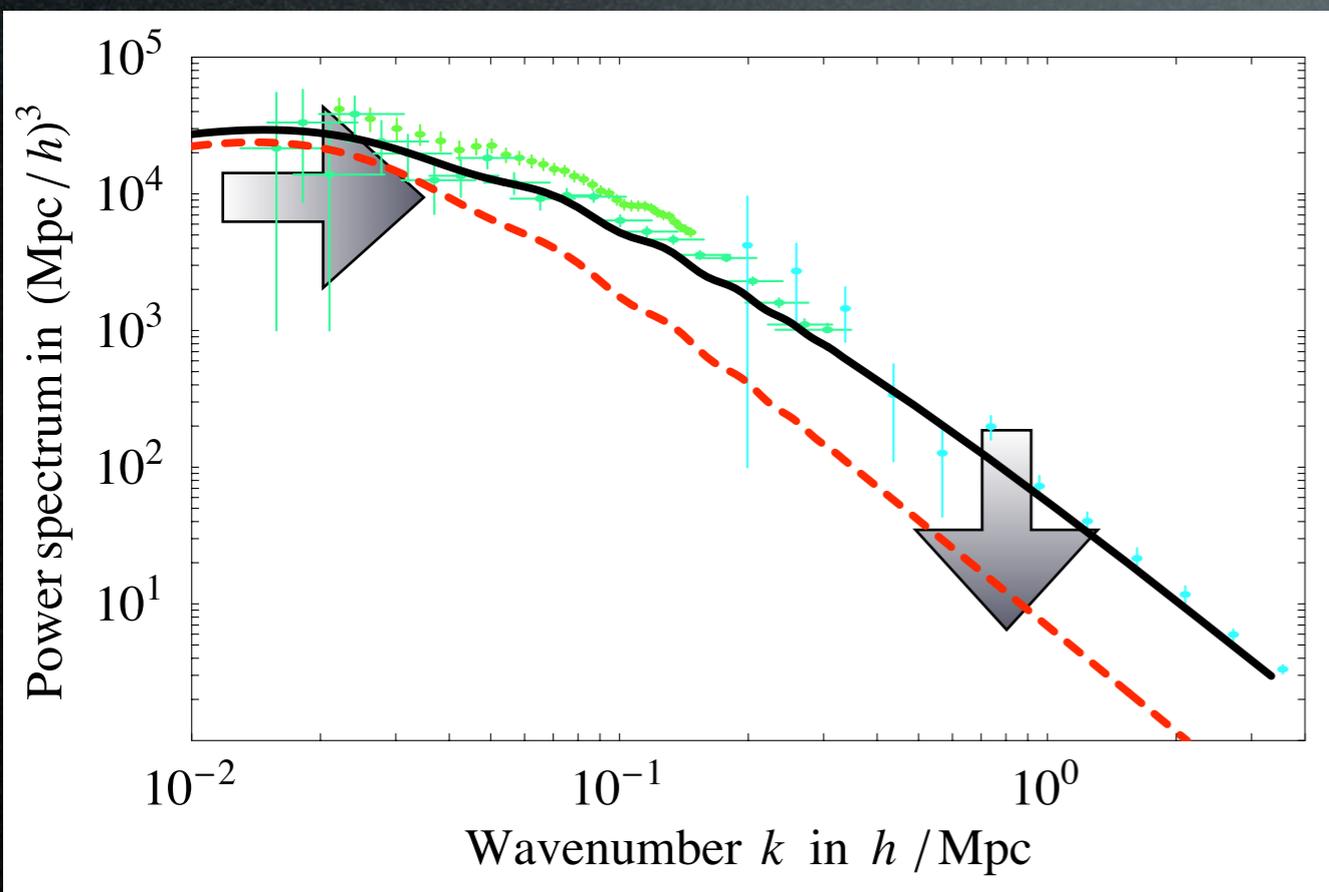
2dF, SDSS, Ly-A

# Cosmological Perturbations

Neutrinos affect cosmological perturbations (CMB, LSS).

Neutrino **free-streaming** suppresses the growth of LSS on small scales:

(more precisely: massive neutrinos contribute to the energy density of the Universe during MD but they don't source in the Newton equation for  $\delta_{\text{dm}}$ )



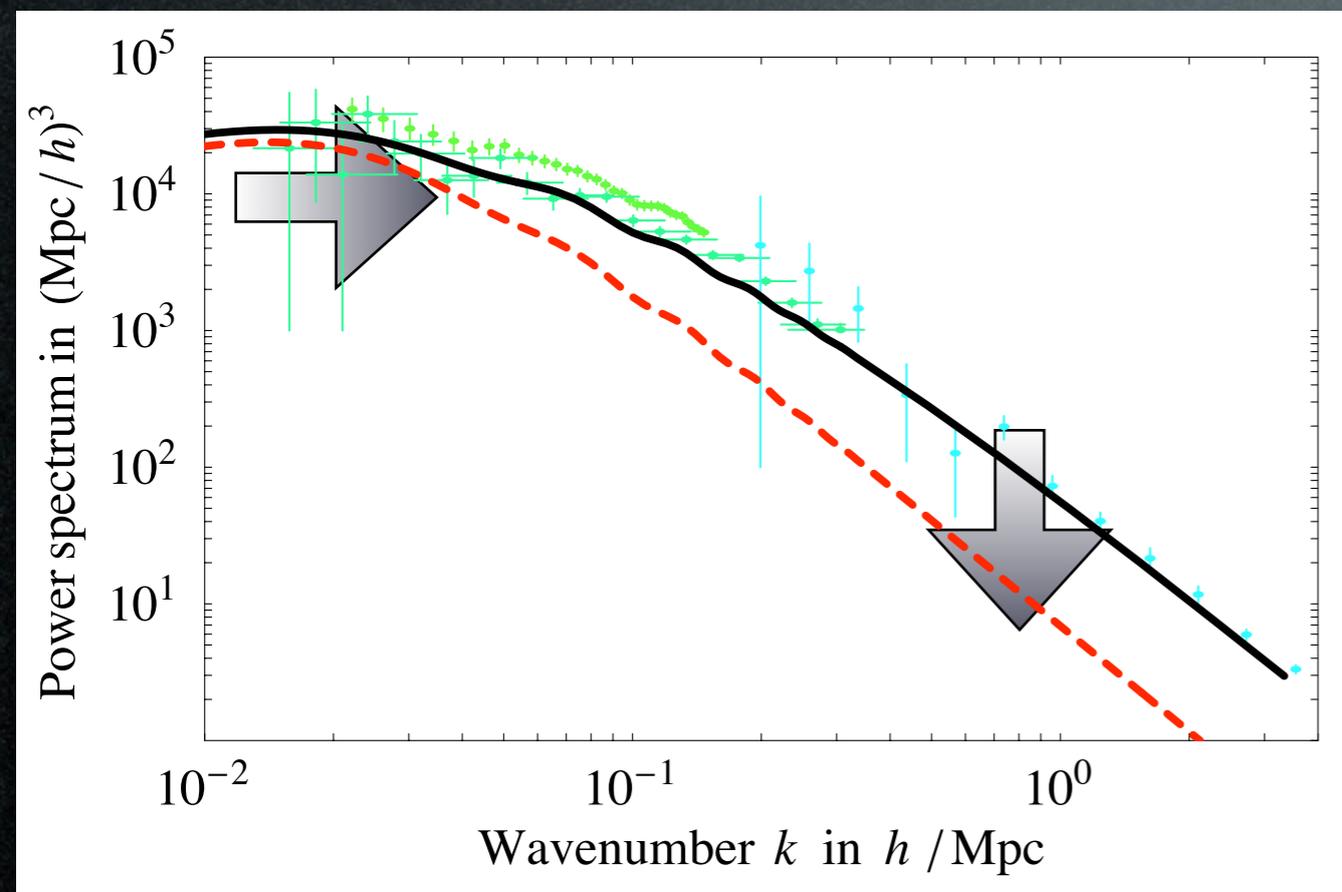
$$\rightarrow k_{\text{NR}} = 0.018 \Omega_{\text{m}}^{-1/2} \left( \frac{\sum m_{\nu}}{\text{eV}} \right)^{1/2} h_0 \text{ Mpc}^{-1}$$
$$\downarrow \frac{\Delta P}{P} \simeq -8 f_{\nu} = -8 \frac{\sum m_{\nu}}{(93 \text{ eV}^2) h^2 \Omega_{\text{m}}}$$

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a bound on  $\sum m_{\nu}$ :

$$\sum m_{\nu_i} < 0.40 \text{ eV}$$

(@ 99.9% C.L.,  
global fit)

Cirelli, Strumia 2006  
(others also)

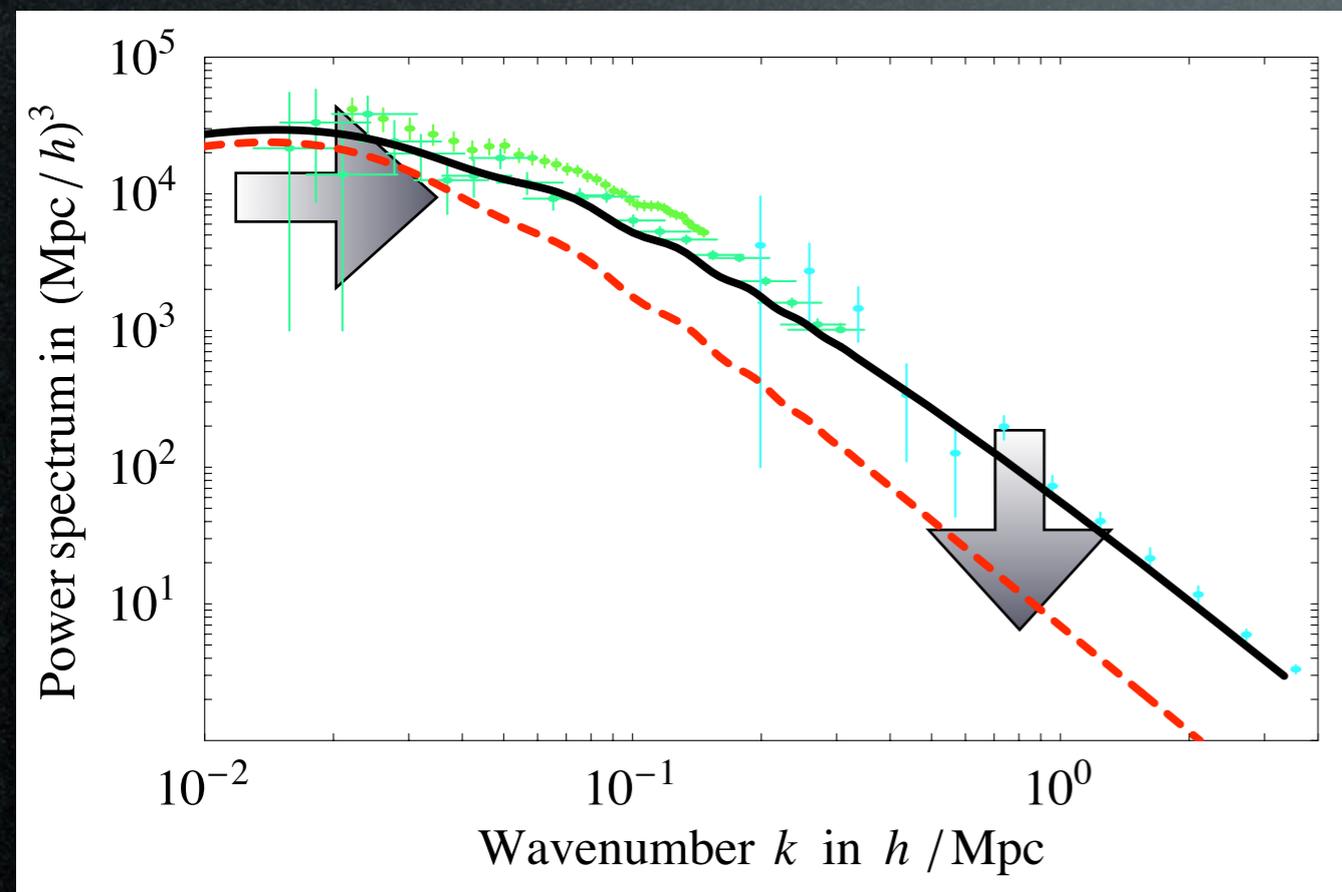
in presence of  $\rho_{\nu_e}, \rho_{\nu_{\mu}}, \rho_{\nu_{\tau}}, \rho_{\nu_s}$ :  $\sum m_i \rho_i < 0.40 \text{ eV}$

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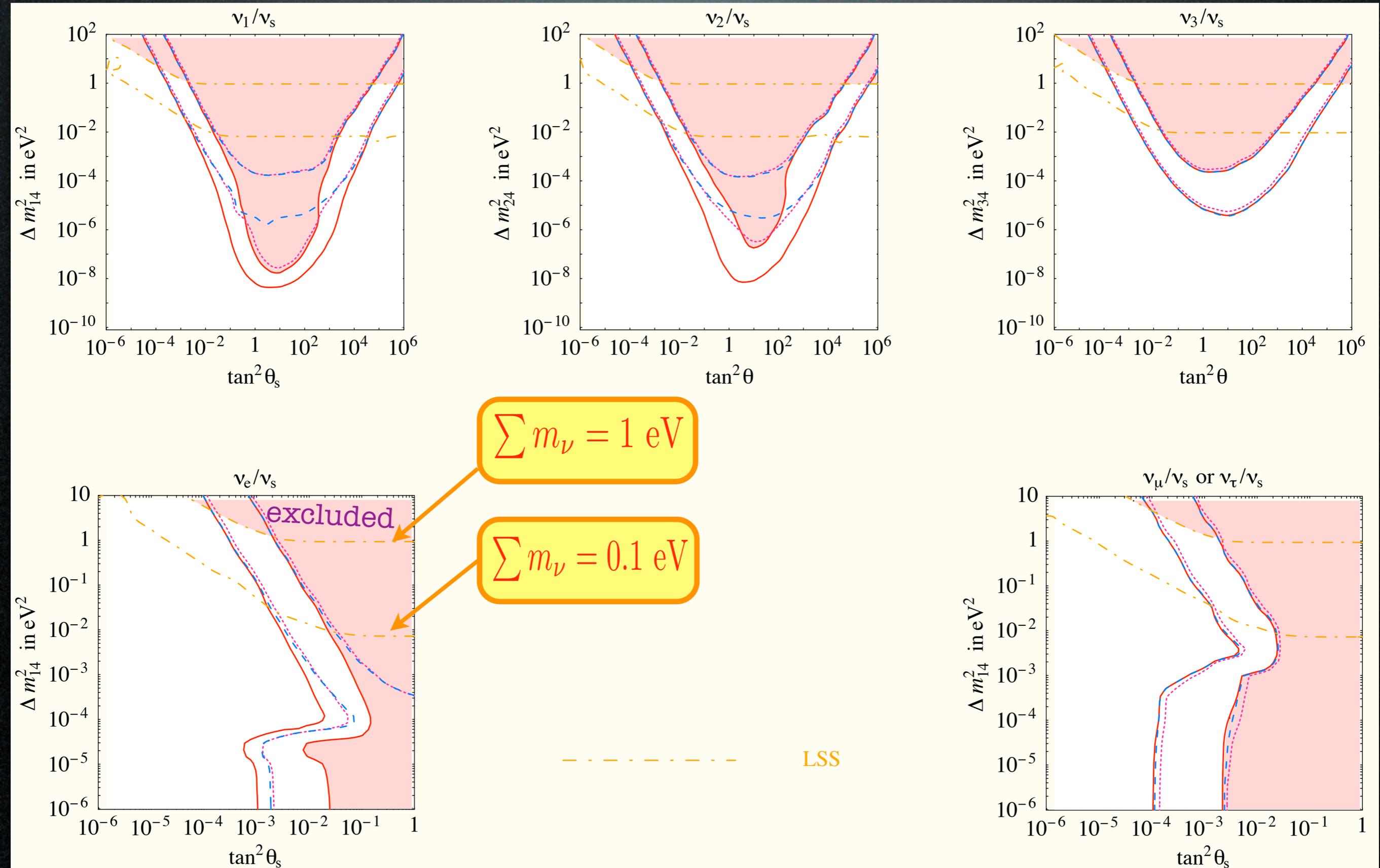
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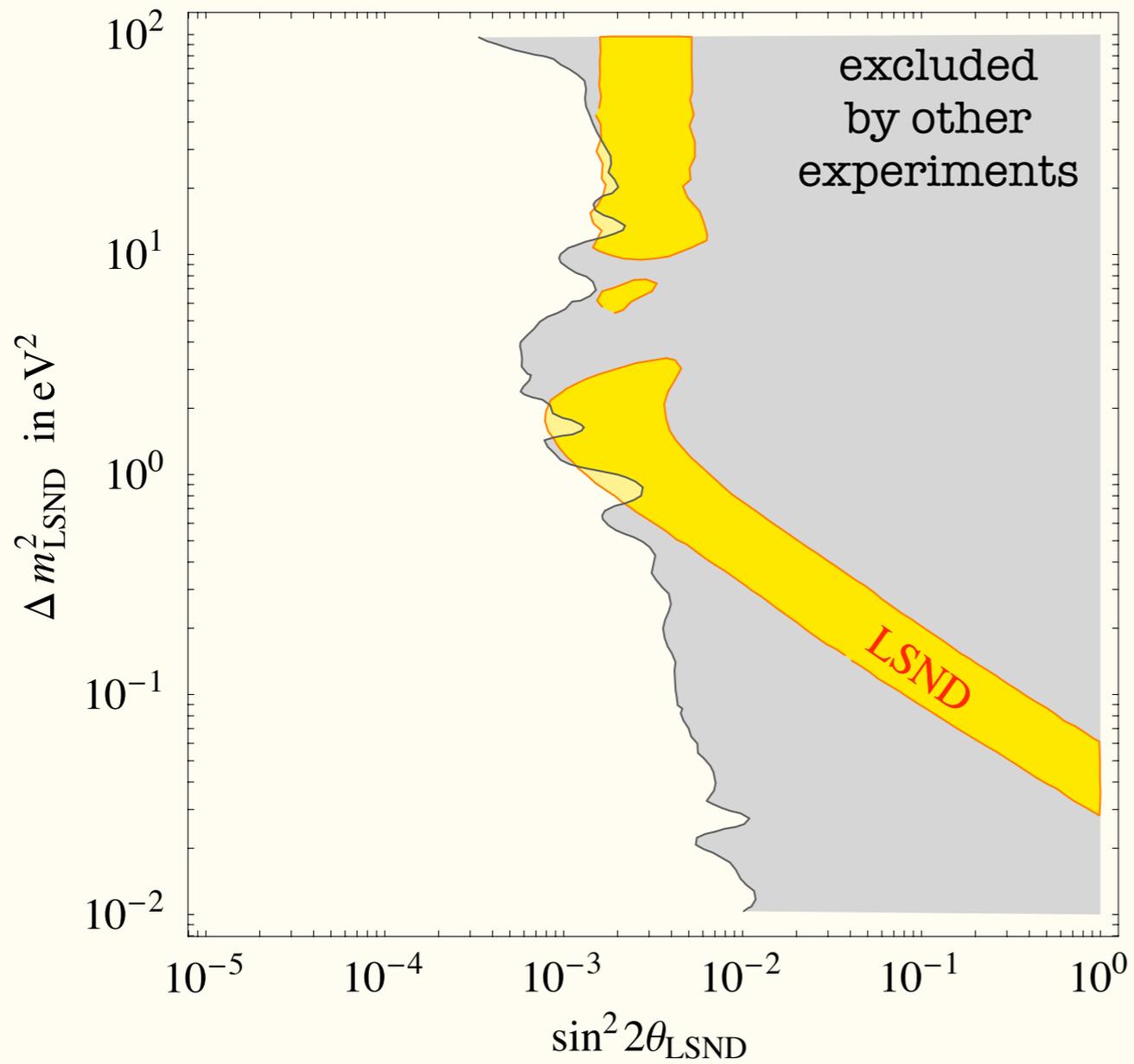
$\nu_s$  contribute to  $\sum m_{\nu} \Rightarrow$  a bound on  $m_4$  i.e.  $\Delta m_s^2$

# Cosmological Perturbations



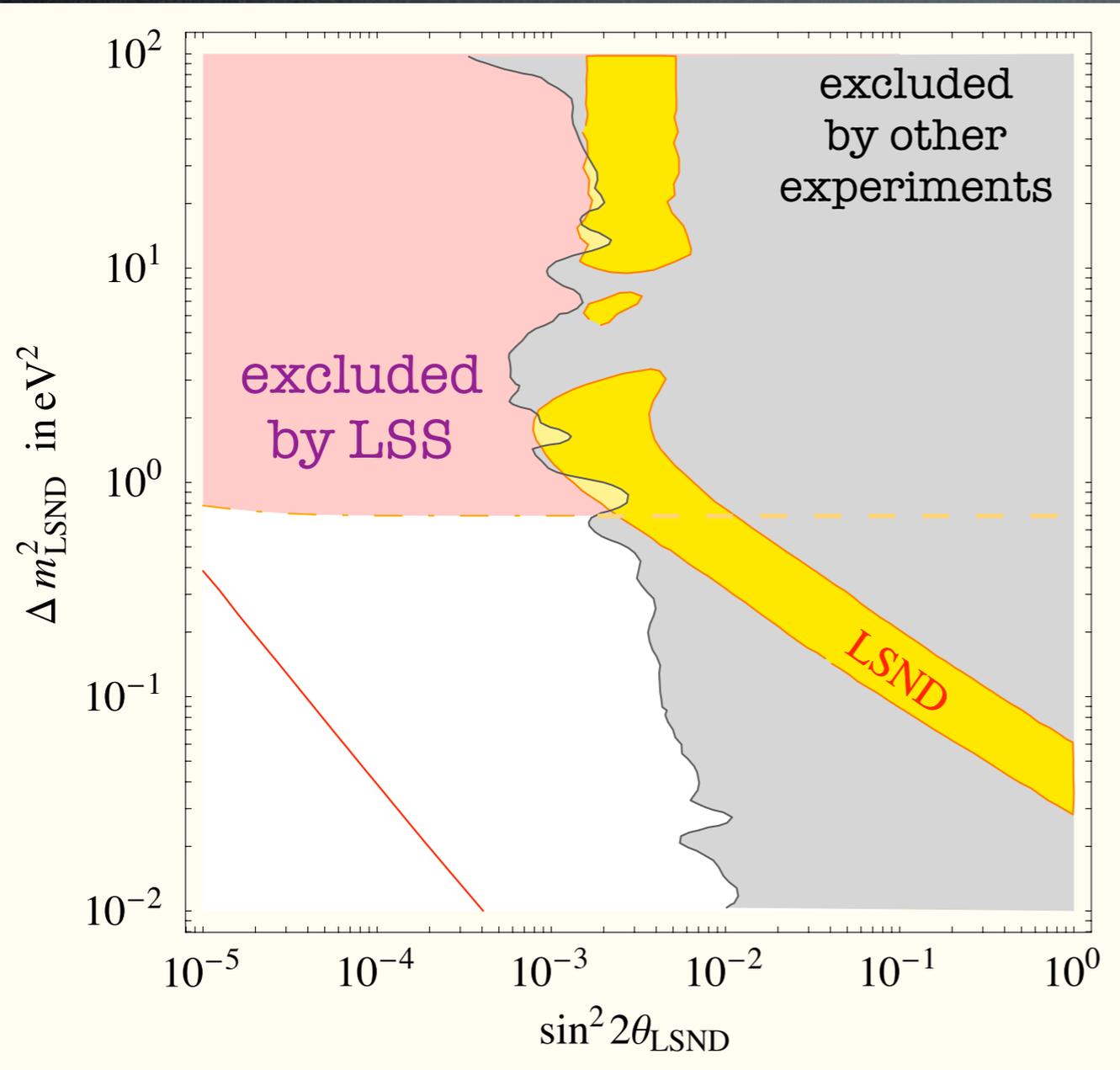
# LSND

LSND collaboration - Strumia PLB 539 (2002)



$$\Delta m_{\text{LSND}}^2 \simeq 1 \text{ eV}^2$$
$$\sin^2 2\theta_{\text{LSND}} \simeq 10^{-3}$$

# LSND



$$\Delta m_{\text{LSND}}^2 \simeq 1 \text{ eV}^2$$
$$\sin^2 2\theta_{\text{LSND}} \simeq 10^{-3}$$

LSS excludes the LSND  $\nu_s$   
(too much  $\sum m_\nu$ )



Open a parenthesis:

Open a parenthesis:

What if there is a large  
primordial lepton **asymmetry?**

$$L_\nu = \frac{n_\nu - n_{\bar{\nu}}}{n_\gamma}$$

Foot, Volkas PRL 75 (1995)  
P.Di Bari (2002, 2003)  
V.Barger et al., PLB 569 (2003)  
...

An asymmetry  $L_\nu \approx \eta = 6 \cdot 10^{-10}$  (baryon asym.)  
would be natural,

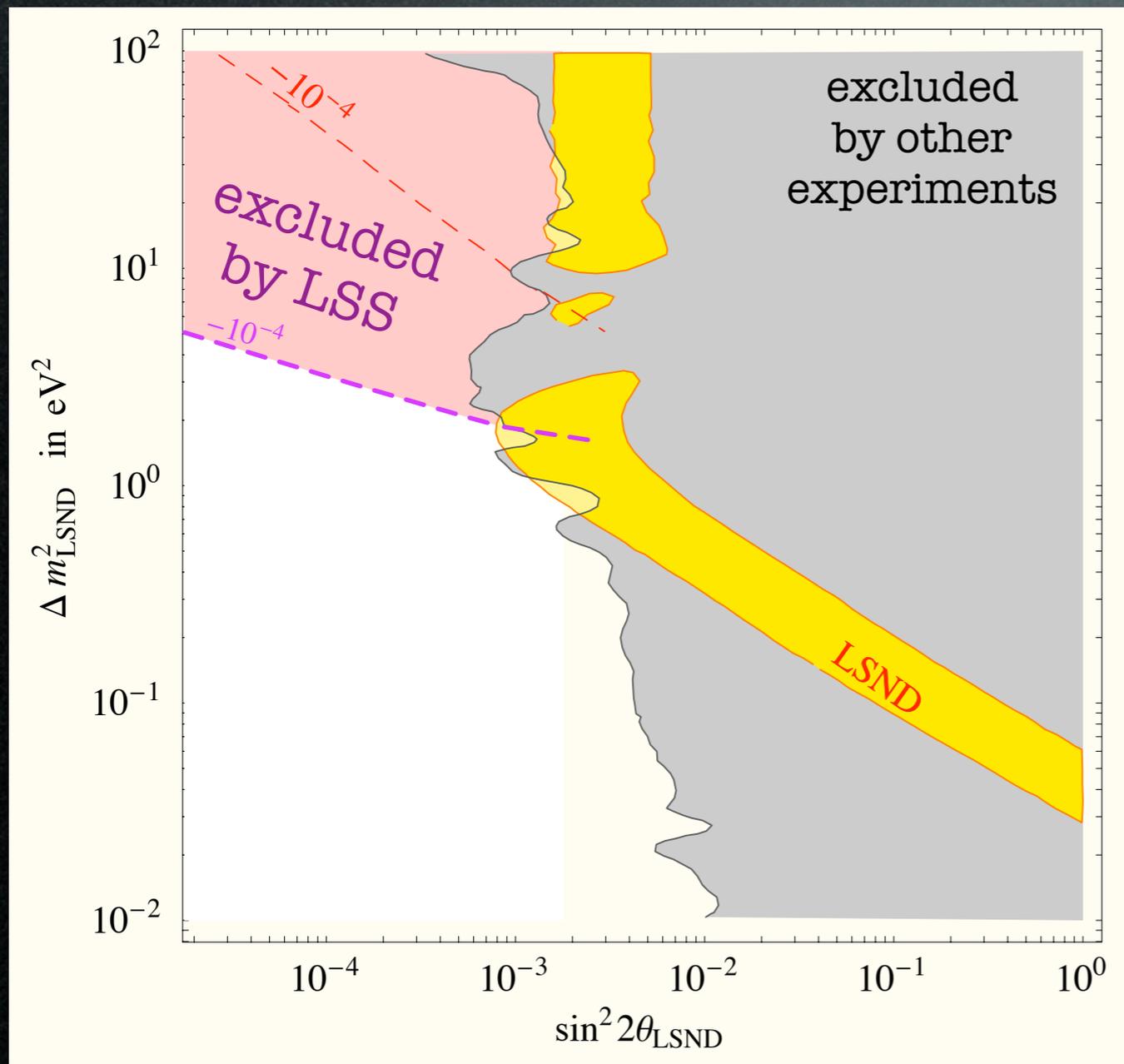
but a priori  $L_\nu \sim \mathcal{O}(10^{-2})$  is possible.

Dolgov,..., Semikoz (2002)  
Abazajian, Beacom, Bell (2002)  
Cuoco,..., Serpico (2004)  
Serpico, Raffelt (2005)

# LSND with lepton asymmetry

Due to matter effects,  $\nu_s$  are less efficiently produced.

Portions of the parameter space are **reopened**:



[Full discussion]

postulating a primordial  
asymmetry  $L_\nu \simeq -10^{-4}$   
reconciles LSND and cosmology

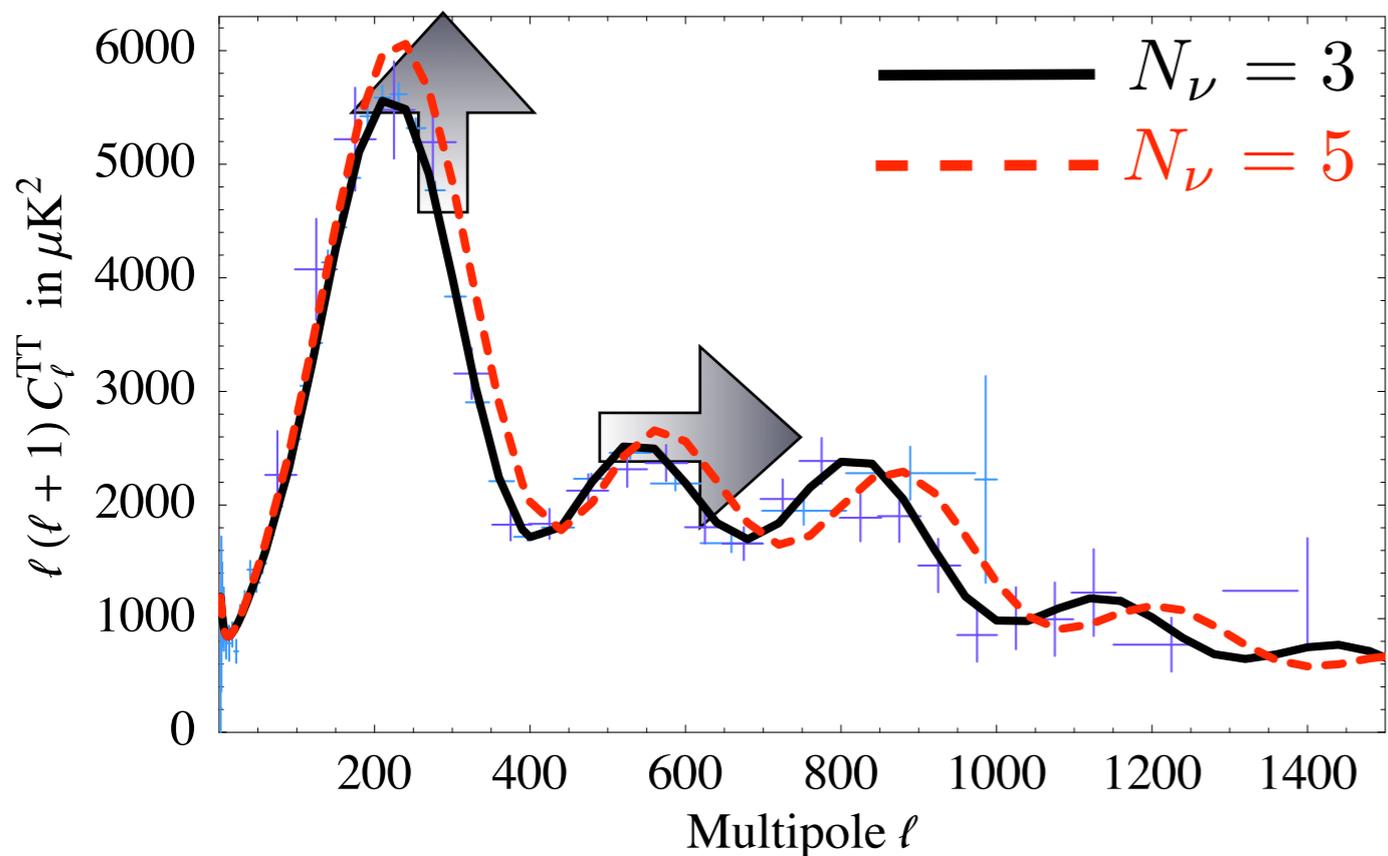
Back to standard cosmology.



# Cosmological Perturbations

Neutrinos affect cosmological perturbations (CMB, LSS).

$N_\nu = \rho_{\nu_e} + \rho_{\nu_\mu} + \rho_{\nu_\tau} + \rho_{\nu_s}$  sets the total **relativistic energy** content and affects the peaks of CMB and LSS spectra:



a bound on  $N_\nu$ :

$$N_\nu = 5 \pm 1$$

(@ 95% C.L.,  
global fit)

Cirelli, Strumia 2006  
Seljak et al. 2006

BUT dropping Ly-alpha gives back

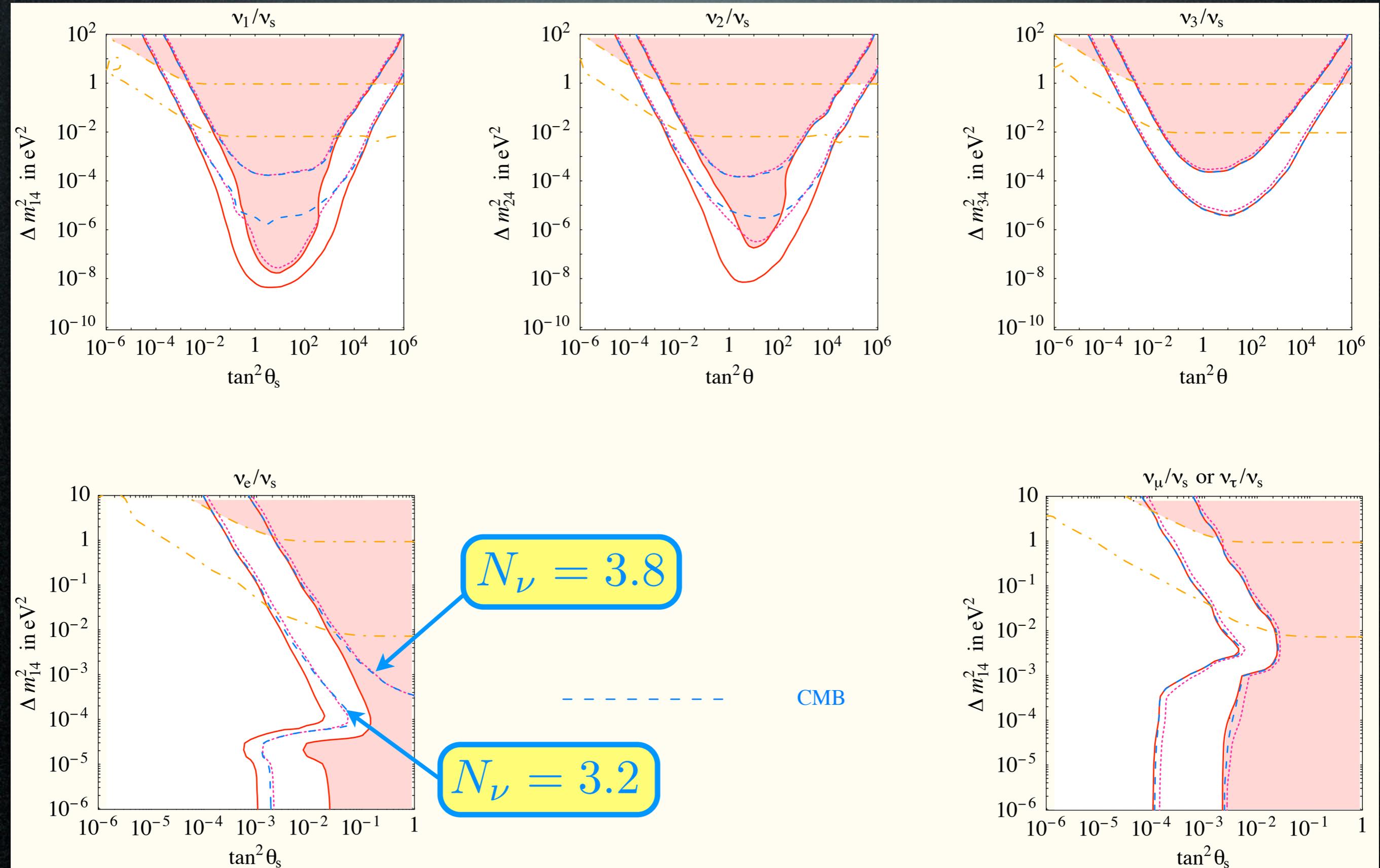
$$N_\nu \simeq 3$$



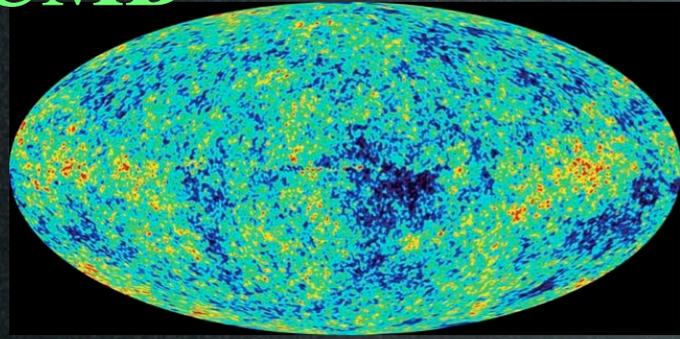
CMB/LSS currently give a weak/unsafe bound on  $N_\nu$ , but in the future...

Caveat: plots for illustrative purposes only, all parameters except  $N_\nu$  are held fixed.

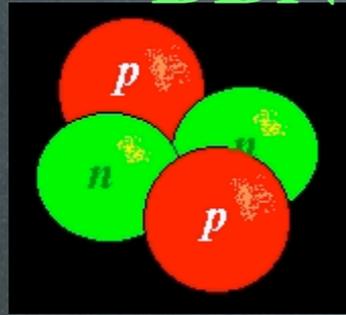
# Cosmological Perturbations



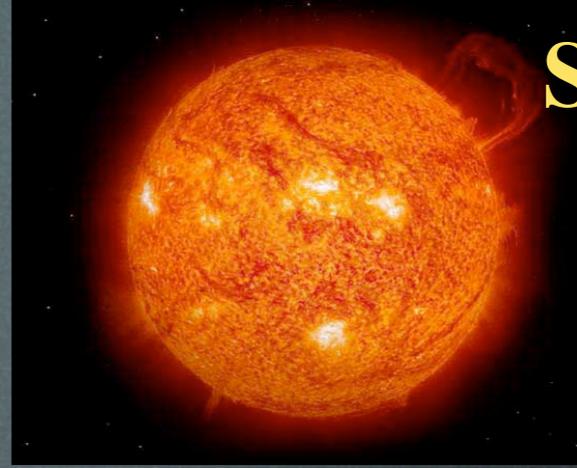
**CMB**



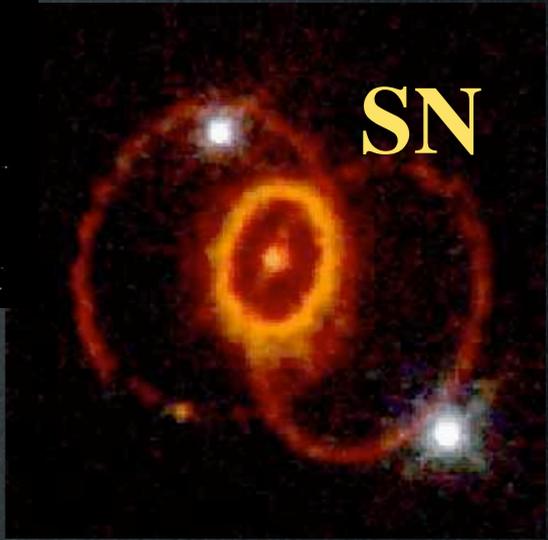
**BBN**



**Sun**



**SN**

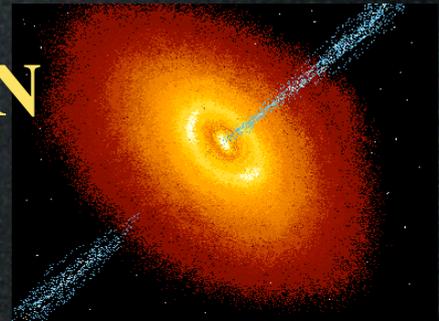


**LSS**

**Early Universe**

**Astrophysics**

**AGN**



**V S**

**Atmosphere**



**Atmo & Experiments**

**SBL**



**reactors**



**accelerators**



**Combined Results**

# Sterile neutrinos in SNe

Neutrinos from SNe:

- are **a lot** (99% of emitted energy)
- undergo “extreme” **matter effects**
- come from **very far away** ( $\sim 10$  kpc)
- have the **right energy** ( $\sim 10$  MeV) for present detectors

An extra  $\nu_s$  can make a big difference.

Overall picture confirmed  
by SN1987a



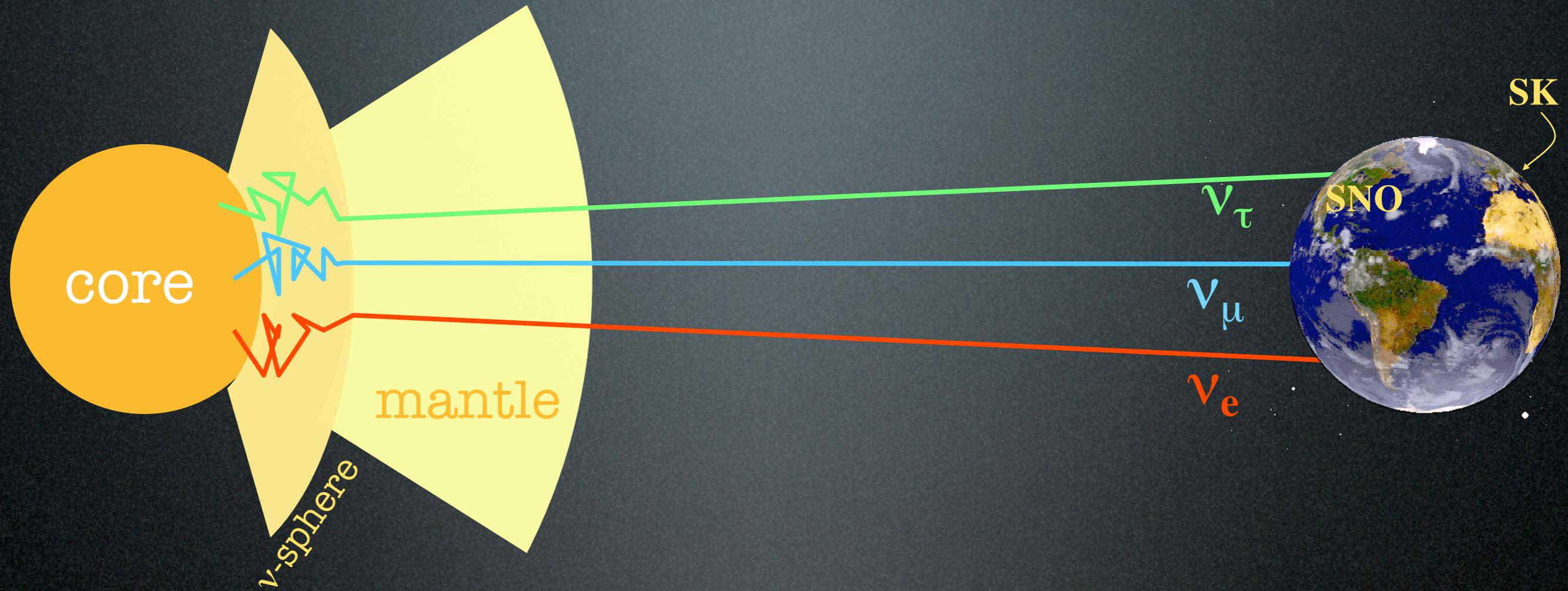
Set present  
**bounds**

Thousands of events from  
future SN

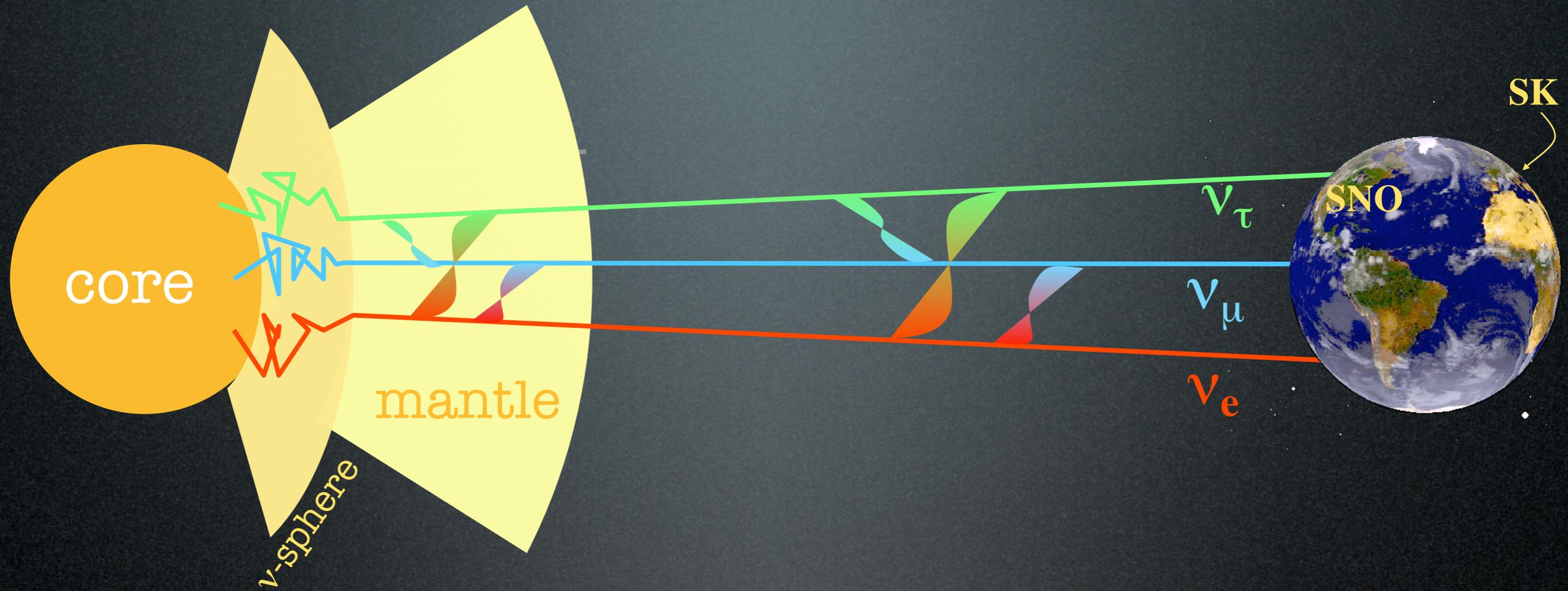


Propose future  
**probes**

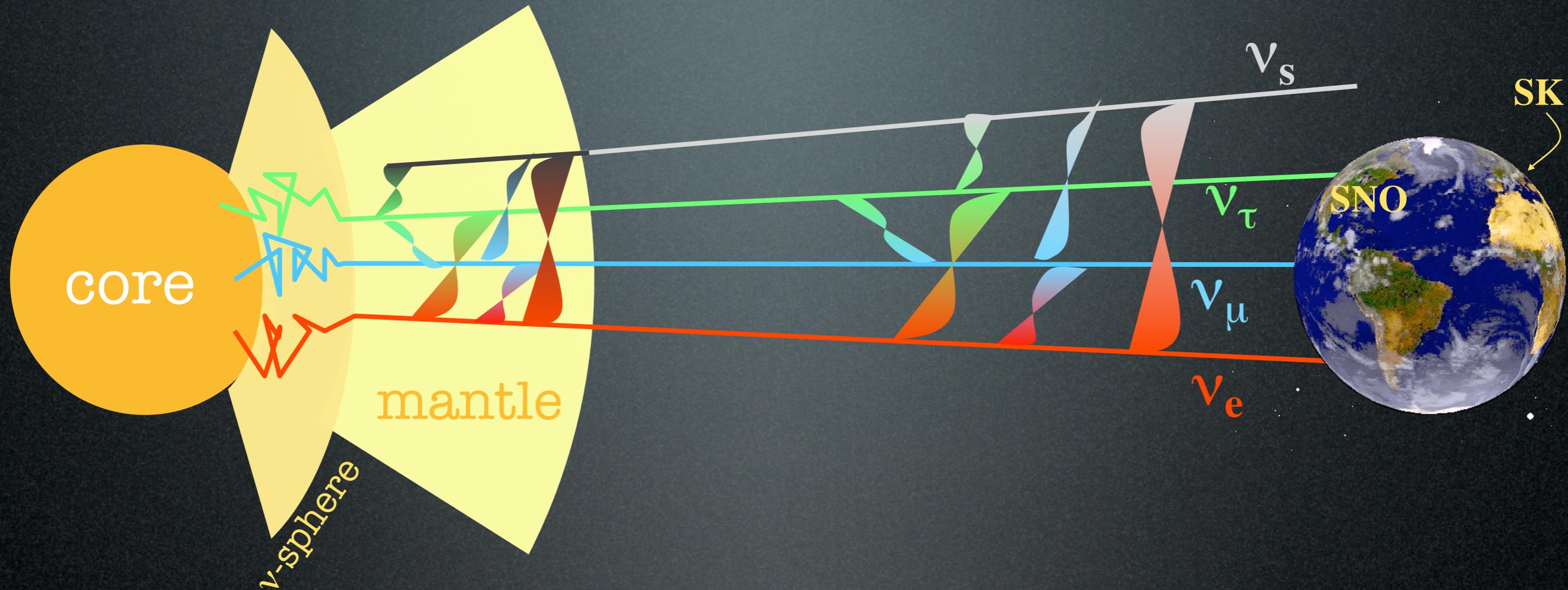
# Sterile neutrinos in SNe



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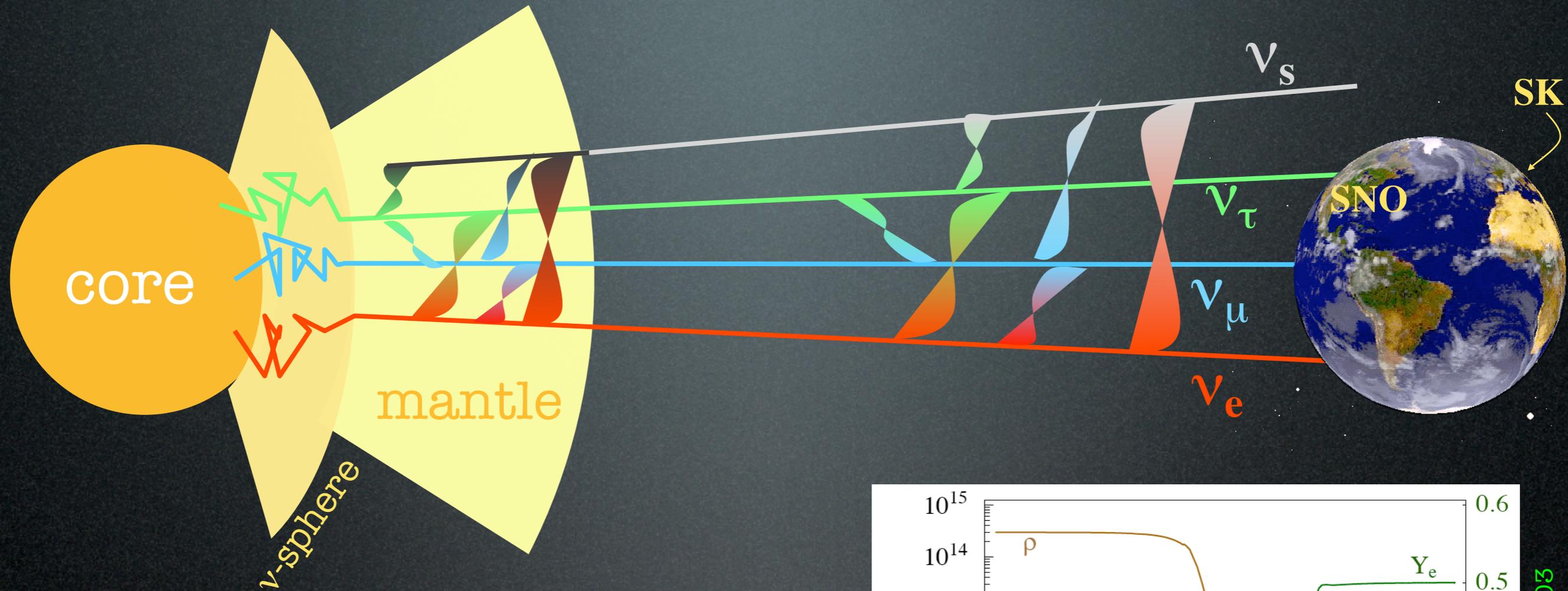


Matter oscillations  
in the star mantle:

$$V_e = \sqrt{2}G_F n_B (3Y_e - 1) / 2,$$
$$V_\mu = \sqrt{2}G_F n_B (Y_e - 1) / 2,$$

$$V_\tau = V_\mu + V_{\mu\tau},$$
$$V_s = 0,$$

# Sterile neutrinos in SNe



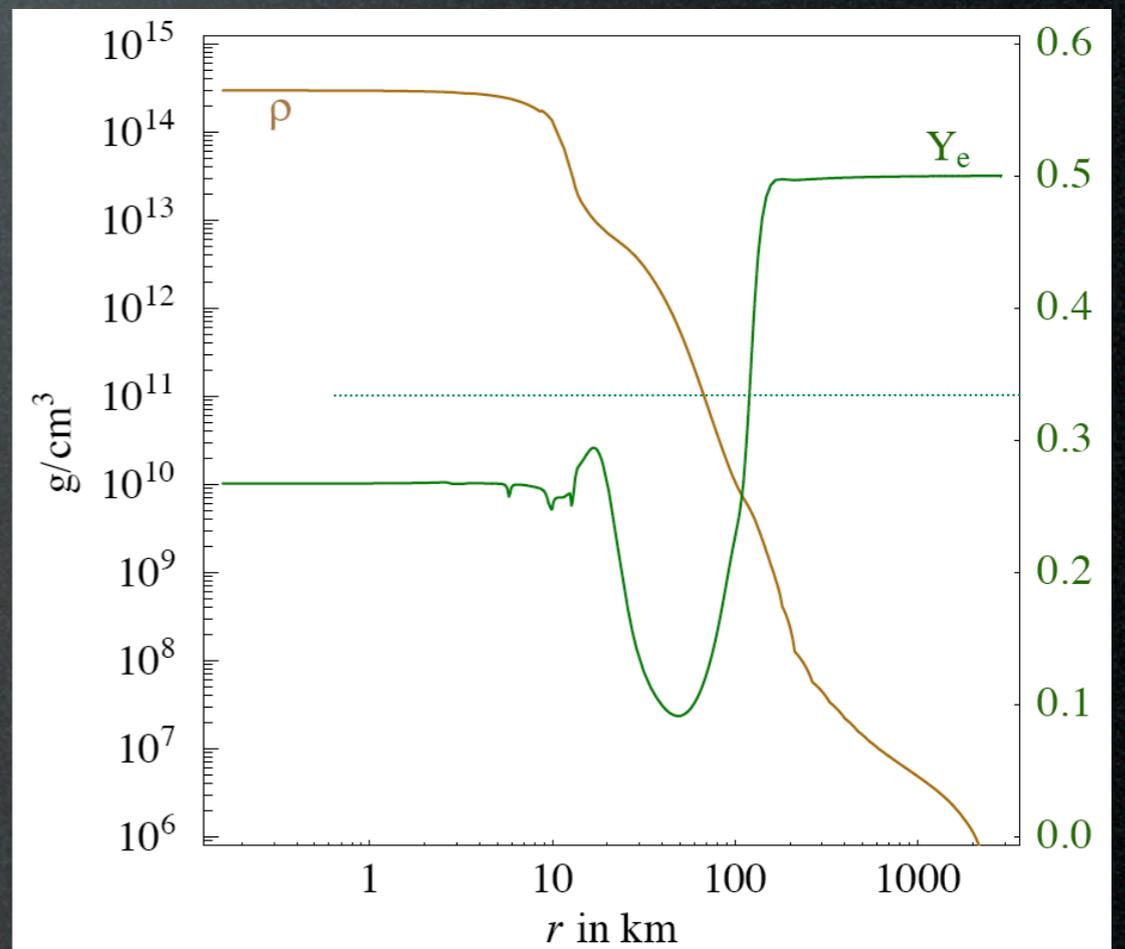
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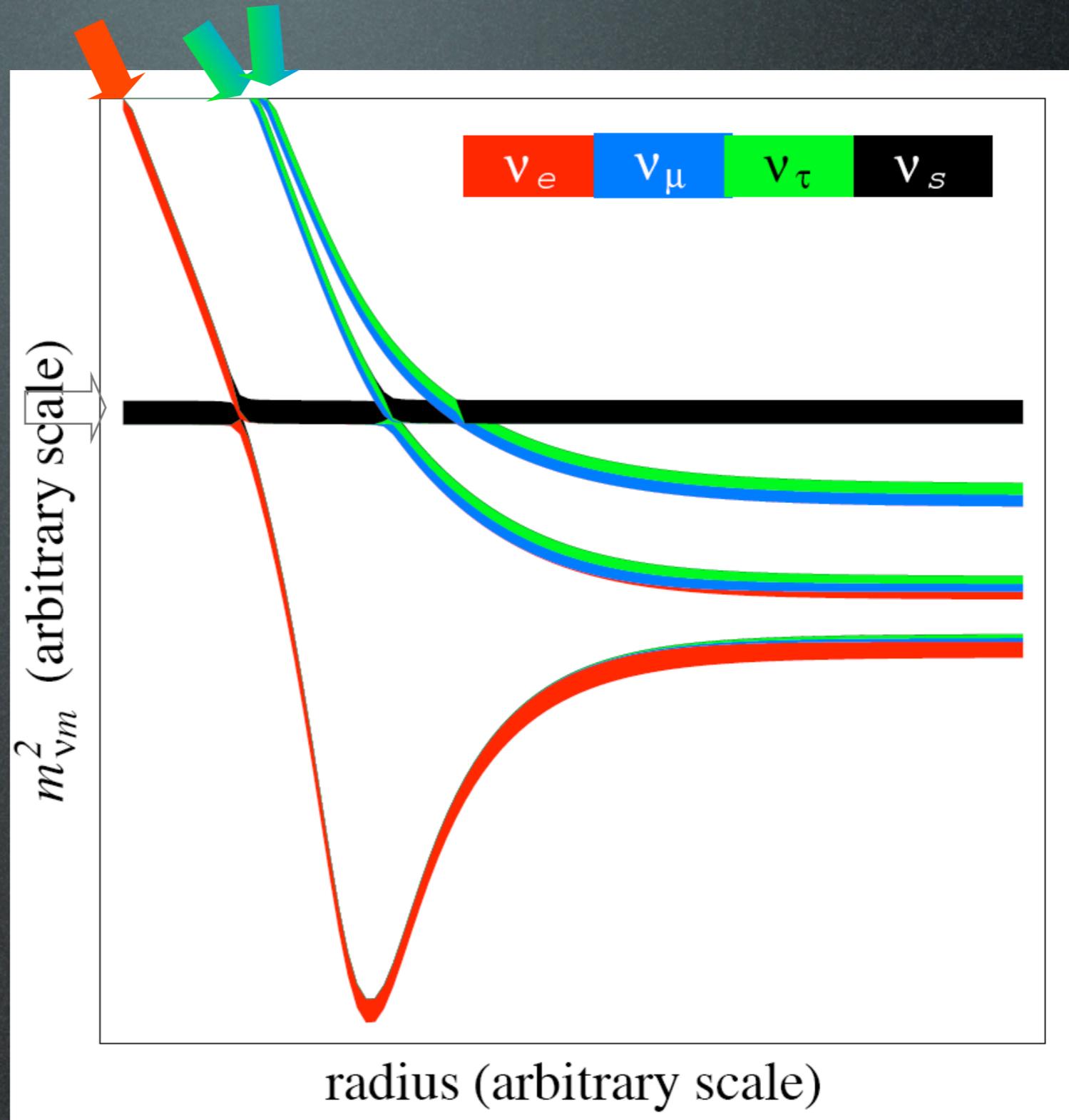
$$V_s = 0,$$



A. Burrows et al., 2001, 2002, 2003

# Sterile neutrinos in SNe

Matter eigenstates in the mantle:



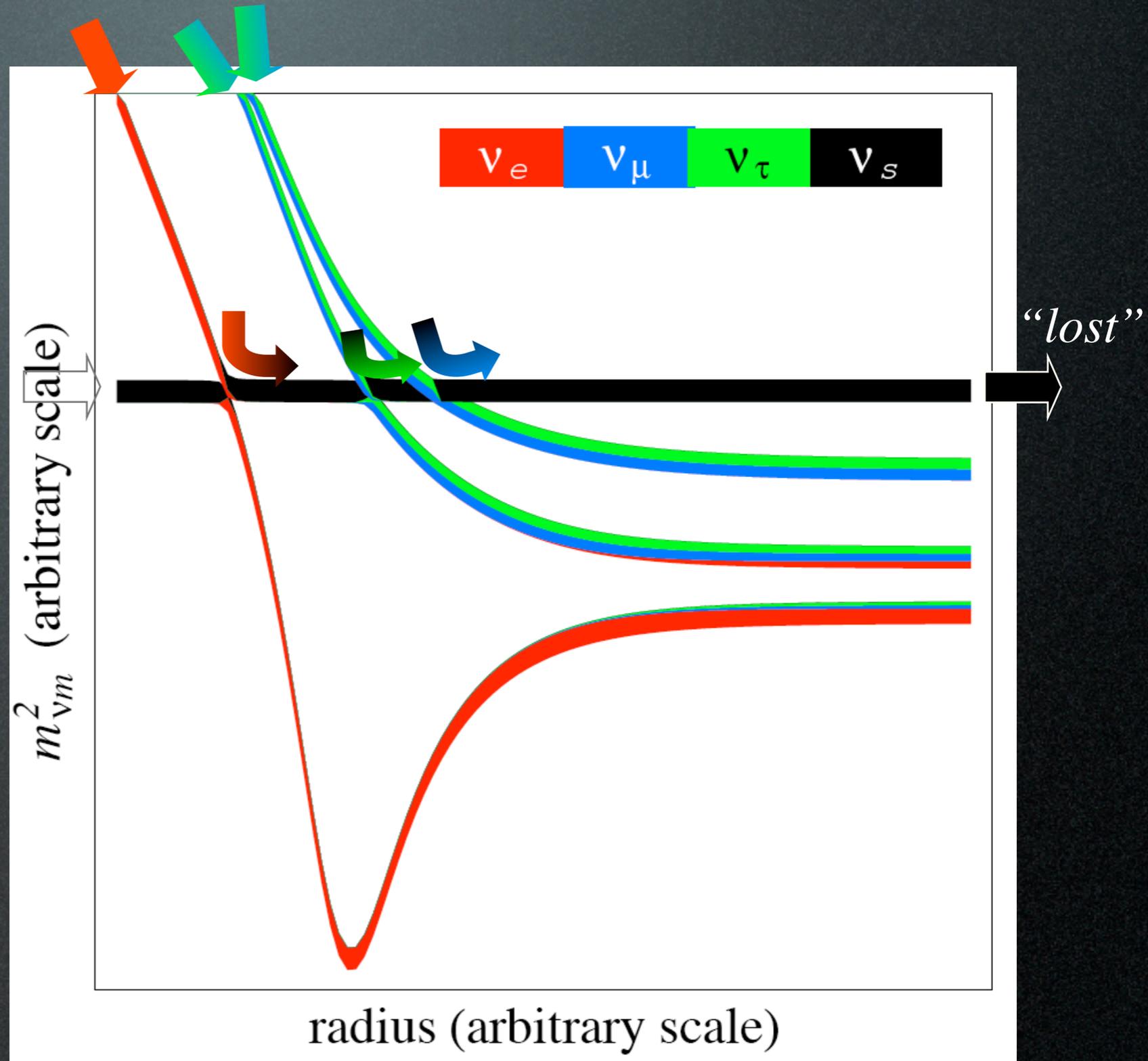
# Sterile neutrinos in SNe

Matter eigenstates in the mantle:

At each crossing:  
crossing probability

$$P_C = \frac{e^{\tilde{\gamma} \cos^2 \theta_{as}^m} - 1}{e^{\tilde{\gamma}} - 1}$$

$$\gamma = \frac{4\mathcal{H}_{as}^2}{dH_a/dr} \equiv \tilde{\gamma} \cdot \frac{\sin^2 2\theta_{as}^m}{2\pi |\cos 2\theta_{as}^m|}$$



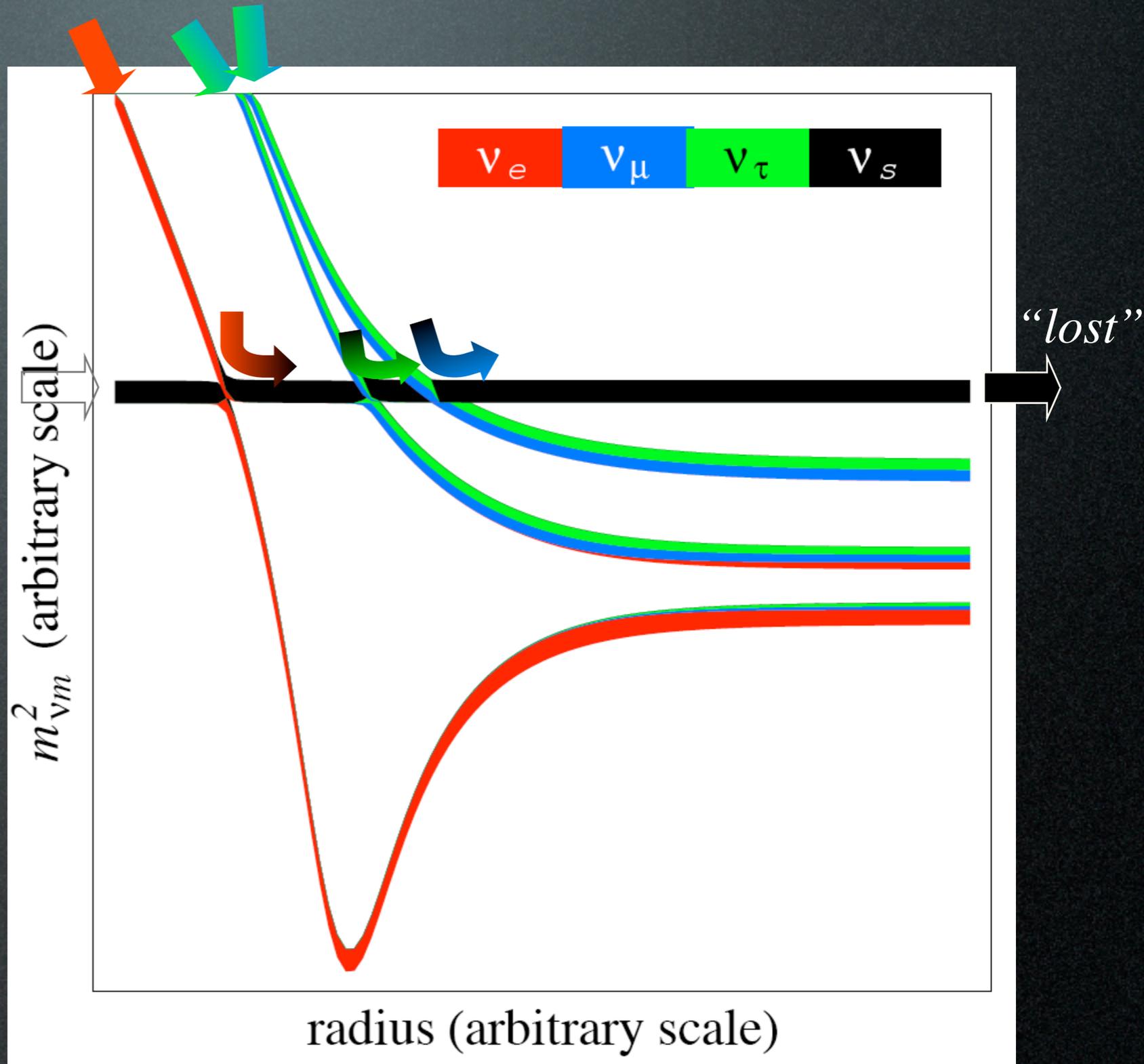
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**Output:** final fluxes of  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$  on Earth .

# Sterile neutrinos in SNe

SN1987a neutrinos  
observed

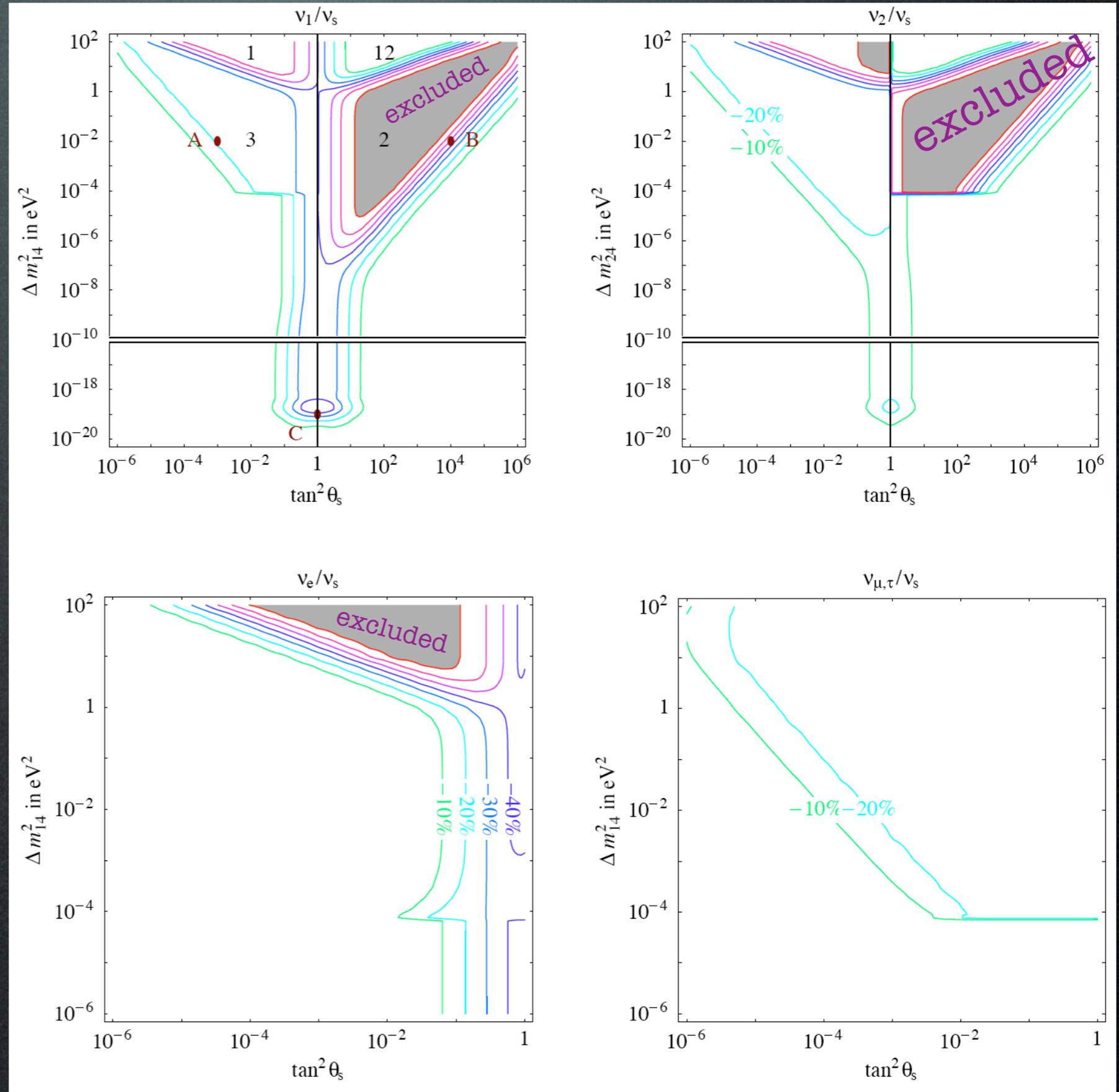


a bound on the  
loss of  $\bar{\nu}_e$ :  $\lesssim 70\%$ .

$$(\bar{\nu}_e p \rightarrow n e^+)$$

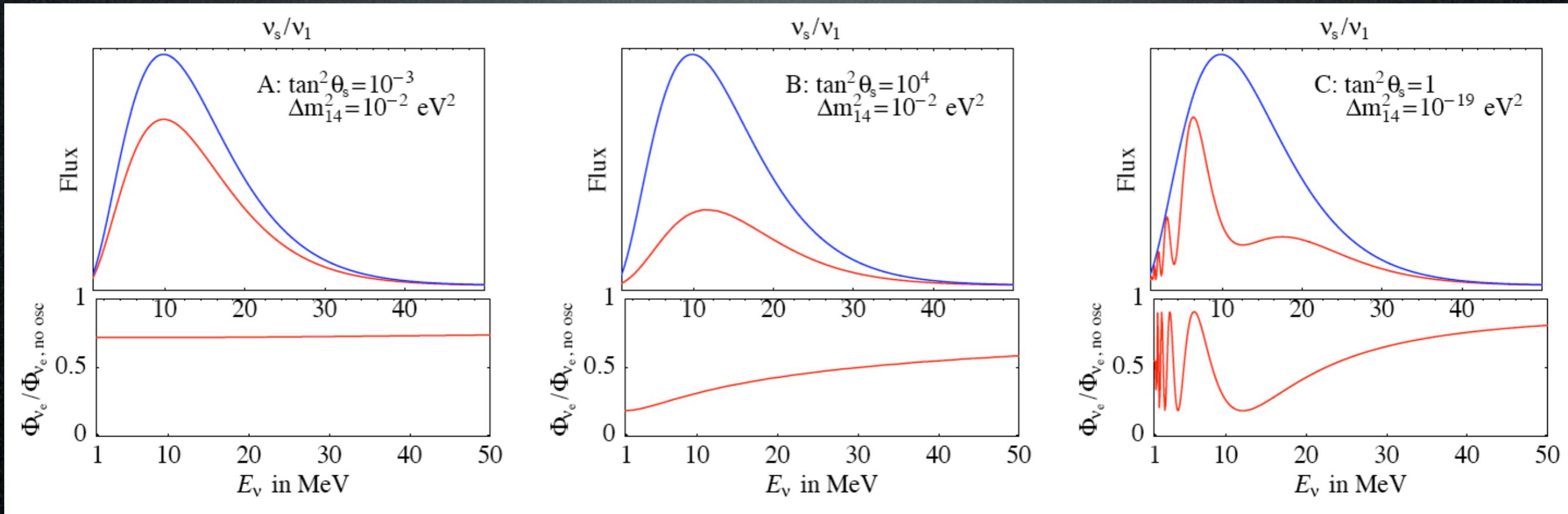
Large portions  
can be probed.

(Beware of  
theoretical  
uncertainties...)



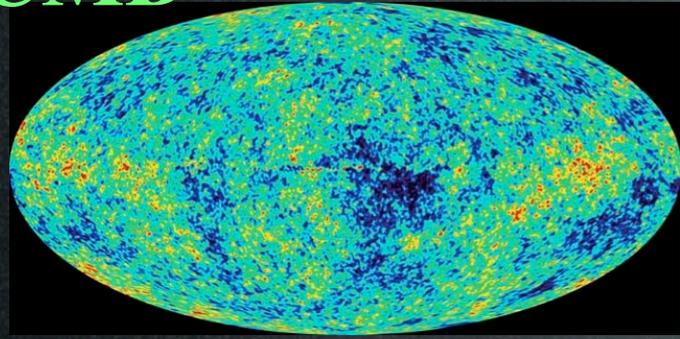
# Sterile neutrinos in SNe

The energy dependance of matter/vacuum conversions causes **spectral distortions**:

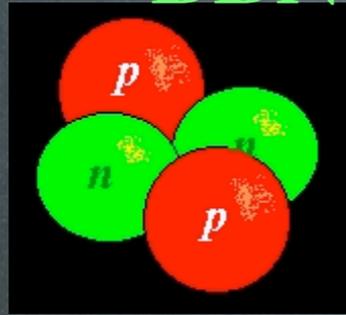


Possible very clear feature.

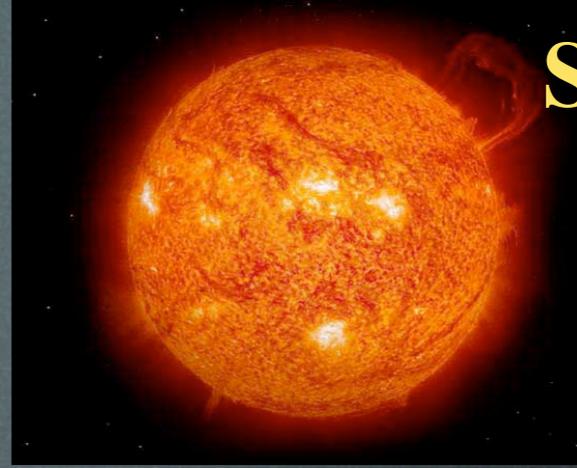
**CMB**



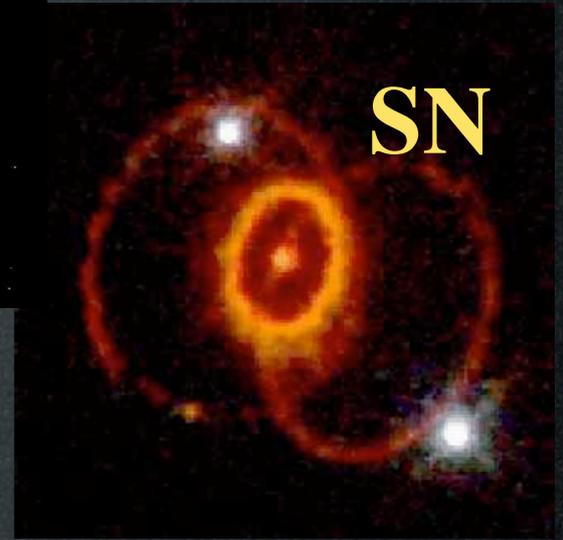
**BBN**



**Sun**



**SN**



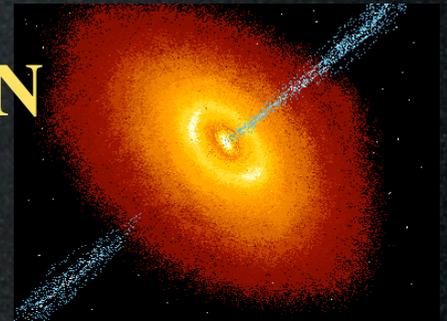
**LSS**



**Early Universe**

**Astrophysics**

**AGN**



**V S**

**Atmosphere**



**Atmo & Experiments**

**SBL**



**reactors**



**accelerators**



**Combined Results**

# Neutrinos from extragalactic sources

- produced in **high-energy** astrophysical processes
- expected flavor ratios at production  $\nu_e : \nu_\mu : \nu_\tau = 1 : 2 : 0$   
after (active) oscillations  $1 : 1 : 1$

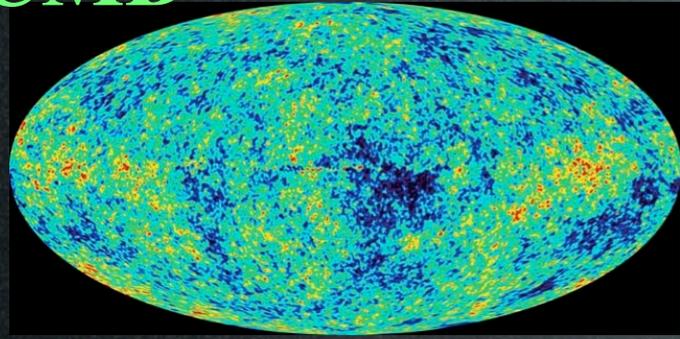
An extra  $\nu_s$  can produce an unbalance.

- BUT:
- initial fluxes totally unknown
  - sterile effects have to be small
  - we tag  $\nu_\mu$  and  $\nu_\tau$ , which balance anyway

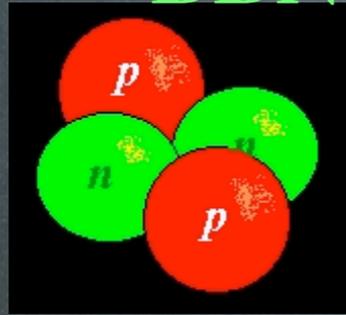


**Not** a very interesting probe.

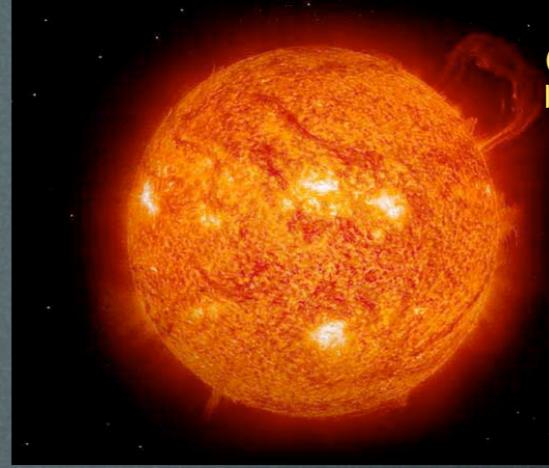
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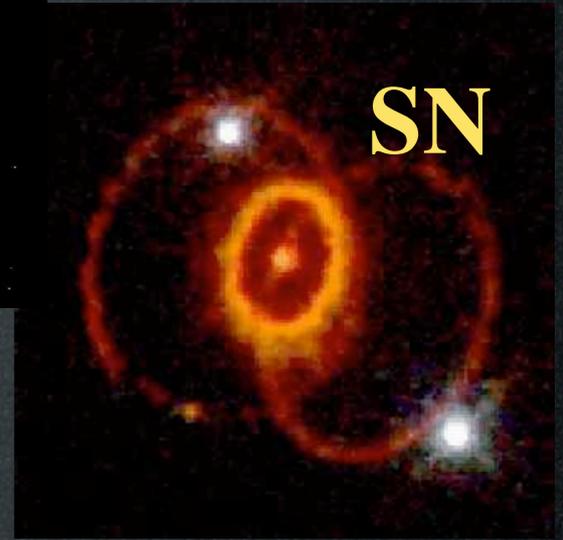
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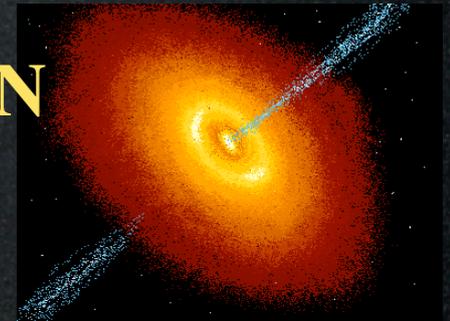
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**Combined Results**

# Sterile neutrinos in the Sun

Solar neutrinos:

- are **a lot**, and well studied
- undergo **matter effects** in the Sun and the Earth
- come from **far away** ( $\sim 150$  Mkm)

An extra  $\nu_s$  can make a difference.

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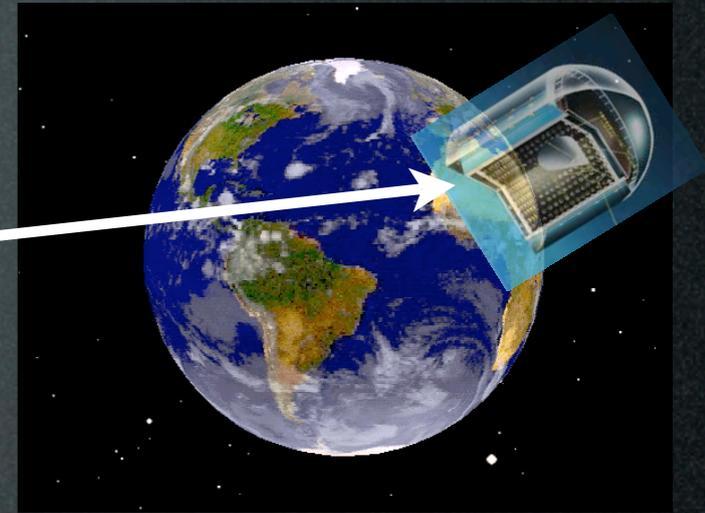
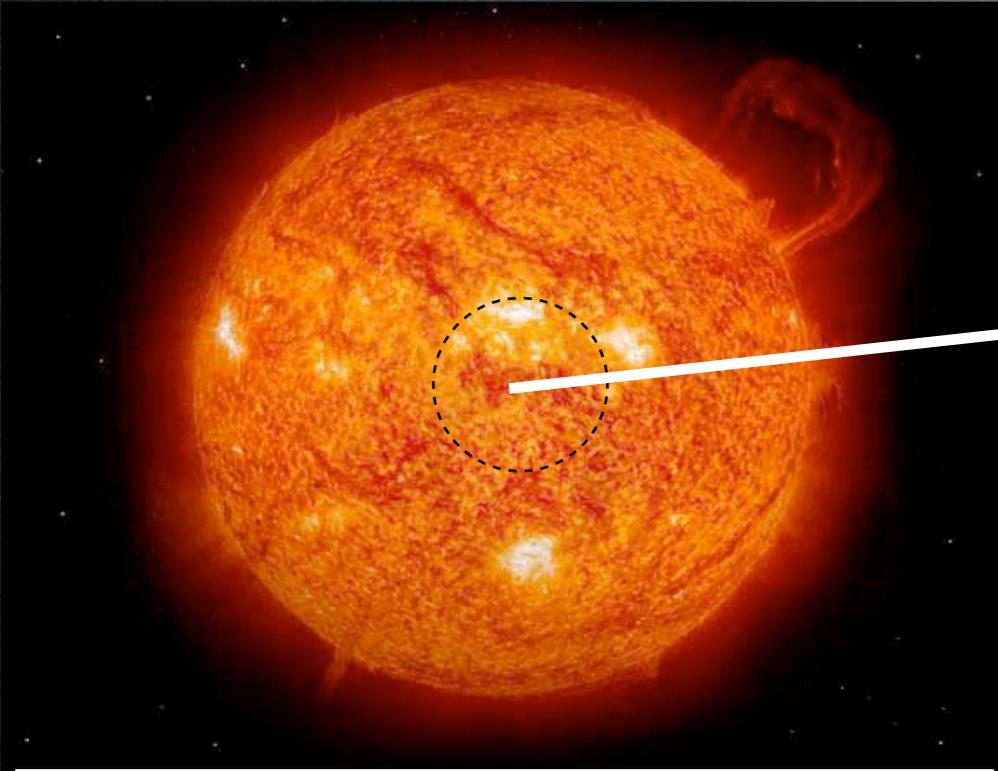
An extra  $\nu_s$  can make a difference.

Dominant  $\nu_e \rightarrow \nu_s$  is excluded by SNO (and SK).

Look for **subdominant** sterile effects,  
on top of LMA-MSW  $\nu_e \rightarrow \nu_{\mu,\tau}$ .

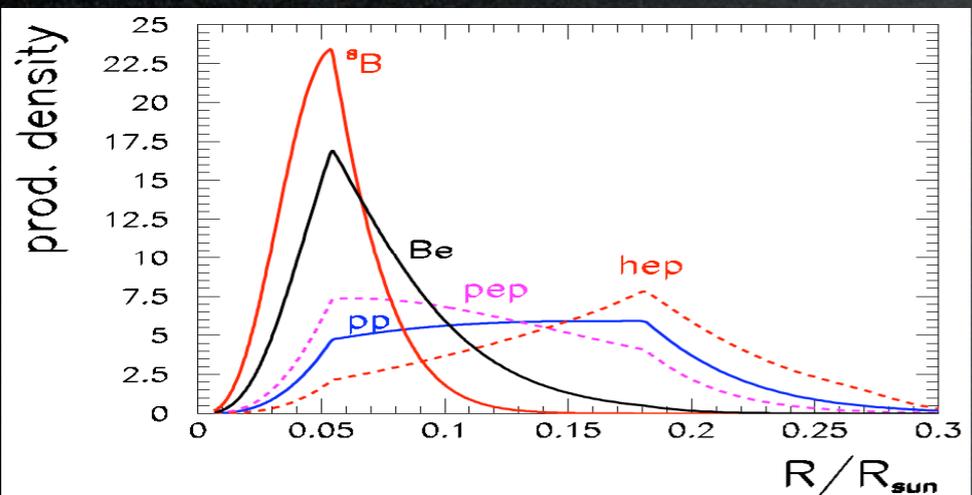
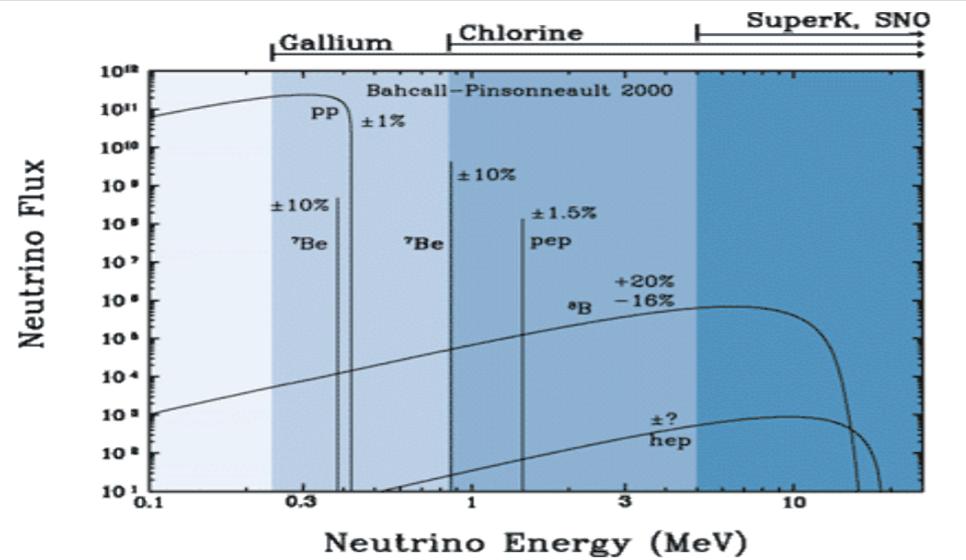
(i.e. technically, marginalizing over  $\Delta m_{12}^2 = (8.0 \pm 0.3) \cdot 10^{-5} \text{eV}^2$ ,  $\tan^2 \theta_{12} = 0.45 \pm 0.05$  parameters)

# Sterile neutrinos in the Sun

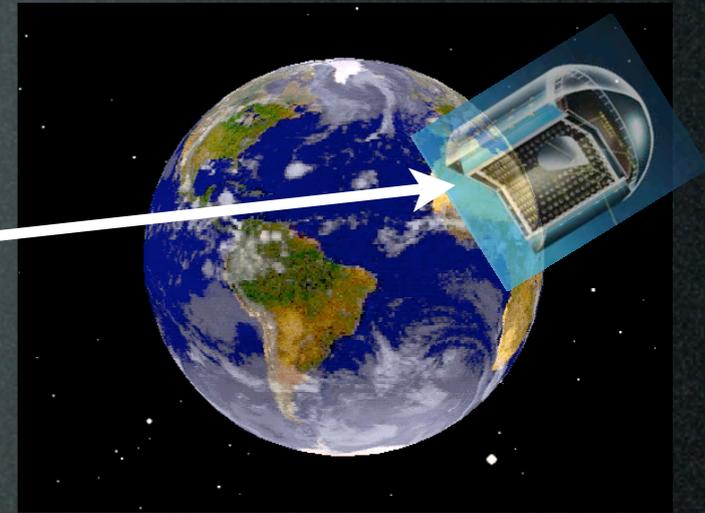
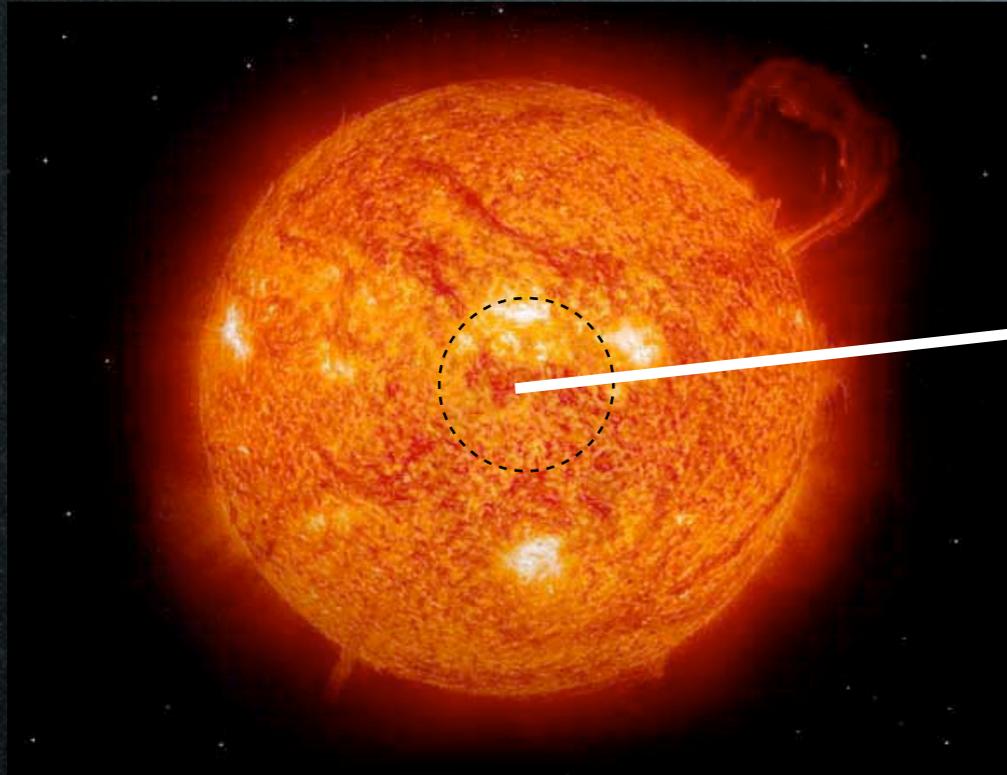


## Computation:

- input  $\nu_e$  fluxes (spectrum, production regions)
- crossings in Sun's matter

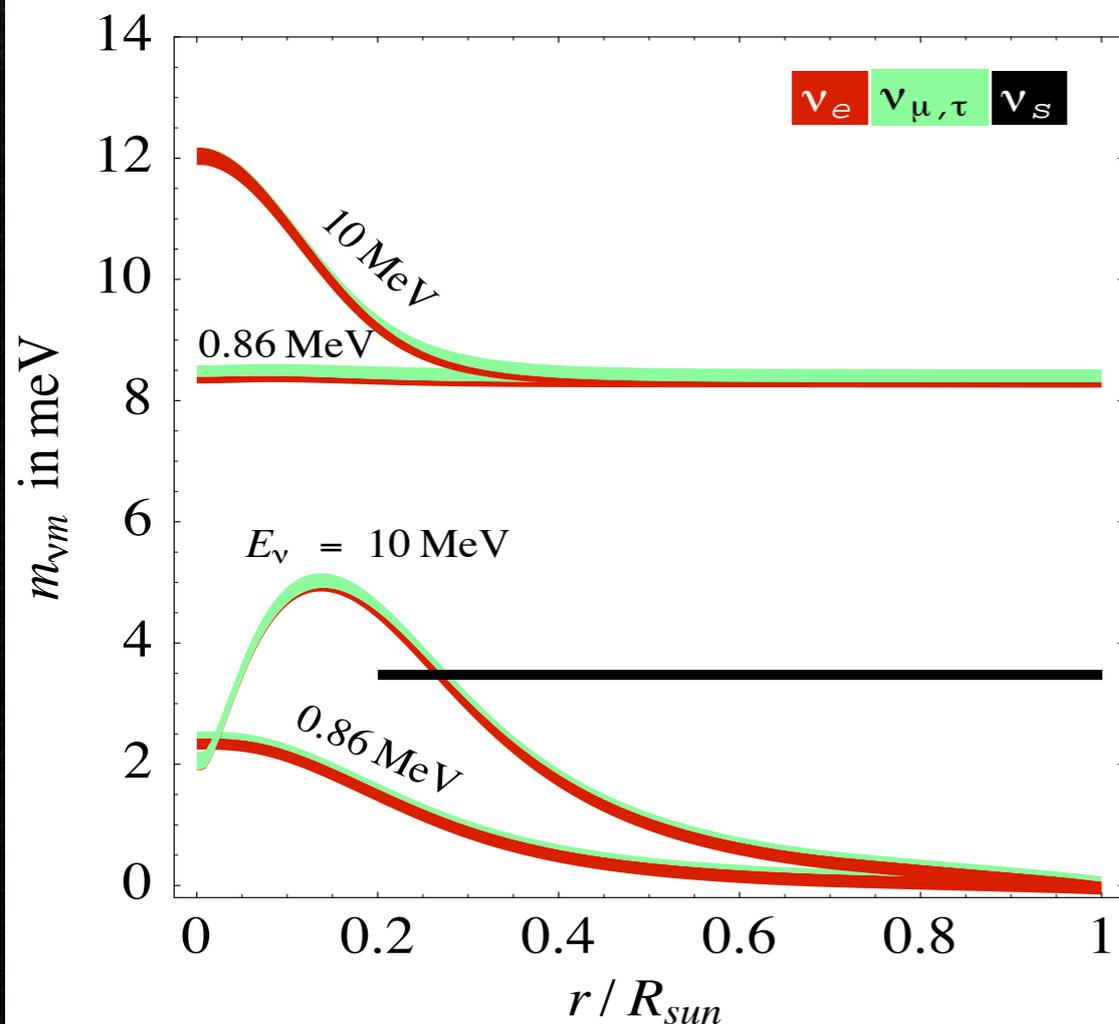


# Sterile neutrinos in the Sun

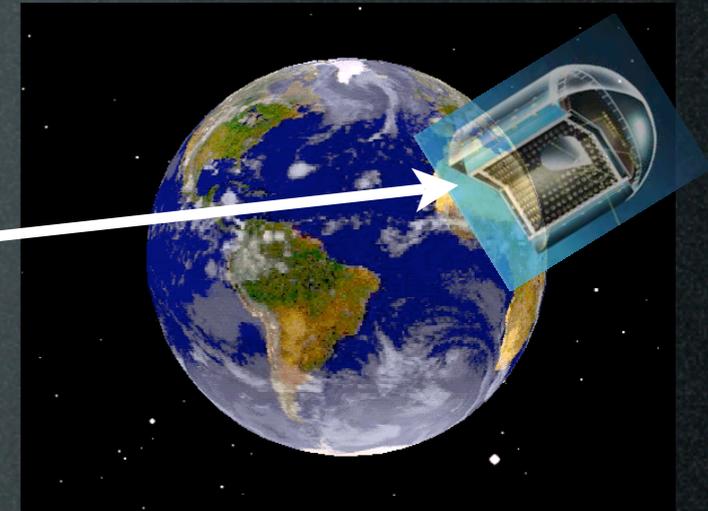
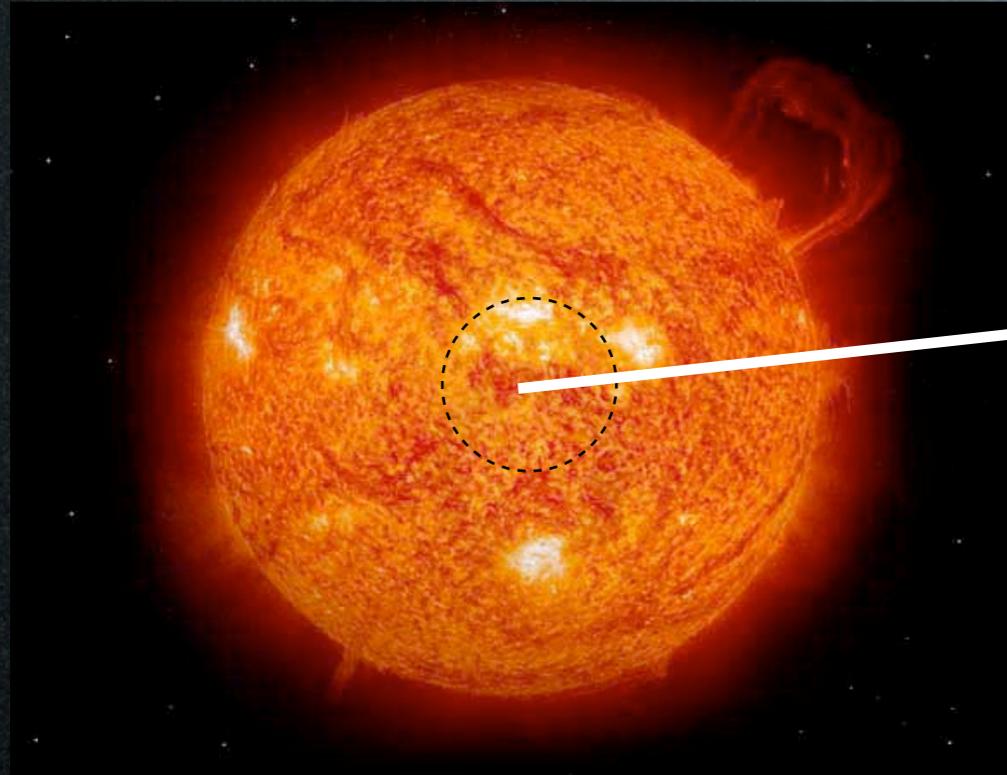


## Computation:

- input  $\nu_e$  fluxes (spectrum, production regions)
- crossings in Sun's matter
- vacuum oscillations
- matter oscillations in Earth
- fit dataset: SNO D<sub>2</sub>O (CC, NC, ES d/n spectra)  
SNO salt (CC, NC, ES rates)  
SK (ES spectra w zenith)  
SAGE + Gallex + GNO (Ga)  
Homestake (Cl)  
KamLAND (reactor  $\bar{\nu}_e$  spectra)  
SSM prediction for  ${}^8\text{B}$  flux (not critical)

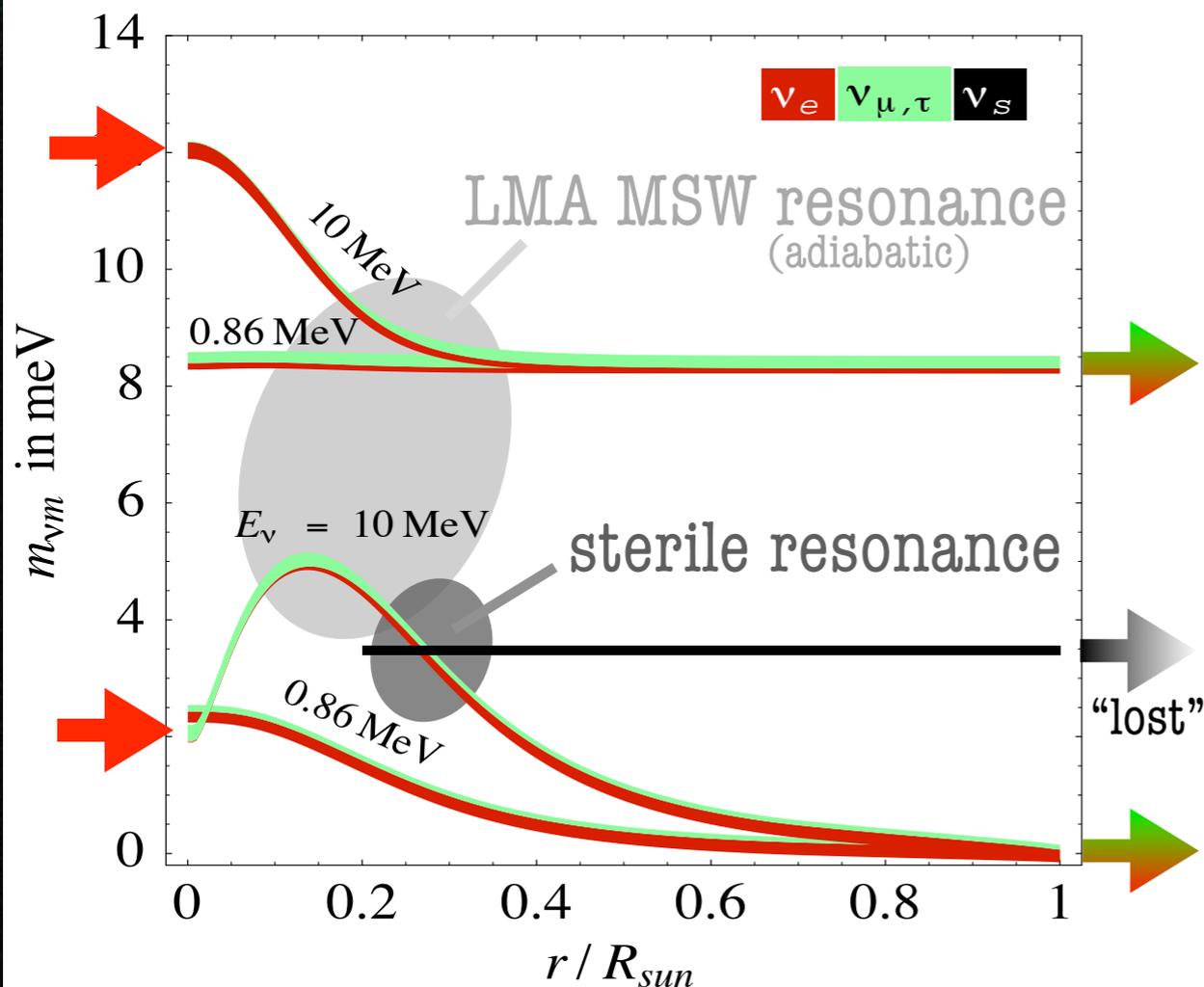


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[technical details] or [skip technical details]

# Sterile neutrinos in the Sun

**Formalism:** evolve the 4x4 neutrino density matrix  $\rho(E_\nu, r)$  from production to detection

**Production:**

$$\rho = \begin{pmatrix} 1 & & & \\ & 0 & & \\ & & 0 & \\ & & & 0 \end{pmatrix}$$

$\nu_e$

# Sterile neutrinos in the Sun

**Formalism:** evolve the 4x4 neutrino density matrix  $\rho(E_\nu, r)$  from production to detection

**Matter eigenstates:**

$$\rho_m = V^\dagger \cdot \begin{pmatrix} 1 & & & \\ & 0 & & \\ & & 0 & \\ & & & 0 \end{pmatrix} \cdot V$$

$V$  4x4 mixing matrix **in matter**

# Sterile neutrinos in the Sun

**Formalism:** evolve the 4x4 neutrino density matrix  $\rho(E_\nu, r)$  from production to detection

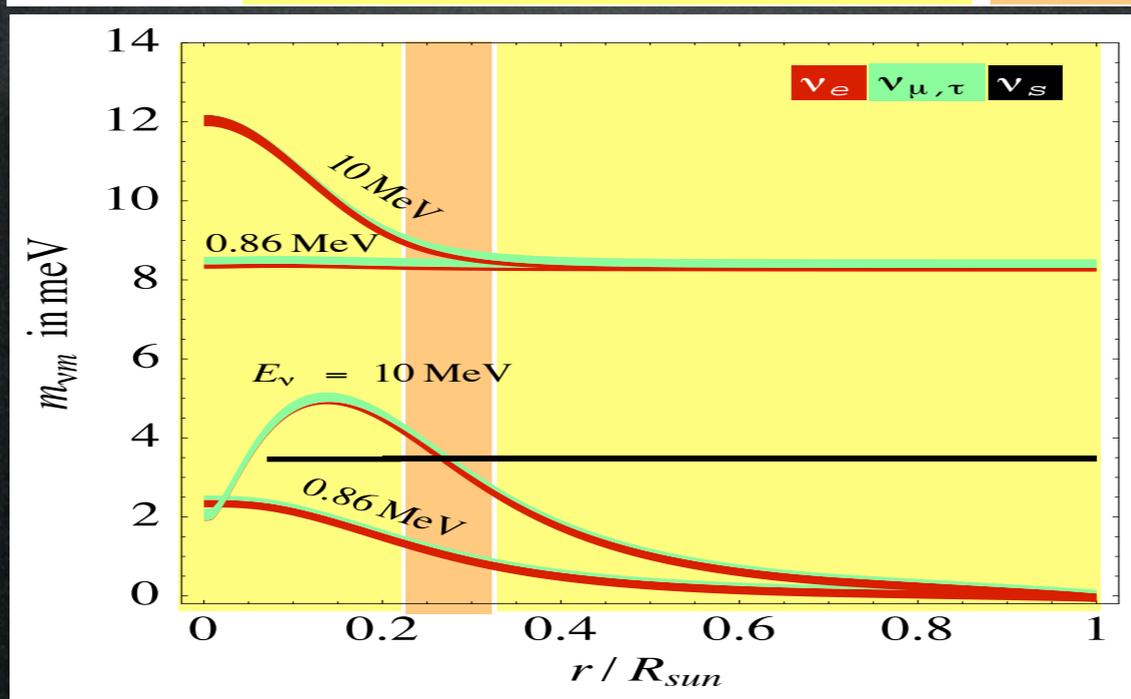
**Evolution in the Sun:**

$$\rho_{\text{out}} = \mathcal{U}_{\text{sun}} \cdot V^\dagger \cdot \begin{pmatrix} 1 & & & \\ & 0 & & \\ & & 0 & \\ & & & 0 \end{pmatrix} \cdot V \cdot \mathcal{U}_{\text{sun}}^\dagger$$

$V$  4x4 mixing matrix **in matter**

point-by-point mass eigenstates **in matter**

$$\mathcal{U}_{\text{sun}}^\dagger = \text{diag} \exp\left(i \int_0^{r_*} dr \frac{m_{\nu_i}^2}{2E_\nu}\right) \cdot U_{\text{cross}} \cdot \text{diag} \exp\left(i \int_{r_*}^{R_\odot} dr \frac{m_{\nu_i}^2}{2E_\nu}\right)$$



a rotation of  $\tan^2 \alpha = \frac{P_{\text{cross}}}{1 - P_{\text{cross}}}$

with

$$P_{\text{cross}} = \frac{e^{\tilde{\gamma} \cos^2 \theta_{as}^m} - 1}{e^{\tilde{\gamma}} - 1}$$

$$\gamma = \tilde{\gamma} \frac{\sin^2 2\theta_{as}^m}{2\pi |\cos 2\theta_{as}^m|}$$

# Sterile neutrinos in the Sun

**Formalism:** evolve the 4x4 neutrino density matrix  $\rho(E_\nu, r)$  from production to detection

**Evolution in vacuum:**

$$\rho_{\text{surf}} = \mathcal{U}_{\text{vac}} \mathcal{U}_{\text{sun}} \cdot V^\dagger \cdot \begin{pmatrix} 1 & & & \\ & 0 & & \\ & & 0 & \\ & & & 0 \end{pmatrix} \cdot V \cdot \mathcal{U}_{\text{sun}}^\dagger \mathcal{U}_{\text{vac}}^\dagger$$

$V$  4x4 mixing matrix **in matter**

$$\mathcal{U}_{\text{sun}}^\dagger = \text{diag} \exp\left(i \int_0^{r_*} dr \frac{m_{\nu_i}^2}{2E_\nu}\right) \cdot U_{\text{cross}} \cdot \text{diag} \exp\left(i \int_{r_*}^{R_\odot} dr \frac{m_{\nu_i}^2}{2E_\nu}\right)$$

$$\mathcal{U}_{\text{vac}} = \text{diag} \exp\left(-\frac{iLm_{\nu_i}^2}{2E_\nu}\right)$$

# Sterile neutrinos in the Sun

**Formalism:** evolve the 4x4 neutrino density matrix  $\rho(E_\nu, r)$  from production to detection

**Evolution in the Earth:**

$$\rho_{\text{det}} = \mathcal{U}_{\text{earth}} \mathcal{U}_{\text{vac}} \mathcal{U}_{\text{sun}} \cdot V^\dagger \cdot \begin{pmatrix} 1 & & & \\ & 0 & & \\ & & 0 & \\ & & & 0 \end{pmatrix} \cdot V \cdot \mathcal{U}_{\text{sun}}^\dagger \mathcal{U}_{\text{vac}}^\dagger \mathcal{U}_{\text{earth}}^\dagger$$

$V$  4x4 mixing matrix **in matter**

$$\mathcal{U}_{\text{sun}}^\dagger = \text{diag} \exp\left(i \int_0^{r_*} dr \frac{m_{\nu_i}^2}{2E_\nu}\right) \cdot U_{\text{cross}} \cdot \text{diag} \exp\left(i \int_{r_*}^{R_\odot} dr \frac{m_{\nu_i}^2}{2E_\nu}\right)$$

$$\mathcal{U}_{\text{vac}} = \text{diag} \exp\left(-\frac{iL m_{\nu_i}^2}{2E_\nu}\right)$$

$$\mathcal{U}_{\text{earth}} = P \cdot \text{diag} \exp\left(-iL_{\text{mantle/core}} \frac{m_{\nu_i}^2}{2E_\nu}\right) \cdot P^\dagger$$

non-adiabatic vacuum/mantle transition

# Sterile neutrinos in the Sun

**Formalism:** evolve the 4x4 neutrino density matrix  $\rho(E_\nu, r)$  from production to detection

**Back to flavor basis:**

$$\rho_{\text{fin}} = V \cdot \mathcal{U}_{\text{earth}} \mathcal{U}_{\text{vac}} \mathcal{U}_{\text{sun}} \cdot V^\dagger \cdot \begin{pmatrix} 1 & & & \\ & 0 & & \\ & & 0 & \\ & & & 0 \end{pmatrix} \cdot V \cdot \mathcal{U}_{\text{sun}}^\dagger \mathcal{U}_{\text{vac}}^\dagger \mathcal{U}_{\text{earth}}^\dagger \cdot V^\dagger$$

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$$\mathcal{U}_{\text{earth}} = P \cdot \text{diag} \exp\left(-iL_{\text{mantle/core}} \frac{m_{\nu_i}^2}{2E_\nu}\right) \cdot P^\dagger$$

From the final, evolved  $\rho_{\text{fin}}$ : oscillation quantities:

$$P(\nu_e \rightarrow \nu_e) = \rho_{ee} \quad P(\nu_e \rightarrow \nu_s) = \rho_{es} \quad \text{etc.}$$

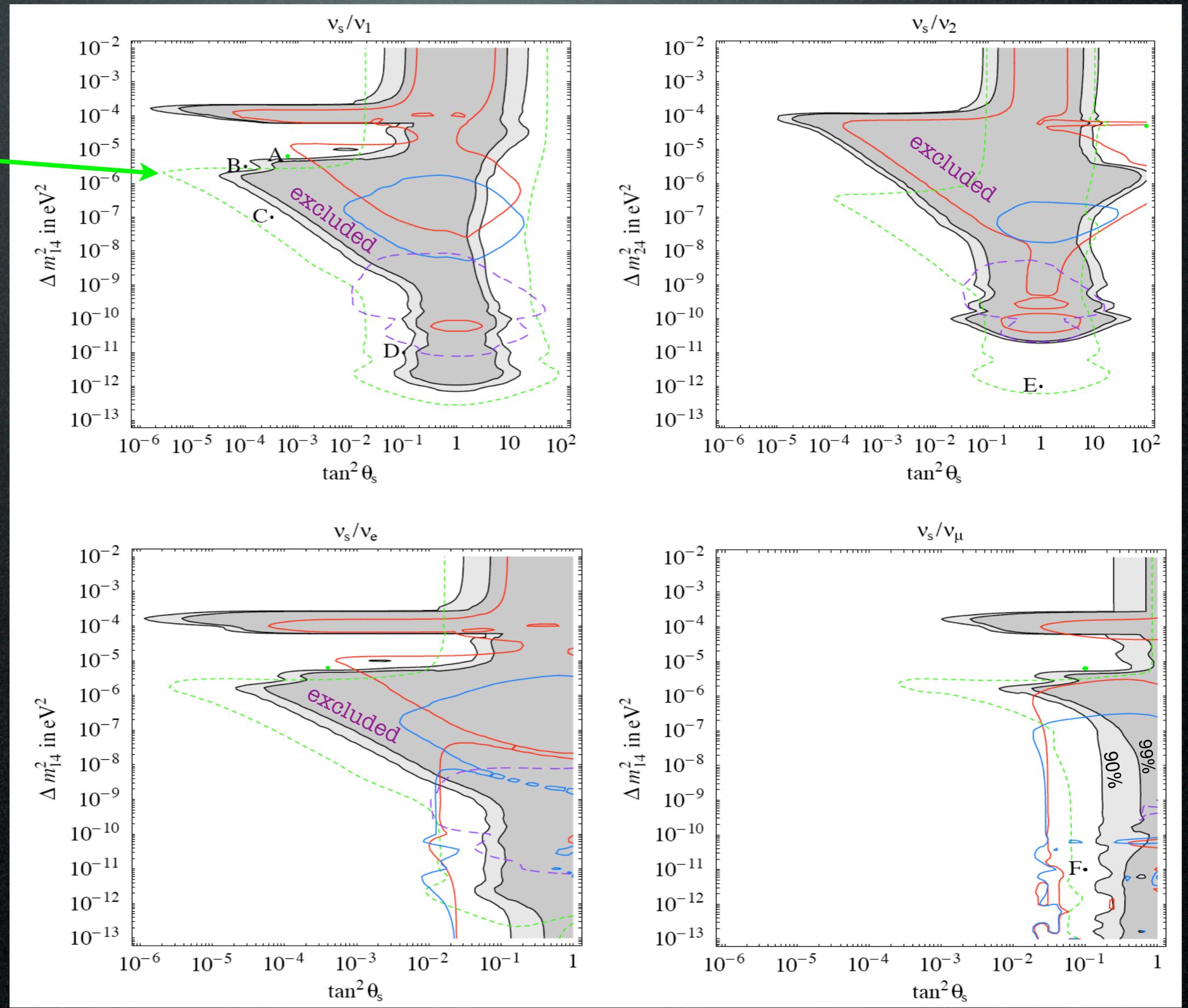
# Sterile neutrinos in the Sun

2% deviation  
from theory  
of  $P_{ee}$  at  
 $E_\nu < \text{MeV}$

1% deviation  $A_{d/n}^{\text{ES}}$   
(Mton Water Cerenkov)

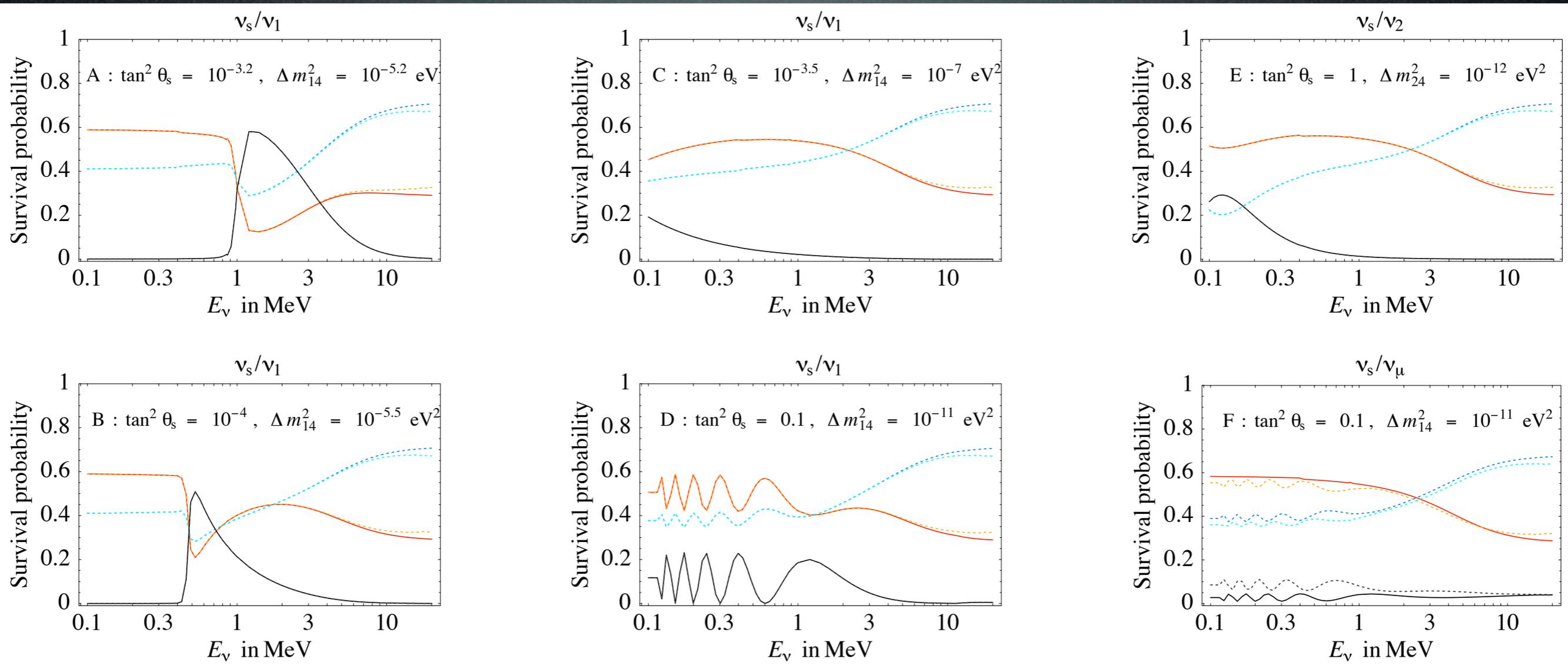
2% deviation  $A_{d/n}$   
(Borexino? KamLAND?)

2% deviation  $A_{s/w}$   
(Borexino?)



# Sterile neutrinos in the Sun

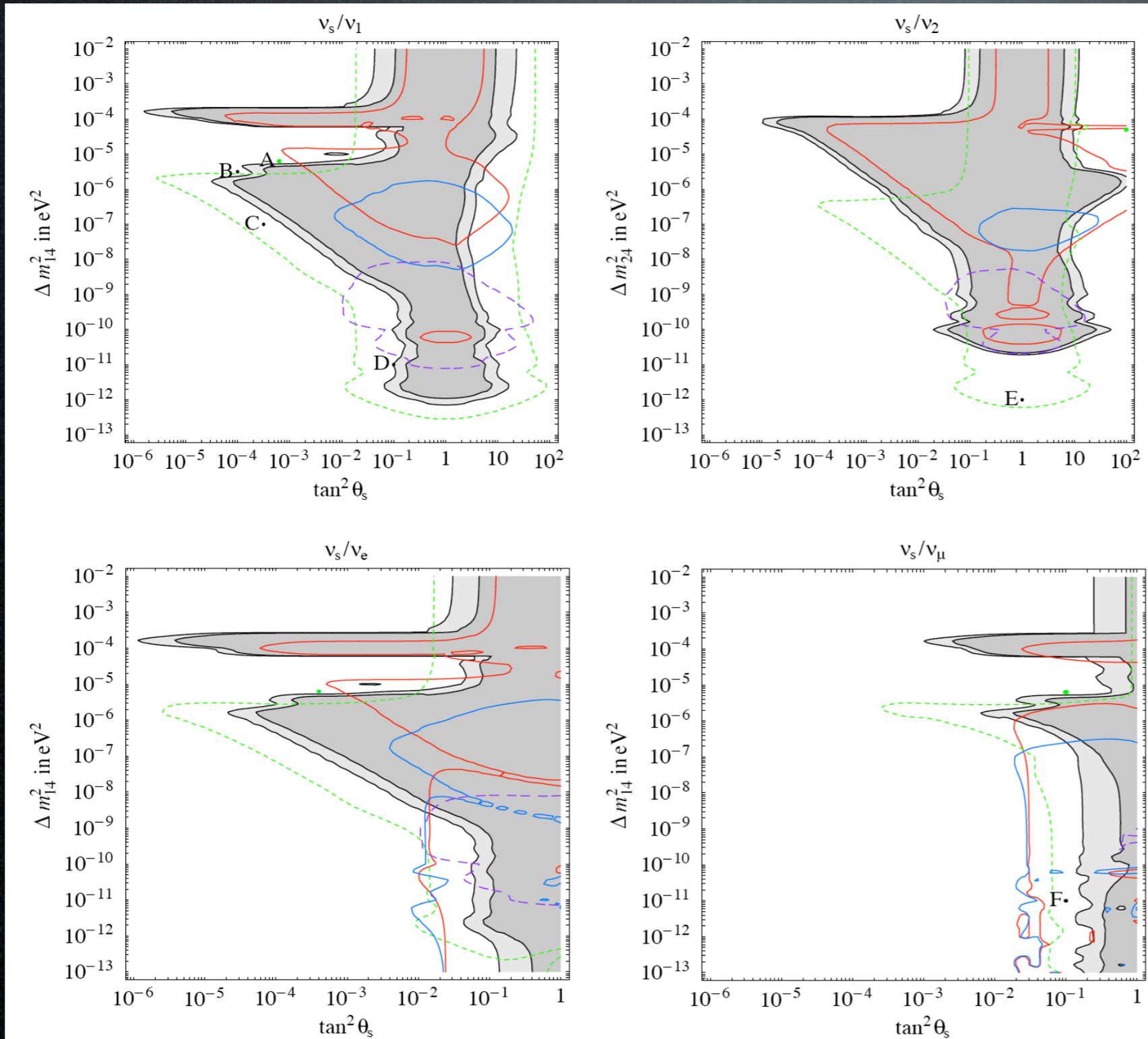
The energy dependance of matter/vacuum conversions causes **spectral distortions**:



The effects are there at **low energy**:  $E_\nu \lesssim \text{few MeV}$ .

# Sterile neutrinos in the Sun

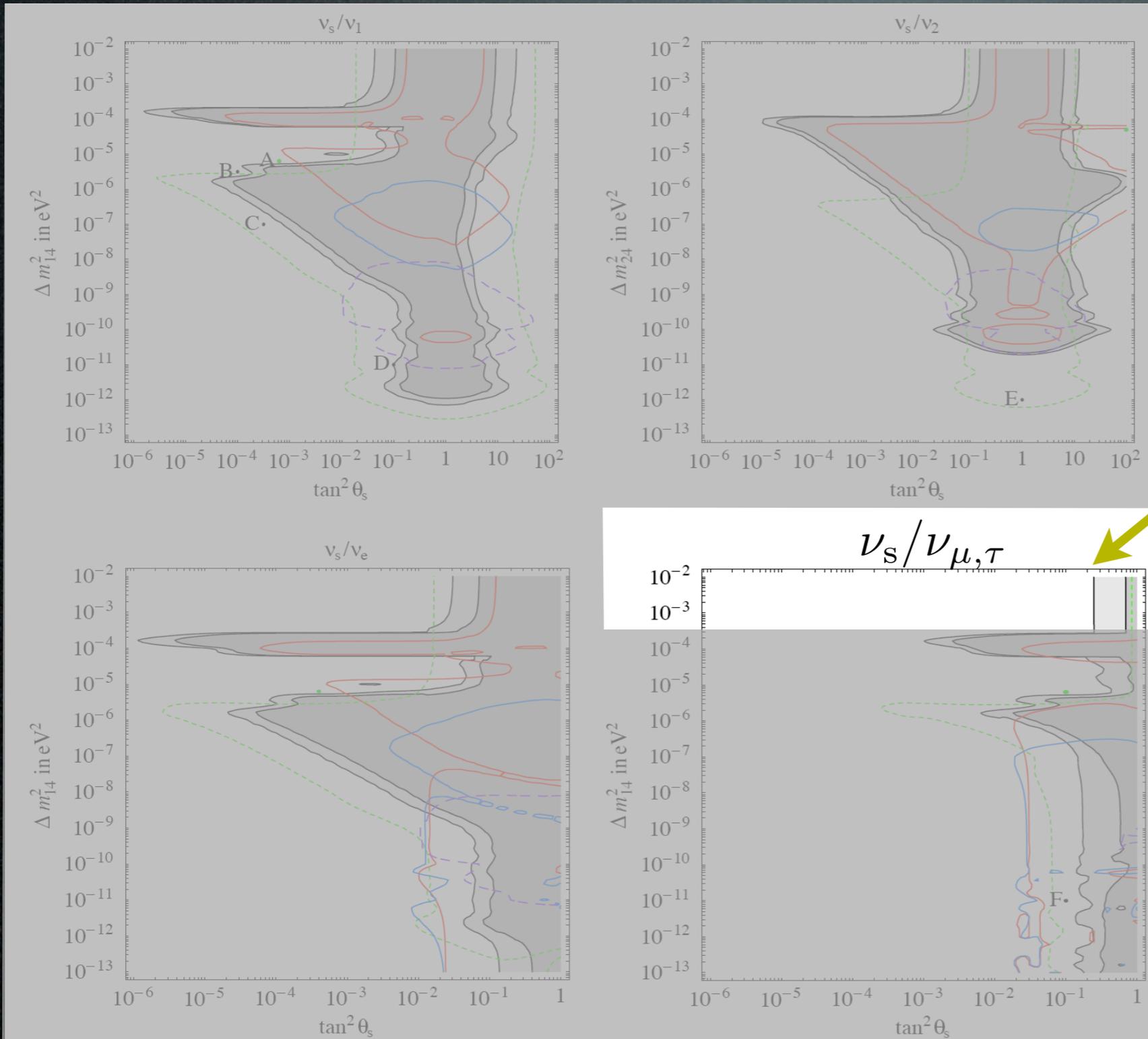
What is the “still allowed component” of sterile in solar neutrinos?



# Sterile neutrinos in the Sun

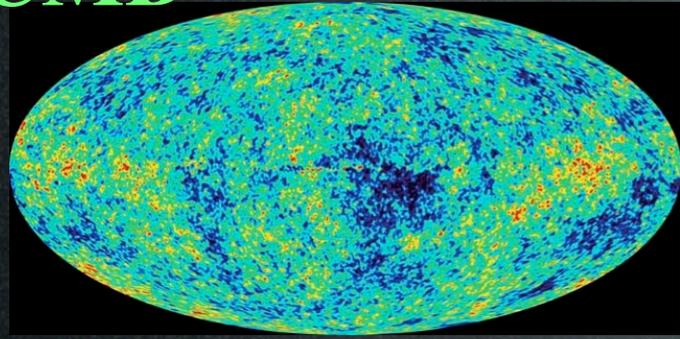
What is the “still allowed component” of sterile in solar neutrinos?

You mean the limit case  $\nu_e \rightarrow \cos \theta_s \nu_{\mu,\tau} + \sin \theta_s \nu_s$  (with large  $\Delta m_s^2$ , energy-indep. oscillations)

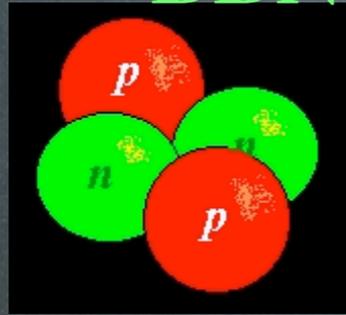


$\sin^2 \theta_s < 0.2$  (99% C.L.)

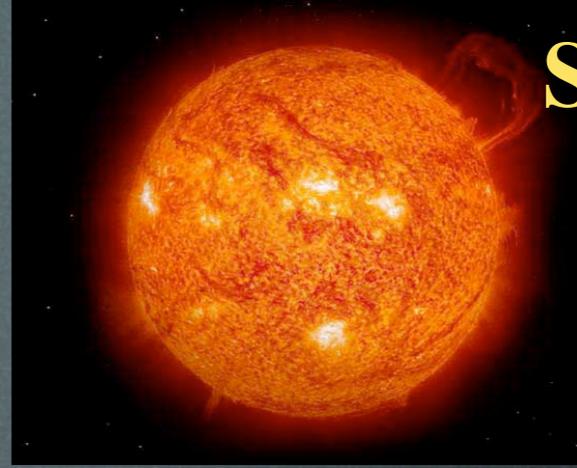
**CMB**



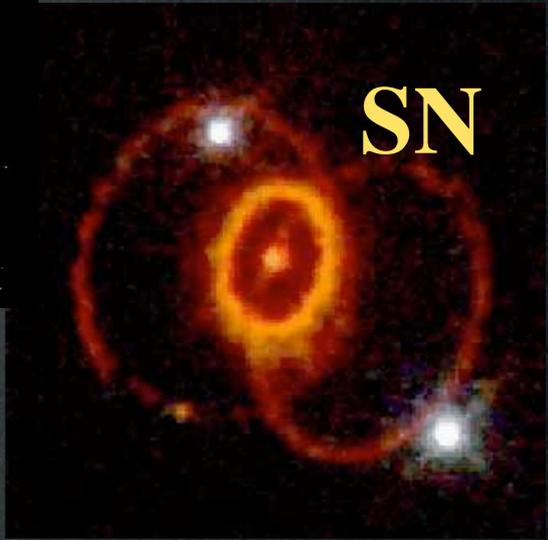
**BBN**



**Sun**



**SN**



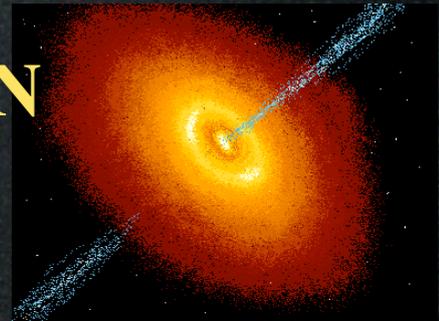
**LSS**



**Early Universe**

**Astrophysics**

**AGN**



**V S**

**Atmosphere**



**Atmo & Experiments**

**SBL**



**reactors**



**accelerators**



**Combined Results**

# Sterile neutrinos in atmo+LBL

Atmospheric neutrinos:

- are **a lot**, and well studied
- may undergo **matter effects** in Earth (but no resonances)
- are detected via NC and CC

An extra  $\nu_s$  can make a difference.

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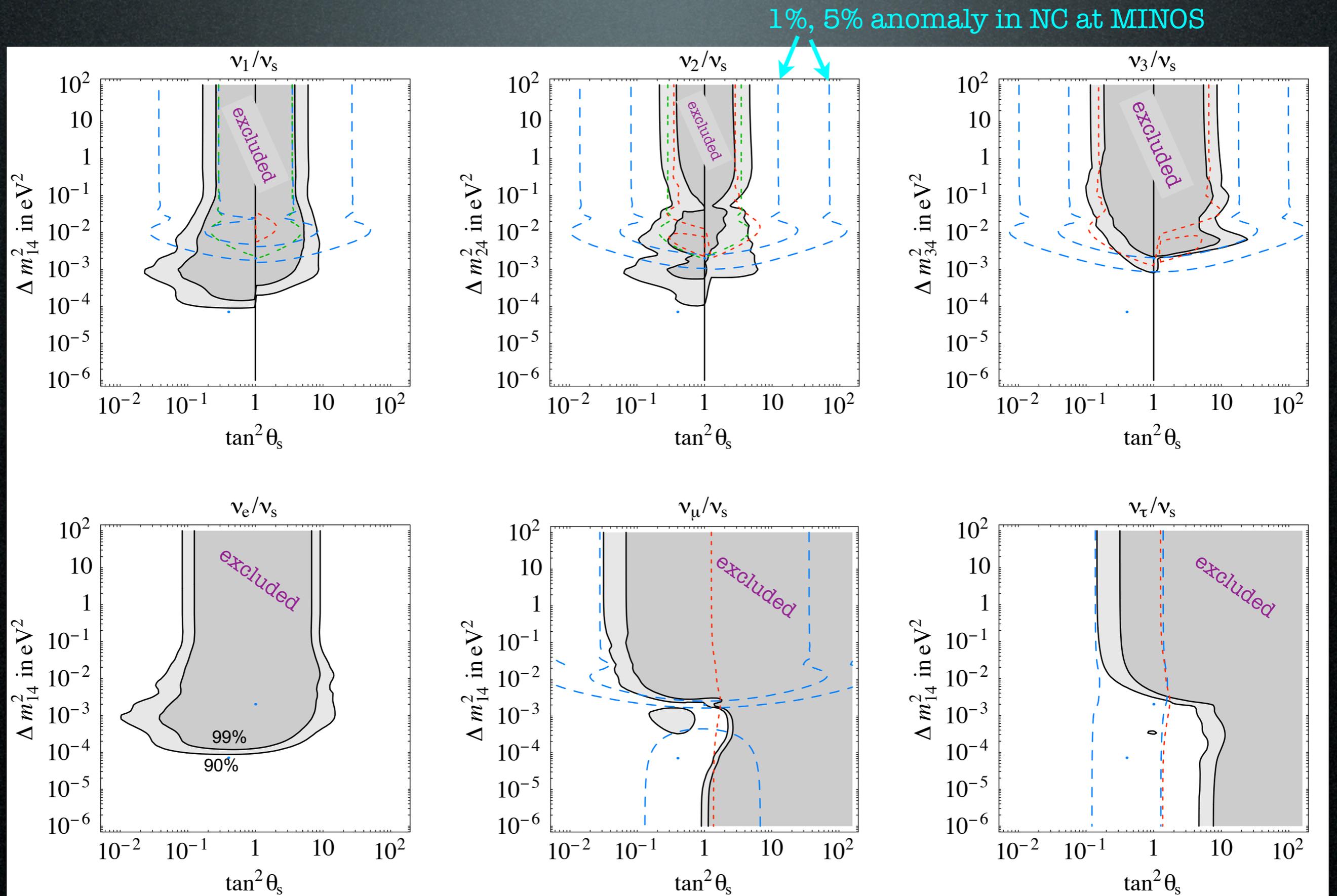
Dominant  $\nu_\mu \rightarrow \nu_s$  is excluded. [details]

Look for **subdominant** sterile effects, on top of  $\nu_\mu \rightarrow \nu_\tau$ .  
 $\Rightarrow$  **None found**. Exclusion regions.

Dataset: SK + Macro + K2K.

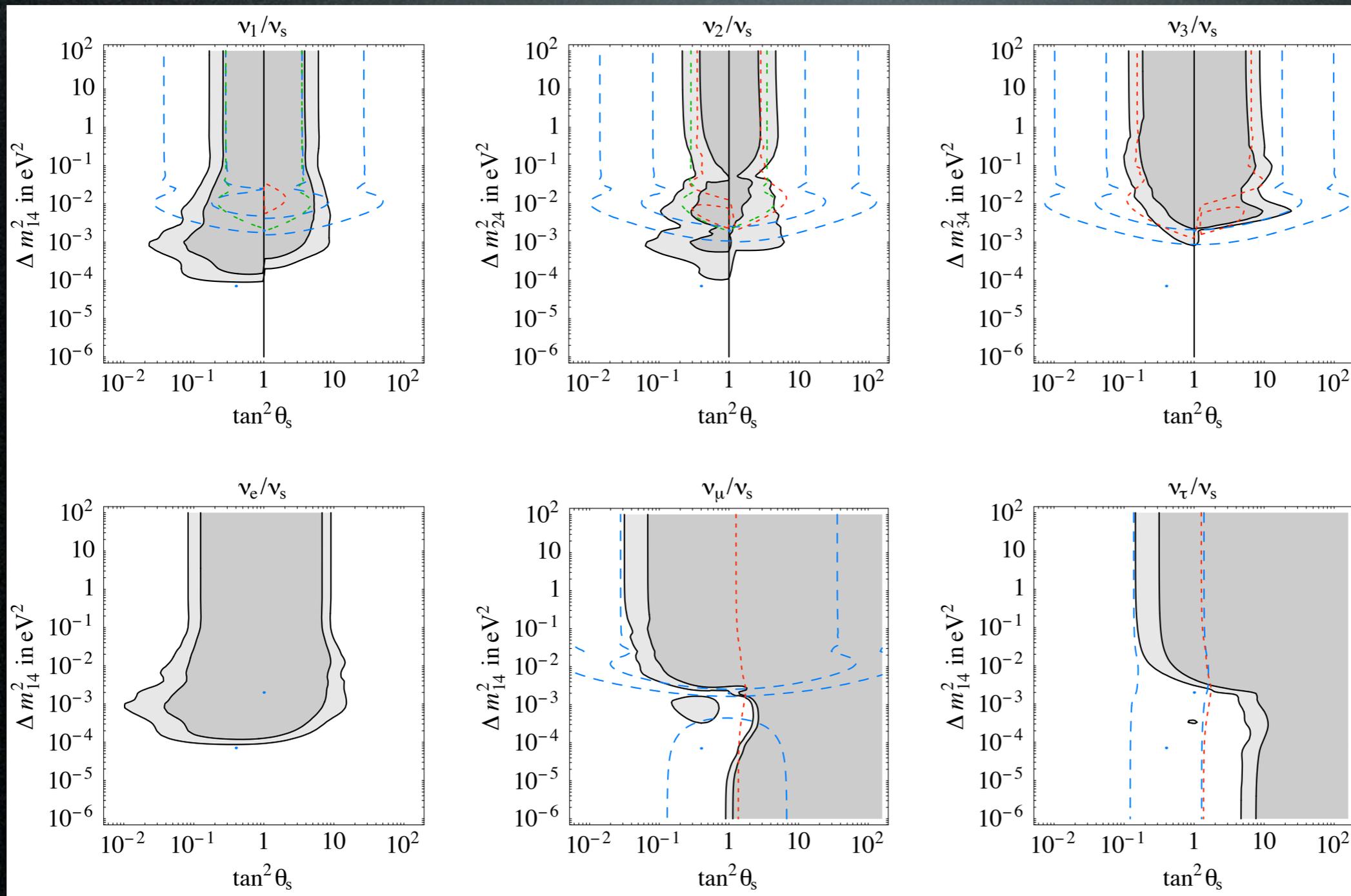
(i.e. technically, marginalizing over  $\Delta m_{23}^2 = (2.5 \pm 0.2) \cdot 10^{-3} \text{ eV}^2$ ,  $\sin^2 2\theta_{23} = 1.02 \pm 0.04$  parameters)

# Sterile neutrinos in atmo+LBL



# Sterile neutrinos in atmo+LBL

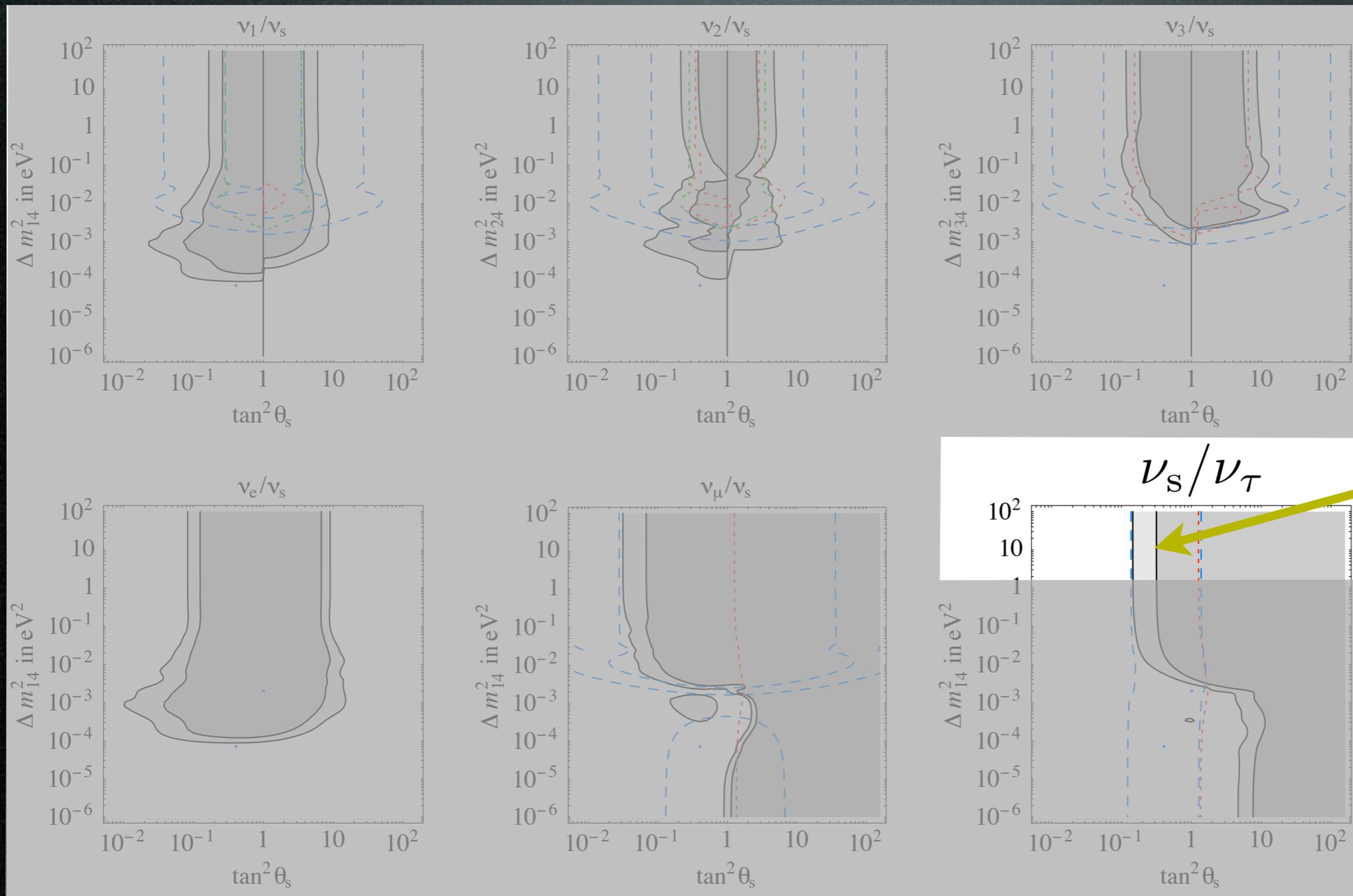
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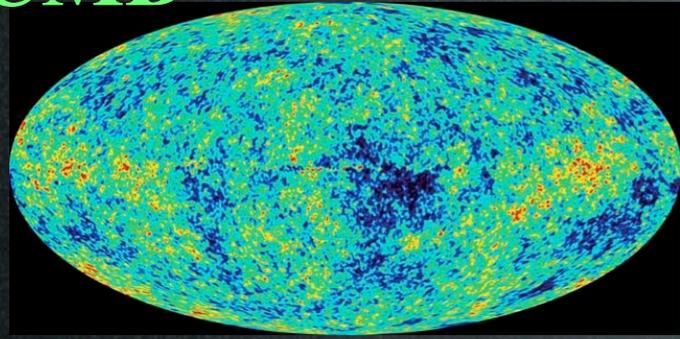
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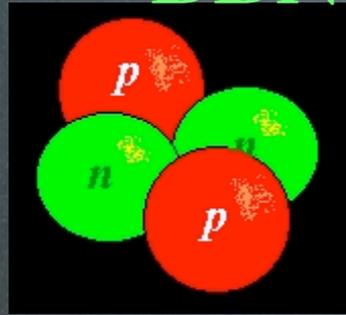


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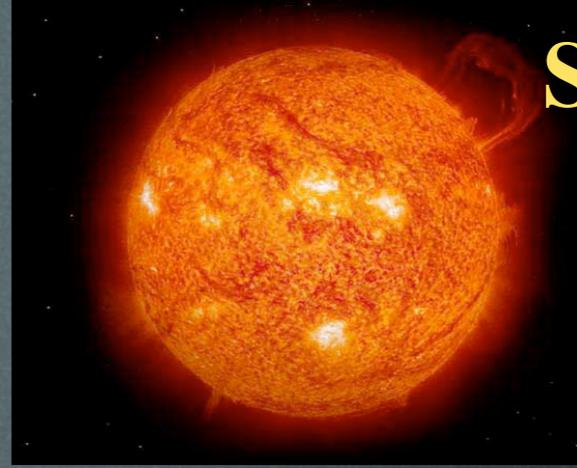
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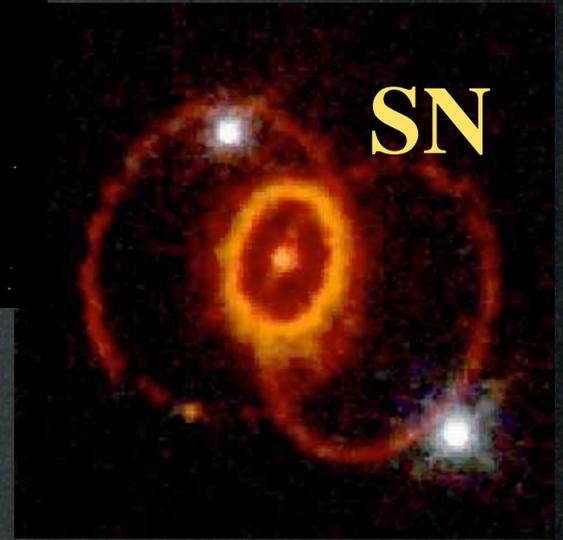
**BBN**



**Sun**



**SN**



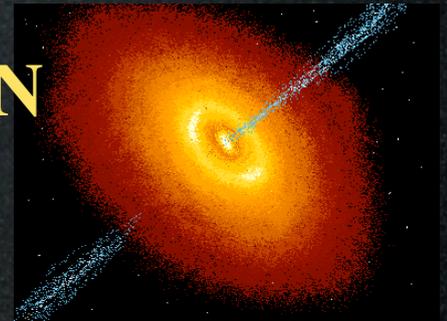
**LSS**



**Early Universe**

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**Atmosphere**



**SBL**



**reactors**



**accelerators**



**Combined Results**

# Sterile neutrinos in SBL exp.s

Many reactor/beam experiments looked for  $\bar{\nu}_e/\nu_\mu \rightarrow \nu_s$   
**disappearance** in neutrino fluxes/beams.

$\Rightarrow$  **Null results**. Exclusion regions.

Dataset:

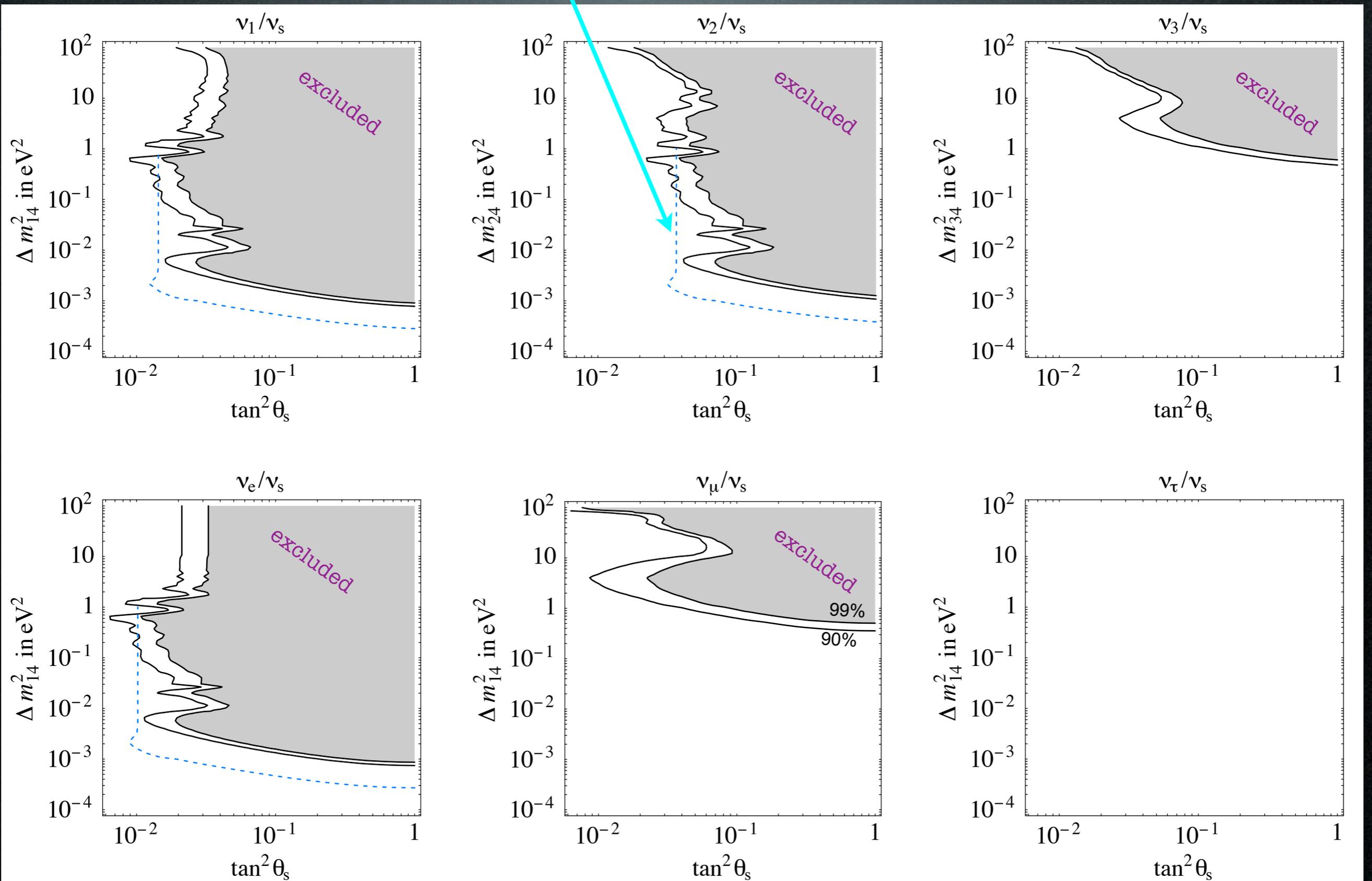
- Chooz, Bugey ( $\bar{\nu}_e$  disappearance)
- CDHS, CCFR ( $\bar{\nu}_\mu, \nu_\mu$  disappearance)
- Karmen (null  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ )
- Nomad, Chorus (null  $\nu_{\mu,e} \rightarrow \nu_\tau$  and  $\nu_\mu \rightarrow \nu_e$ )
- LSND does not fit

Method: simply vacuum oscillations, with  $\Delta m_s^2 \gg \Delta m_{\text{atm, sun}}^2$

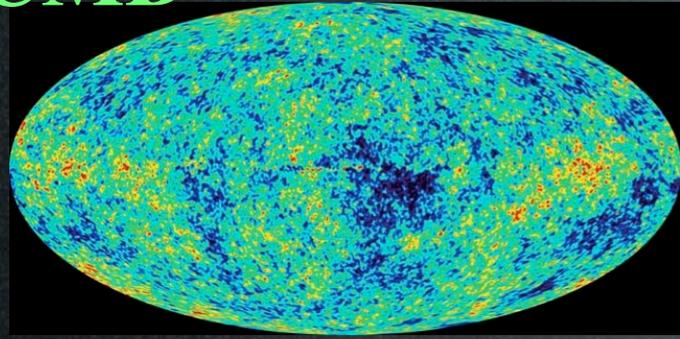
$$P(\nu_\ell \rightarrow \nu_{\ell'}) = \begin{cases} 1 - 4|V_{\ell 4}^2|(1 - |V_{\ell 4}^2|) \sin^2(\Delta m_{14}^2 L/4E_\nu) & \text{for } \ell = \ell' \\ 4|V_{\ell 4}^2||V_{\ell' 4}^2| \sin^2(\Delta m_{14}^2 L/4E_\nu) & \text{for } \ell \neq \ell' \end{cases}$$

# Sterile neutrinos in SBL exp.s

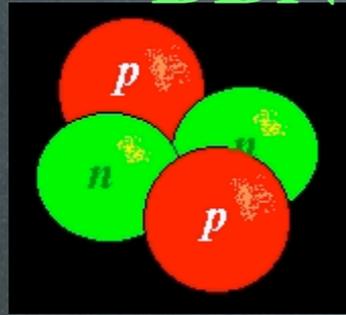
2%  $\bar{\nu}_e$  disappearance at SBL



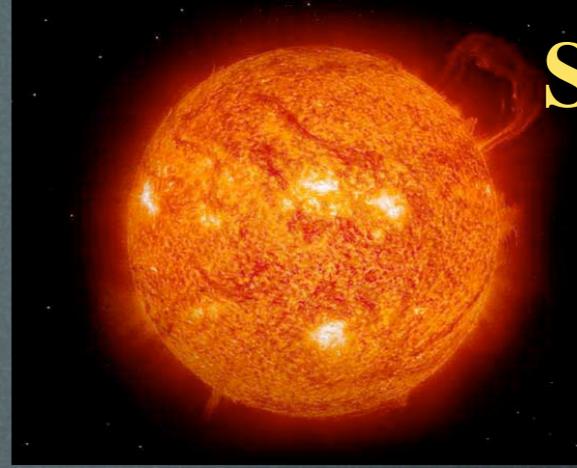
**CMB**



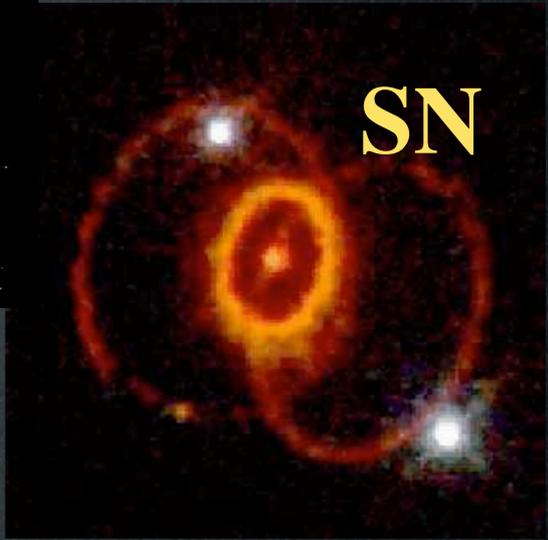
**BBN**



**Sun**



**SN**

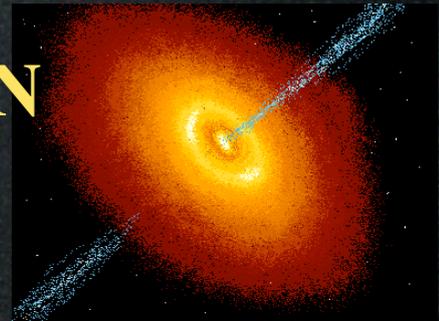


**LSS**

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**reactors**

**SBL**

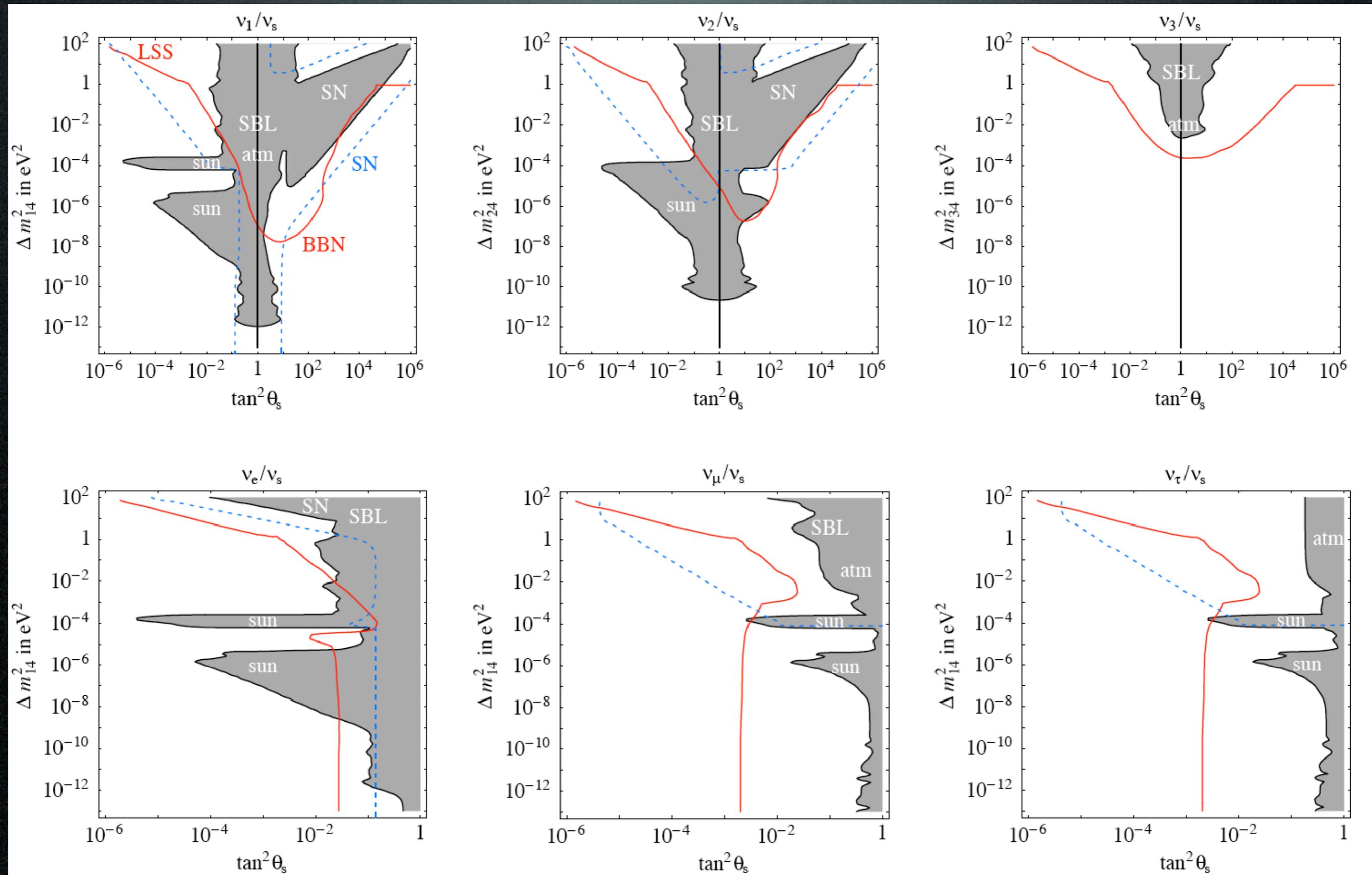


**accelerators**



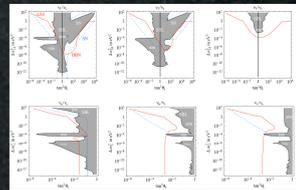
**Combined Results**

# Combined Results



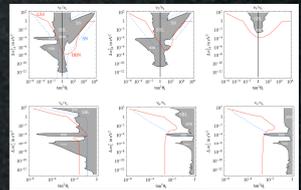
# Conclusions

- ✓ the direct/easy ways for sterile neutrinos are now **closed**
- ✓ look for **subdominant** effects, refine analysis, include all sources
- ✓ **no significant evidence** found, powerful **bounds** imposed  
in particular:  
**LSND** excluded by std cosmology,  
reallowed if large asymmetry
- ✓ **cosmo, astro,  $\nu$  exps** probe **different, complementary** scenarios



# Conclusions & Executive Summary

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in particular:  
**LSND** excluded by std cosmology,  
reallowed if large asymmetry
- ✓ **cosmo, astro,  $\nu$  exps probe different, complementary scenarios**



- **MiniBooNE?**
- cosmology: measure **He** and **D** better,  
next **CMB, LSS** will be decisive
- **low energy solar** neutrinos
- brace for the **next SN**:  $10^4$  events (but improve theory)
- **combine** data from different fields

# Extra Slides

# Cosmological Perturbations

Dodelson's (Chicago, 2003) notations

$$\left. \begin{aligned} \dot{\Theta} + ik\mu\Theta &= -\dot{\Phi} - ik\mu\Psi - \dot{\tau} \left[ \Theta_0 - \Theta + \mu v_b - 1/2 \mathcal{P}_2(\mu)\Pi \right] \\ \dot{\Theta}_P + ik\mu\Theta_P &= -\dot{\tau} \left[ \Theta_P + 1/2(1 - \mathcal{P}_2(\mu))\Pi \right] \end{aligned} \right\} \text{photons}$$

$\dot{\tau} = d\tau/d\eta = -n_e\sigma_T a \quad \Pi = \Theta_2 + \Theta_{P2} + \Theta_{P0}$

$$\left. \begin{aligned} \dot{\delta}_{\text{dm}} + ikv_{\text{dm}} &= -3\dot{\Phi} \\ \dot{v}_{\text{dm}} + \frac{\dot{a}}{a}v_{\text{dm}} &= -ik\Psi \end{aligned} \right\} \text{dark matter}$$

$$\left. \begin{aligned} \dot{\delta}_b + ikv_b &= -3\dot{\Phi} \\ \dot{v}_b + \frac{\dot{a}}{a}v_b &= -ik\Psi + \frac{\dot{\tau}}{R} [v_b + 3i\Theta_1] \end{aligned} \right\} \text{baryons}$$

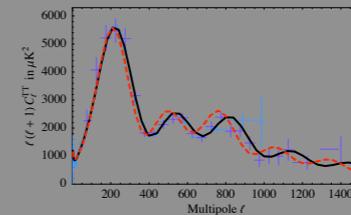
$R = 3\rho_b^0/4\rho_\gamma^0$

$$\left. \dot{\mathcal{N}} + i\frac{q_\nu}{E_\nu}k\mu\mathcal{N} = -\dot{\Phi} - i\frac{E_\nu}{q_\nu}k\mu\Psi \right\} \text{neutrinos}$$

$$\left. \begin{aligned} k^2\Phi + 3\frac{\dot{a}}{a} \left( \dot{\Phi} - \Psi\frac{\dot{a}}{a} \right) &= 4\pi G_N a^2 [\rho_m\delta_m + 4\rho_r\delta_r] \\ k^2(\Phi + \Psi) &= -32\pi G_N a^2 \rho_r \Theta_{r,2} \end{aligned} \right\} \text{metric}$$

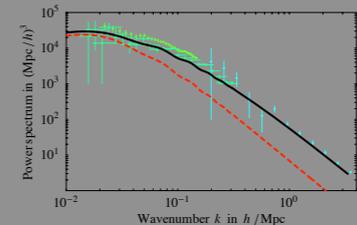
CMB Power spectrum

$$C_\ell \propto \int dk [\dots] \Theta_\ell(k)$$



Matter Power spect.

$$P(k) \propto \langle \delta_m(k)^2 \rangle$$



# Cosmological Perturbations

$$\dot{\Theta} + ik\mu\Theta = -\dot{\Phi} - ik\mu\Psi - \dot{\tau} \left[ \Theta_0 - \Theta + \mu v_b - 1/2 \mathcal{P}_2(\mu)\Pi \right] \left. \vphantom{\dot{\Theta} + ik\mu\Theta} \right\} \text{photons}$$

$\dot{\tau} = d\tau/d\eta = -n_e\sigma_T a \quad \Pi = \Theta_2 + \Theta_{P2} + \Theta_{P0}$

$$\Theta = \frac{\delta T}{T} \quad f(\vec{x}, \vec{p}) = \frac{1}{e^{\frac{p}{T+\delta T}} - 1}$$

Fourier:  $\Theta(\vec{x}, \vec{p}, t) \longrightarrow \Theta(k, \mu, \eta) \quad \mu = \hat{k} \cdot \hat{p}$

Expand in multipoles:

$$\Theta_\ell(k, \eta) = \frac{1}{(-1)^\ell} \int_{-1}^1 d\mu \frac{1}{2} \mathcal{P}_\ell(\mu) \Theta(k, \mu, \eta)$$

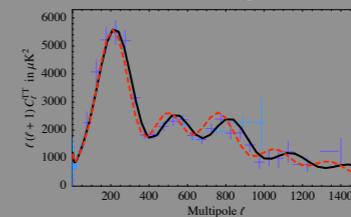
$$\dot{v}_b + \frac{\dot{a}}{a} v_b = -ik\Psi + \frac{\dot{\tau}}{R} [v_b + 3i\Theta_1] \left. \vphantom{\dot{v}_b + \frac{\dot{a}}{a} v_b} \right\} \text{baryons}$$

$$\dot{\mathcal{N}} + i \frac{q_\nu}{E_\nu} k\mu \mathcal{N} = -\dot{\Phi} - i \frac{E_\nu}{q_\nu} k\mu \Psi \left. \vphantom{\dot{\mathcal{N}} + i \frac{q_\nu}{E_\nu} k\mu \mathcal{N}} \right\} \text{neutrinos}$$

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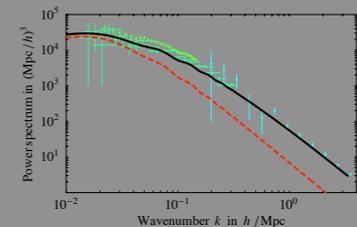
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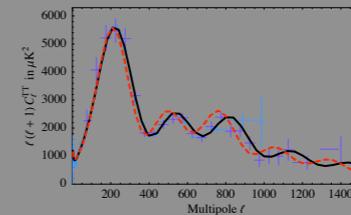
$$\delta_{\text{dm}} = \frac{\delta\rho_{\text{dm}}}{\rho_{\text{dm}}} \quad \rho_{\text{dm}}(\vec{x}, t) = \rho_{\text{dm}}^0 \left( 1 + \delta_{\text{dm}}(\vec{x}, t) \right)$$

and velocity  $v_{\text{dm}}$

Fourier:  $\delta_{\text{dm}}(\vec{x}, t) \longrightarrow \delta_{\text{dm}}(k, \eta)$   
 $v_{\text{dm}}(\vec{x}, t) \longrightarrow v_{\text{dm}}(k, \eta)$

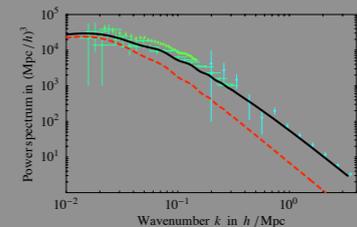
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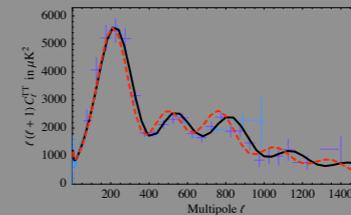
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$R = 3\rho_b^0/4\rho_\gamma^0$

$\delta_b(k, \eta)$       Thomson scattering  
 $v_b(k, \eta)$        $e^- \gamma \longleftrightarrow e^- \gamma$

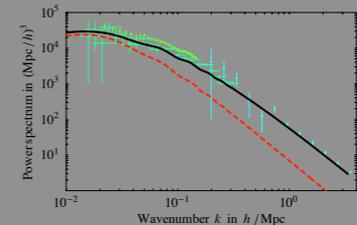
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$$\left. \begin{aligned} \dot{\Theta} + ik\mu\Theta &= -\dot{\Phi} - ik\mu\Psi - \dot{\tau} \left[ \Theta_0 - \Theta + \mu v_b - 1/2 \mathcal{P}_2(\mu)\Pi \right] \\ \dot{\Theta}_P + ik\mu\Theta_P &= -\dot{\tau} \left[ \Theta_P + 1/2(1 - \mathcal{P}_2(\mu))\Pi \right] \end{aligned} \right\} \text{photons}$$

$\dot{\tau} = d\tau/d\eta = -n_e\sigma_T a \quad \Pi = \Theta_2 + \Theta_{P2} + \Theta_{P0}$

$$\left. \begin{aligned} \dot{\delta}_{\text{dm}} + ikv_{\text{dm}} &= -3\dot{\Phi} \\ \dot{v}_{\text{dm}} + \frac{\dot{a}}{a}v_{\text{dm}} &= -ik\Psi \end{aligned} \right\} \text{dark matter}$$

scalar metric perturbations:  $g_{\mu\nu} = \eta_{\mu\nu} + \delta\eta_{\mu\nu}(\Psi, \Phi)$

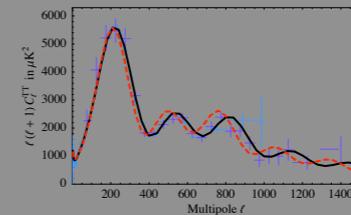
$$g_{\mu\nu} = \begin{pmatrix} -1 - 2\Psi & 0 & 0 & 0 \\ 0 & a^2(1 + 2\Phi) & 0 & 0 \\ 0 & 0 & a^2(1 + 2\Phi) & 0 \\ 0 & 0 & 0 & a^2(1 + 2\Phi) \end{pmatrix}$$

Fourier:  $\Psi(\vec{x}, t) \longrightarrow \Psi(k, \eta)$

$\Phi(\vec{x}, t) \longrightarrow \Phi(k, \eta)$

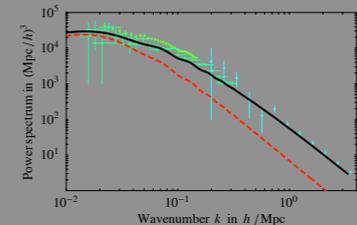
CMB Power spectrum

$$C_\ell \propto \int dk [\dots] \Theta_\ell(k)$$



Matter Power spect.

$$P(k) \propto \langle \delta_m(k)^2 \rangle$$



$$\left. \begin{aligned} k^2\Phi + 3\frac{\dot{a}}{a} \left( \dot{\Phi} - \Psi\frac{\dot{a}}{a} \right) &= 4\pi G_N a^2 [\rho_m \delta_m + 4\rho_r \delta_r] \\ k^2(\Phi + \Psi) &= -32\pi G_N a^2 \rho_r \Theta_{r,2} \end{aligned} \right\} \text{metric}$$

# Cosmological Perturbations

$$\left. \begin{aligned} \dot{\Theta} + ik\mu\Theta &= -\dot{\Phi} - ik\mu\Psi - \dot{\tau} \left[ \Theta_0 - \Theta + \mu v_b - 1/2 \mathcal{P}_2(\mu)\Pi \right] \\ \dot{\Theta}_P + ik\mu\Theta_P &= -\dot{\tau} \left[ \Theta_P + 1/2(1 - \mathcal{P}_2(\mu))\Pi \right] \end{aligned} \right\} \text{photons}$$

$\dot{\tau} = d\tau/d\eta = -n_e\sigma_T a \quad \Pi = \Theta_2 + \Theta_{P2} + \Theta_{P0}$

$$\left. \begin{aligned} \dot{\delta}_{\text{dm}} + ikv_{\text{dm}} &= -3\dot{\Phi} \\ \dot{v}_{\text{dm}} + \frac{\dot{a}}{a}v_{\text{dm}} &= -ik\Psi \end{aligned} \right\} \text{dark matter}$$

$$\left. \begin{aligned} \dot{\delta}_b + ikv_b &= -3\dot{\Phi} & R = 3\rho_b^0/4\rho_\gamma^0 \\ \dot{v}_b + \frac{\dot{a}}{a}v_b &= -ik\Psi + \frac{\dot{\tau}}{R} [v_b + 3i\Theta_1] \end{aligned} \right\} \text{baryons}$$

$$\left. \dot{\mathcal{N}} + i\frac{q_\nu}{E_\nu}k\mu\mathcal{N} = -\dot{\Phi} - i\frac{E_\nu}{q_\nu}k\mu\Psi \right\} \text{neutrinos}$$

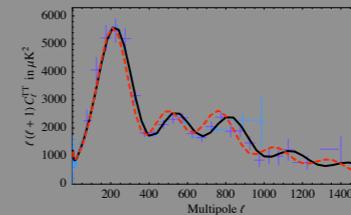
massless or massive neutrinos  $E_\nu = \sqrt{p_\nu^2 + m_\nu^2}$

Fourier:  $\mathcal{N}(\vec{x}, \vec{p}, t) \longrightarrow \mathcal{N}(k, \mu, \eta)$

Expand in multipoles:  $\mathcal{N}_\ell(k, \mu, \eta)$

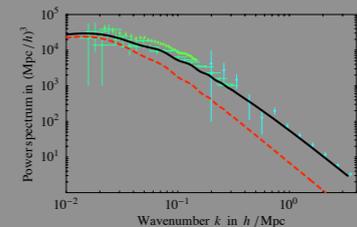
CMB Power spectrum

$$C_\ell \propto \int dk [\dots] \Theta_\ell(k)$$



Matter Power spect.

$$P(k) \propto \langle \delta_m(k)^2 \rangle$$



$$k^2 (\Phi + \Psi) = -32\pi G_N a^2 \rho_r \Theta_{r,2}$$

# Cosmological Perturbations

$$\left. \begin{aligned} \dot{\Theta} + ik\mu\Theta &= -\dot{\Phi} - ik\mu\Psi - \dot{\tau} \left[ \Theta_0 - \Theta + \mu v_b - 1/2 \mathcal{P}_2(\mu)\Pi \right] \\ \dot{\Theta}_P + ik\mu\Theta_P &= -\dot{\tau} \left[ \Theta_P + 1/2(1 - \mathcal{P}_2(\mu))\Pi \right] \end{aligned} \right\} \text{photons}$$

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$$\left. \begin{aligned} \dot{\delta}_b + ikv_b &= -3\dot{\Phi} \\ \dot{v}_b + \frac{\dot{a}}{a}v_b &= -ik\Psi + \frac{\dot{\tau}}{R} [v_b + 3i\Theta_1] \end{aligned} \right\} \text{baryons}$$

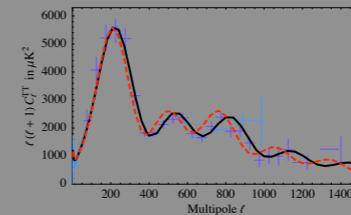
$R = 3\rho_b^0/4\rho_\gamma^0$

$$\left. \dot{\mathcal{N}} + i\frac{q_\nu}{E_\nu}k\mu\mathcal{N} = -\dot{\Phi} - i\frac{E_\nu}{q_\nu}k\mu\Psi \right\} \text{neutrinos}$$

$$\left. \begin{aligned} k^2\Phi + 3\frac{\dot{a}}{a} \left( \dot{\Phi} - \Psi\frac{\dot{a}}{a} \right) &= 4\pi G_N a^2 [\rho_m\delta_m + 4\rho_r\delta_r] \\ k^2(\Phi + \Psi) &= -32\pi G_N a^2 \rho_r \Theta_{r,2} \end{aligned} \right\} \text{metric}$$

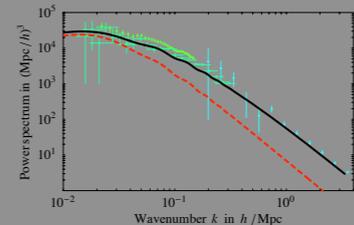
CMB Power spectrum

$$C_\ell \propto \int dk [\dots] \Theta_\ell(k)$$



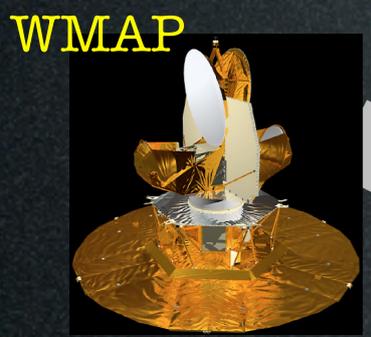
Matter Power spect.

$$P(k) \propto \langle \delta_m(k)^2 \rangle$$

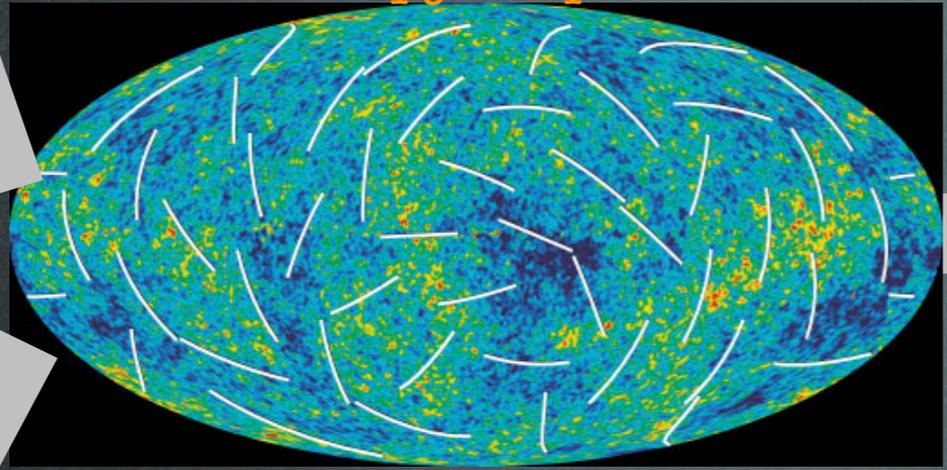


# The dataset

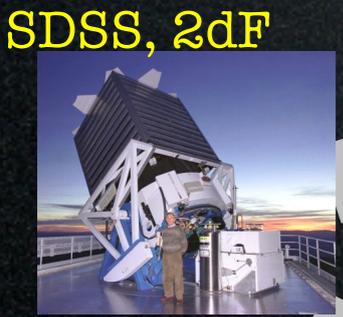
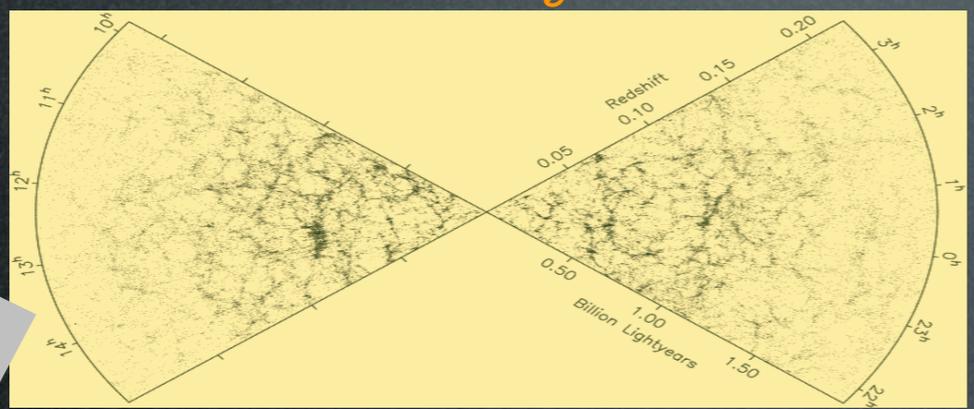
( → = some highly non trivial steps )



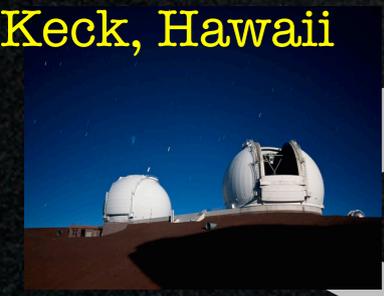
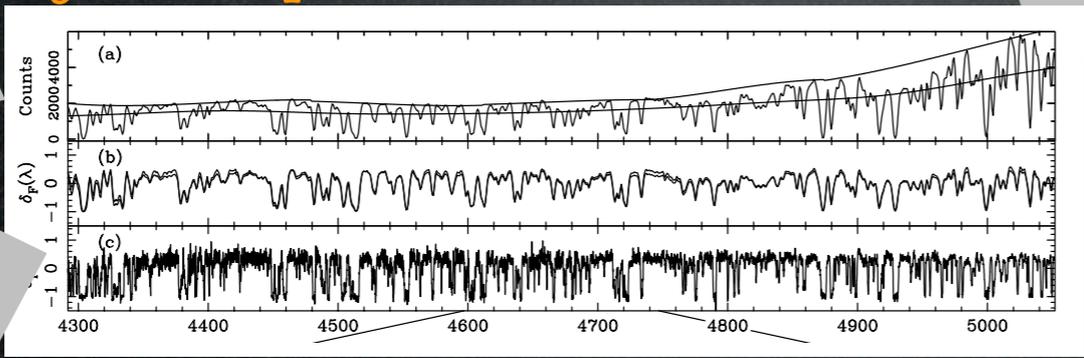
CMB anisotropy map



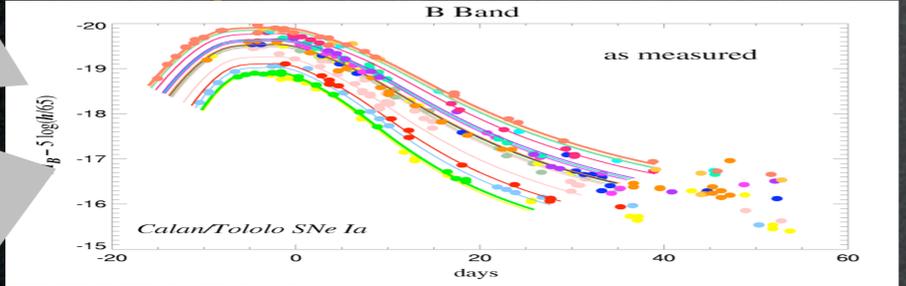
LSS redshift survey



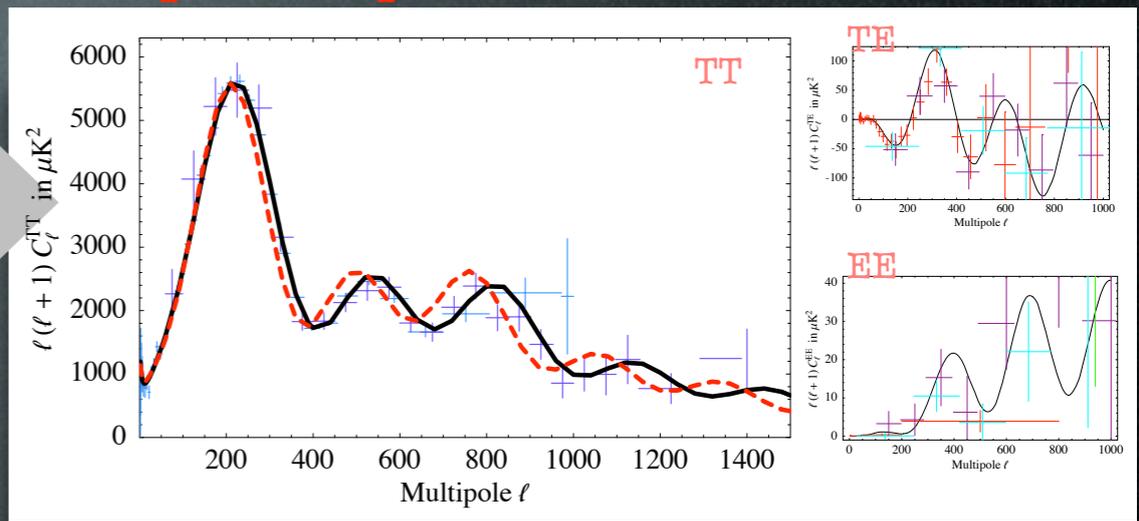
Lyman-alpha forest



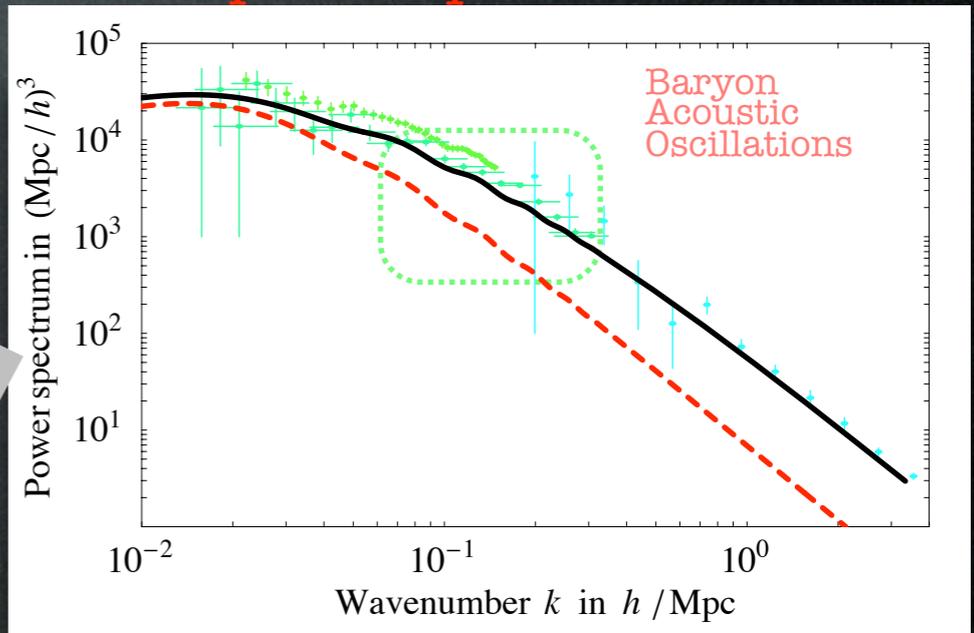
SNIa lightcurves



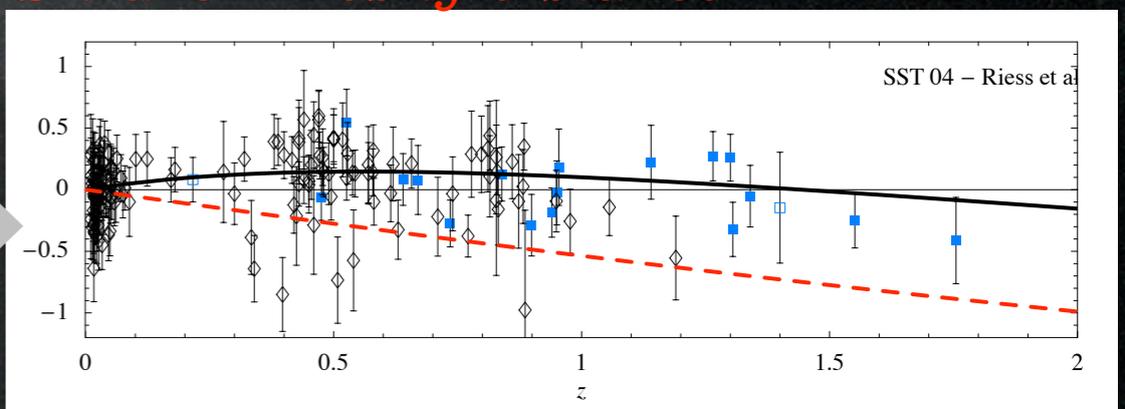
CMB power spectrum



matter power spectrum



SNIa luminosity distance



# The dataset

## CMB Temperature and Polarization:

- **WMAP 3-years** (TT, TE, EE spectra) [WMAP Science Team, astro-ph/0603449](#) →
- Boomerang 2003 (TT, TE, EE) [Boomerang Coll., astro-ph/0507494, astro-ph/0507507, astro-ph/0507514](#)
- ACBAR (TT) [Kuo et al., astro-ph/0212289](#) →
- CAPMAP (EE) [Barkats et al., astro-ph/0409380](#)
- CBI (TT, EE) [Readhead et al., astro-ph/0402359, astro-ph/0409569, Sievers et al., astro-ph/0509203](#) →
- DASI (TE, EE) [Leitch et al., astro-ph/0409357](#)
- VSA (TT) [Grainge et al., astro-ph/0212495](#)

## LSS galaxy redshift surveys: dealing with bias and non-linearities as

- SDSS [SDSS Coll., astro-ph/0310725](#) →
- 2dF [2dF Coll., astro-ph/0501174](#)

$$P_{\text{gal}}(k) = b^2 \frac{1 + Q k^2}{1 + A k} P(k)$$

## Baryon Acoustic Oscillations: in terms of a measurement of

[Eisenstein et al., astro-ph/0501171](#)

$$A = \left( \frac{D_A^2 c z}{H(z)} \right)^{1/3} \frac{\sqrt{\Omega_{\text{matter}} H_0^2}}{0.35 c}$$

## Lyman- $\alpha$ Forest:

- Croft [Croft et al., astro-ph/0012324](#)
- SDSS [SDSS Coll., astro-ph/0407377](#)

## Type Ia Supernovae:

- SST Gold sample [Riess et al., astro-ph/0402512](#) →
- SNLS [Astier et al., astro-ph/0510447](#) →

## Hubble constant:

[HST Project, Freedman et al., astro-ph/0012376](#)

$$h = 0.72 \pm 0.08 \quad H_0 = 100h \text{ km/sec/Mpc}$$

# The computational tool



We use **our own code** in **MATHEMATICA 5.2** to **as opposed to:**

- evolve cosmological perturbations, **CMBfast/CAMB**
- compute spectra and **CMBfast/CAMB**
- run statistical comparisons with data. **CosmoMC**

(Recombination is implemented calling recfast.)

We adopt **gaussian** statistics.

For Standard Cosmology we obtain:

fit	$A_s$	$h$	$n_s$	$\tau$	$100\Omega_b h^2$	$\Omega_{\text{DM}} h^2$
WMAP3	$0.80 \pm 0.05$	$0.704 \pm 0.033$	$0.935 \pm 0.019$	$0.081 \pm 0.030$	$2.24 \pm 0.10$	$0.113 \pm 0.010$
Global	$0.84 \pm 0.04$	$0.729 \pm 0.013$	$0.951 \pm 0.012$	$0.121 \pm 0.025$	$2.36 \pm 0.07$	$0.117 \pm 0.003$

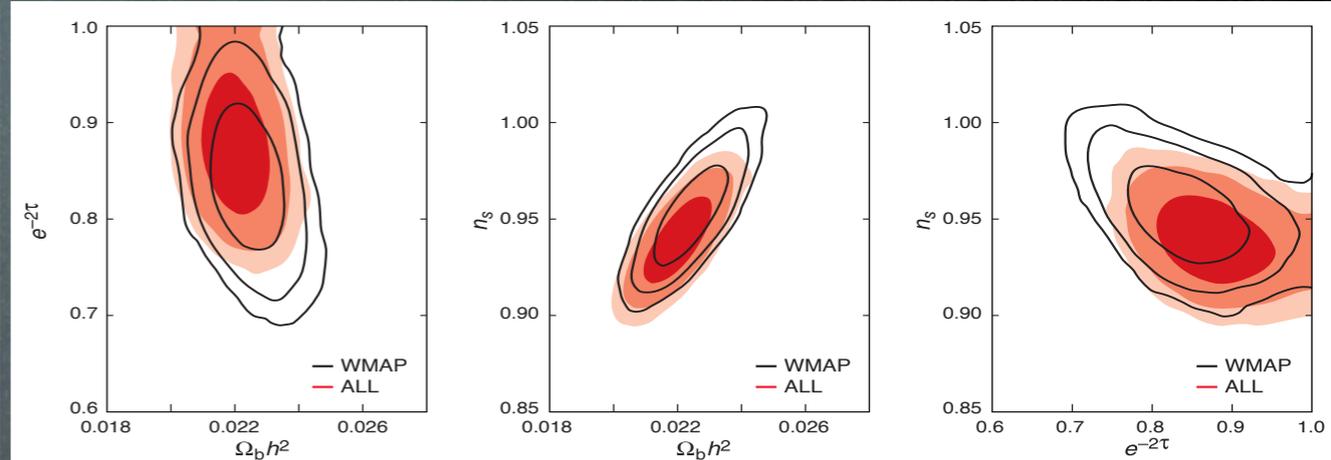
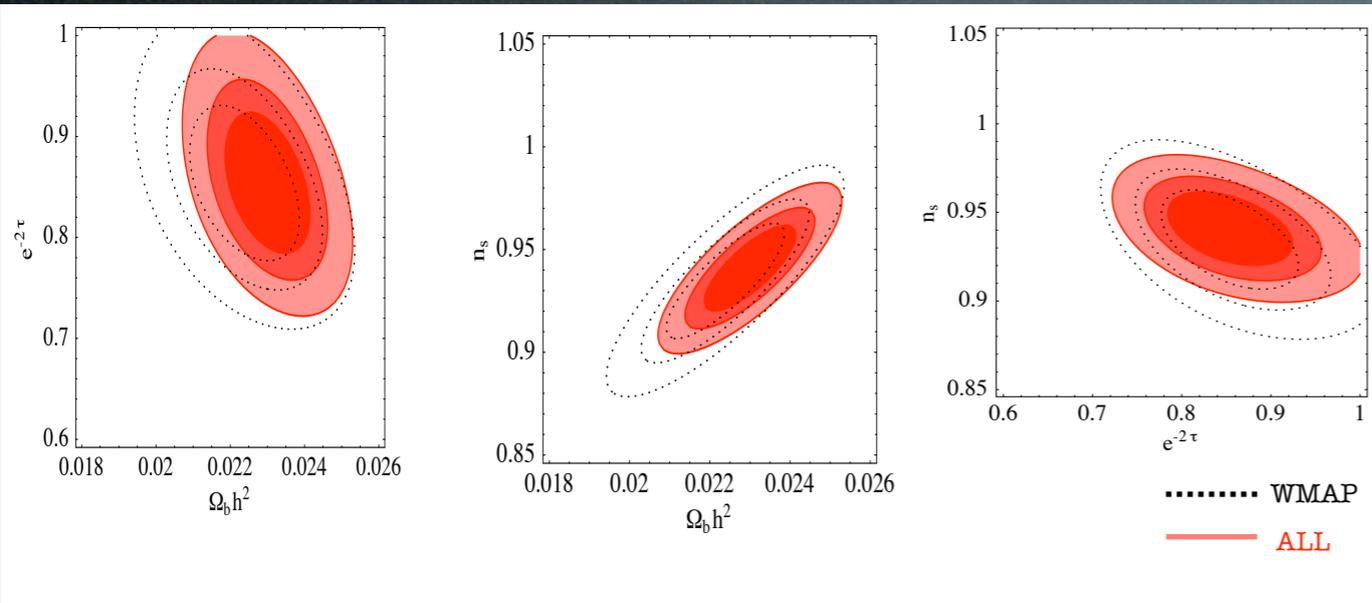
(assumes  $\mathfrak{Z}_{.04}$  massless, freely-streaming neutrinos).

# Comparing our code

Our analysis:



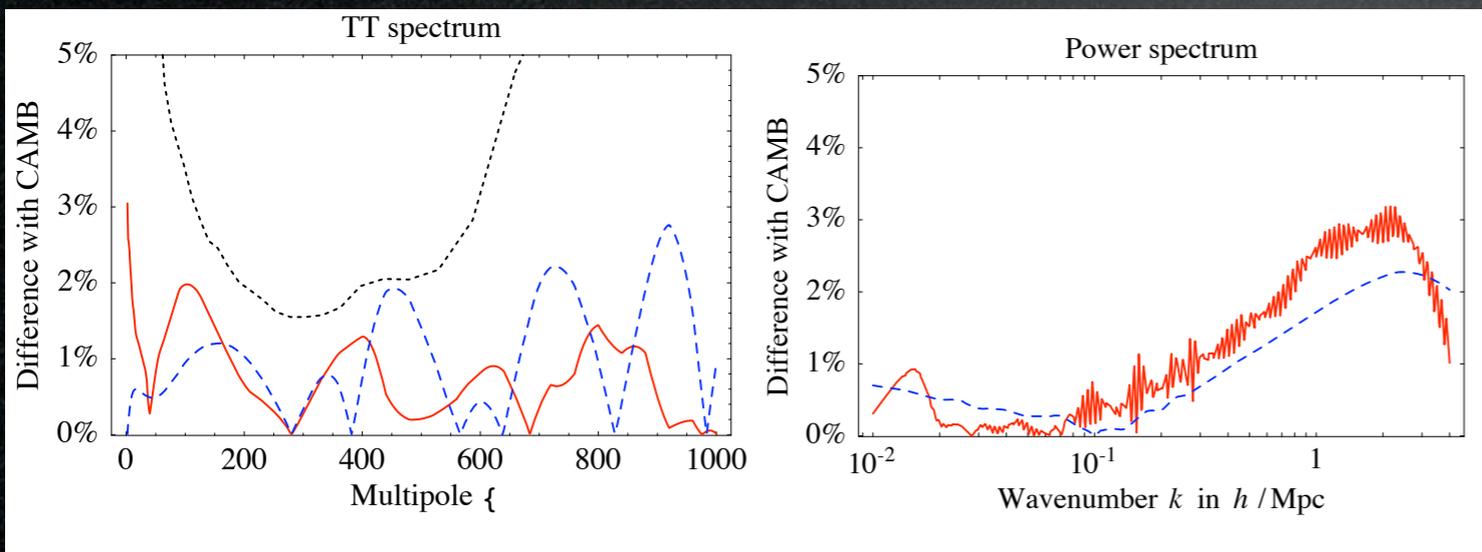
WMAP Science Team analysis:



[Spergel et al. WMAP 3yr results '05]

fit	$A_s$	$h$	$n_s$	$\tau$	$100\Omega_b h^2$	$\Omega_{DM} h^2$
WMAP3	$0.80 \pm 0.05$	$0.704 \pm 0.033$	$0.935 \pm 0.019$	$0.081 \pm 0.030$	$2.24 \pm 0.10$	$0.113 \pm 0.010$
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Parameter	WMAP Only	WMAP+ SDSS	WMAP+ LRG	WMAP + SN Gold
$100\Omega_b h^2$	$2.233^{+0.072}_{-0.091}$	$2.233^{+0.062}_{-0.086}$	$2.242^{+0.062}_{-0.084}$	$2.227^{+0.065}_{-0.082}$
$\Omega_m h^2$	$0.1268^{+0.0073}_{-0.0128}$	$0.1329^{+0.0057}_{-0.0109}$	$0.1337^{+0.0047}_{-0.0098}$	$0.1349^{+0.0054}_{-0.0106}$
$h$	$0.734^{+0.028}_{-0.038}$	$0.709^{+0.024}_{-0.032}$	$0.709^{+0.016}_{-0.023}$	$0.701^{+0.020}_{-0.026}$
$A$	$0.801^{+0.043}_{-0.054}$	$0.813^{+0.042}_{-0.052}$	$0.816^{+0.042}_{-0.049}$	$0.827^{+0.045}_{-0.053}$
$\tau$	$0.088^{+0.028}_{-0.034}$	$0.079^{+0.029}_{-0.032}$	$0.082^{+0.028}_{-0.033}$	$0.079^{+0.028}_{-0.034}$
$n_s$	$0.951^{+0.015}_{-0.019}$	$0.948^{+0.015}_{-0.018}$	$0.951^{+0.014}_{-0.018}$	$0.946^{+0.015}_{-0.019}$
$\sigma_8$	$0.744^{+0.050}_{-0.060}$	$0.772^{+0.036}_{-0.048}$	$0.781^{+0.032}_{-0.045}$	$0.784^{+0.035}_{-0.049}$
$\Omega_m$	$0.238^{+0.027}_{-0.045}$	$0.266^{+0.025}_{-0.040}$	$0.267^{+0.017}_{-0.029}$	$0.276^{+0.022}_{-0.036}$



agreement is at **few %** level and within current precision of data

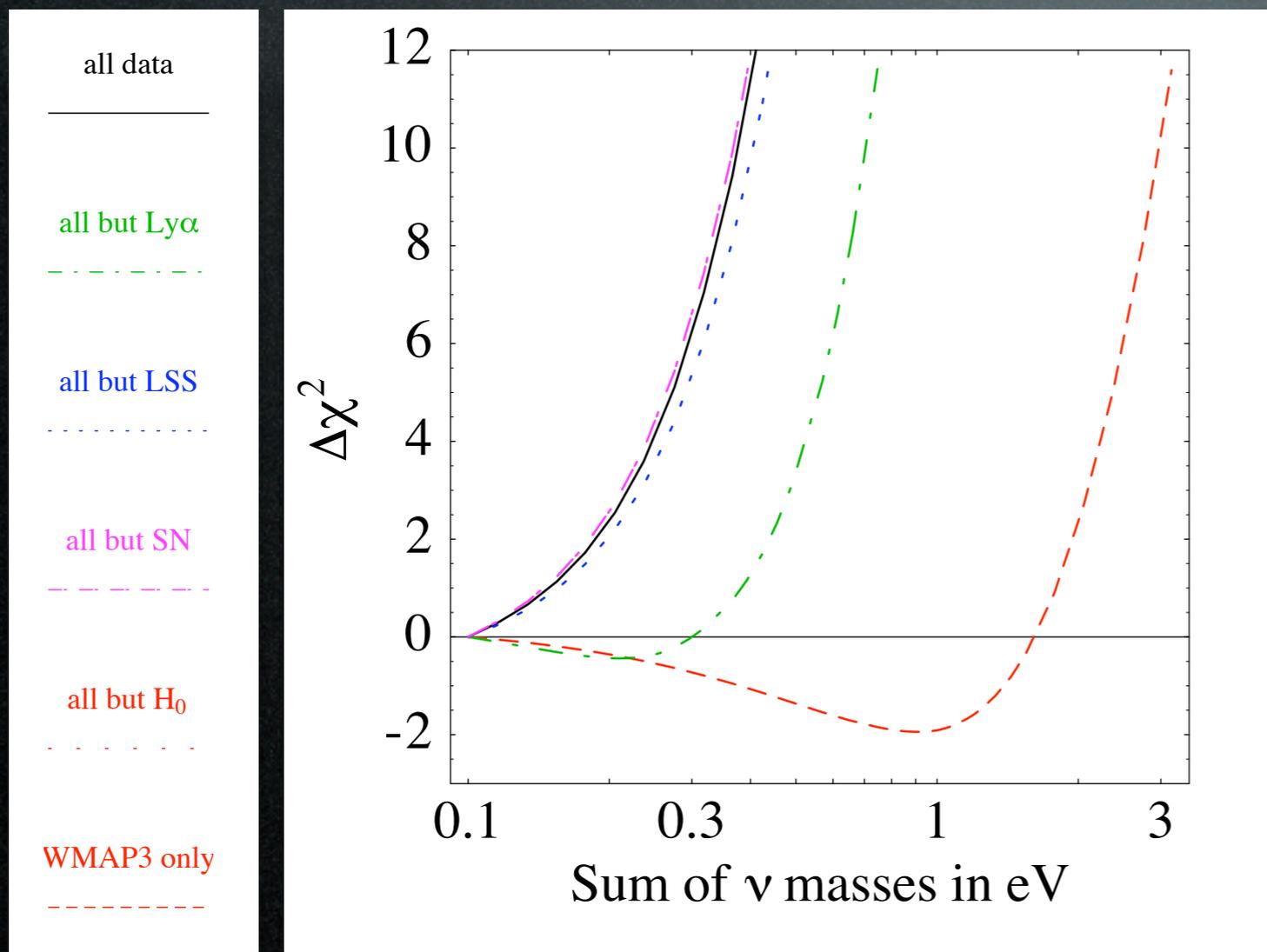
[back]

# Results

  
(3 massive neutrinos)

How **heavy** are neutrinos?

Cosmology probes  $\sum m_{\nu_i}$ .



CMB only:

$$\sum m_{\nu_i} < 2.2 \text{ eV} \quad (95\% \text{ C.L.})$$

Global fit:

$$\sum m_{\nu_i} < 0.40 \text{ eV} \quad (99.9\% \text{ C.L.})$$

dropping Ly-alpha:

$$\sum m_{\nu_i} < 0.73 \text{ eV} \quad (99.9\% \text{ C.L.})$$

**Bottom Line:** Cosmology gives dominant bound on  $\sum m_{\nu_i}$ ; the bound tightens combining relatively less safe datasets.

[back]

# Neutrino mass bounds

Particle  
Data  
Book  
2008

## LEPTONS

### Neutrino Properties

#### SUM OF THE NEUTRINO MASSES, $m_{\text{tot}}$

(Defined in the above note), of effectively stable neutrinos (i.e., those with mean lives greater than or equal to the age of the universe). These papers assumed Dirac neutrinos. When necessary, we have generalized the results reported so they apply to  $m_{\text{tot}}$ . For other limits, see SZALAY 76, VYSOTSKY 77, BERNSTEIN 81, FREESE 84, SCHRAMM 84, and COWSIK 85.

<u>VALUE (eV)</u>	<u>CL%</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
• • • We do not use the following data for averages, fits, limits, etc. • • •				
< 0.24	95	54 CIRELLI	06	COSM
< 0.62	95	55 HANNESTAD	06	COSM
< 0.52	95	56 KRISTIANSEN	06	COSM
< 0.17	95	54 SELJAK	06	COSM
< 2.0	95	57 ICHIKAWA	05	COSM
< 0.75		58 BARGER	04	COSM
< 1.0		59 CROTTY	04	COSM
< 0.7		60 SPERGEL	03	COSM WMAP
< 0.9		61 LEWIS	02	COSM
< 4.2		62 WANG	02	COSM CMB
< 2.7		63 FUKUGITA	00	COSM
< 5.5		64 CROFT	99	ASTR Ly $\alpha$ power spec
<180		SZALAY	74	COSM
<132		COWSIK	72	COSM
<280		MARX	72	COSM
<400		GERSHTEIN	66	COSM

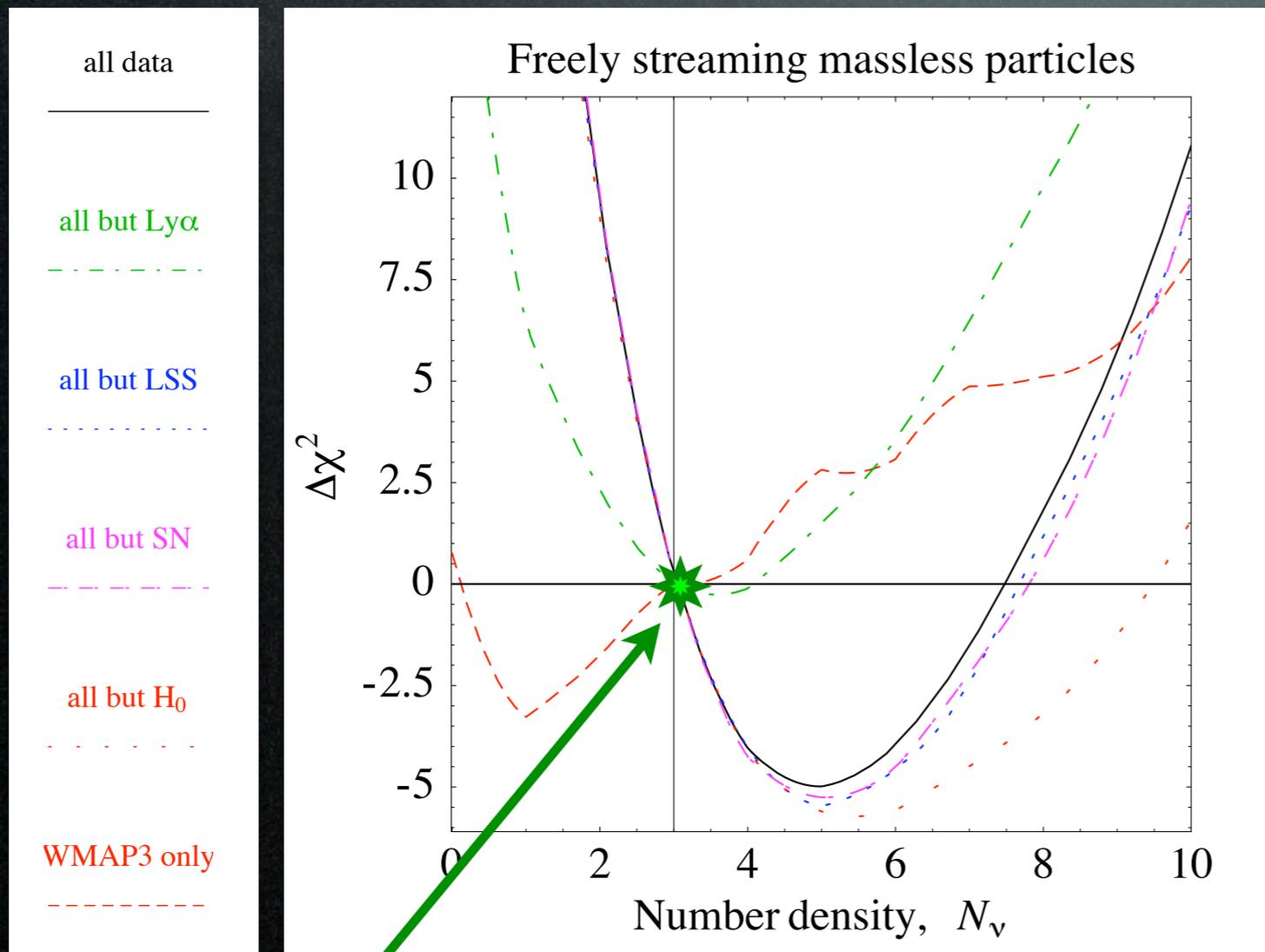
[back]

# Results

## New neutrinos?

$$\underbrace{\text{○○○}}_{3.04} \quad \underbrace{\text{○○}}_{\Delta N_\nu}$$

All  $N_\nu$  relativistic degrees of freedom contribute to the energy density.



Global fit:

$$N_\nu = 5 \pm 1$$

dropping Ly-alpha gives back

$$N_\nu \simeq 3$$

Standard cosmology

**Bottom Line:** Cosmology seems to suggest **5 neutrinos** (2 extra); but Ly-alpha are mainly driving the suggestion.

# Neutrino number bounds

Particle  
Data  
Book  
2008

## Number of Neutrino Types

The neutrinos referred to in this section are those of the Standard  $SU(2) \times U(1)$  Electroweak Model possibly extended to allow nonzero neutrino masses. Light neutrinos are those with  $m < m_Z/2$ . The limits are on the number of neutrino mass eigenstates, including  $\nu_1$ ,  $\nu_2$ , and  $\nu_3$ .

### Limits from Astrophysics and Cosmology

#### Number of Light $\nu$ Types

(“light” means  $<$  about 1 MeV). See also OLIVE 81. For a review of limits based on Nucleosynthesis, Supernovae, and also on terrestrial experiments, see DENEGRİ 90. Also see “Big-Bang Nucleosynthesis” in this *Review*.

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
• • • We do not use the following data for averages, fits, limits, etc. • • •				
$3 < N_\nu < 7$	95	<sup>3</sup> CIRELLI	06	COSM
$2.7 < N_\nu < 4.6$	95	<sup>4</sup> HANNESTAD	06	COSM
$3.6 < N_\nu < 7.4$	95	<sup>3</sup> SELJAK	06	COSM
$< 4.4$		<sup>5</sup> CYBURT	05	COSM
$< 3.3$		<sup>6</sup> BARGER	03c	COSM
$1.4 < N_\nu < 6.8$		<sup>7</sup> CROTTY	03	COSM
$1.9 < N_\nu < 6.6$		<sup>7</sup> PIERPAOLI	03	COSM
$2 < N_\nu < 4$		LISI	99	BBN
$< 4.3$		OLIVE	99	BBN
$< 4.9$		COPI	97	Cosmology
$< 3.6$		HATA	97B	High D/H quasar abs.
$< 4.0$		OLIVE	97	BBN; high $^4\text{He}$ and $^7\text{Li}$
$< 4.7$		CARDALL	96B	COSM High D/H quasar abs.
$< 3.9$		FIELDS	96	COSM BBN; high $^4\text{He}$ and $^7\text{Li}$
$< 4.5$		KERNAN	96	COSM High D/H quasar abs.
$< 3.6$		OLIVE	95	BBN; $\geq 3$ massless $\nu$
$< 3.3$		WALKER	91	Cosmology

[back]

# Non-standard modifications

A. a large primordial lepton **asymmetry**

B. neutrino interactions with **new light particles**

C. **low reheating** temperature

D. ...

[skip to conclusions]

# Non-standard modifications

A. a large primordial lepton **asymmetry**

$$L_\nu = \frac{n_\nu - n_{\bar{\nu}}}{n_\gamma}$$

Foot, Volkas PRL 75 (1995)  
P.Di Bari (2002, 2003)  
V.Barger et al., PLB 569 (2003)  
...

An asymmetry  $L_\nu \approx \eta = 6 \cdot 10^{-10}$  (baryon asym.) would be natural,  
but a priori  $L_\nu \sim \mathcal{O}(10^{-2})$  is possible.

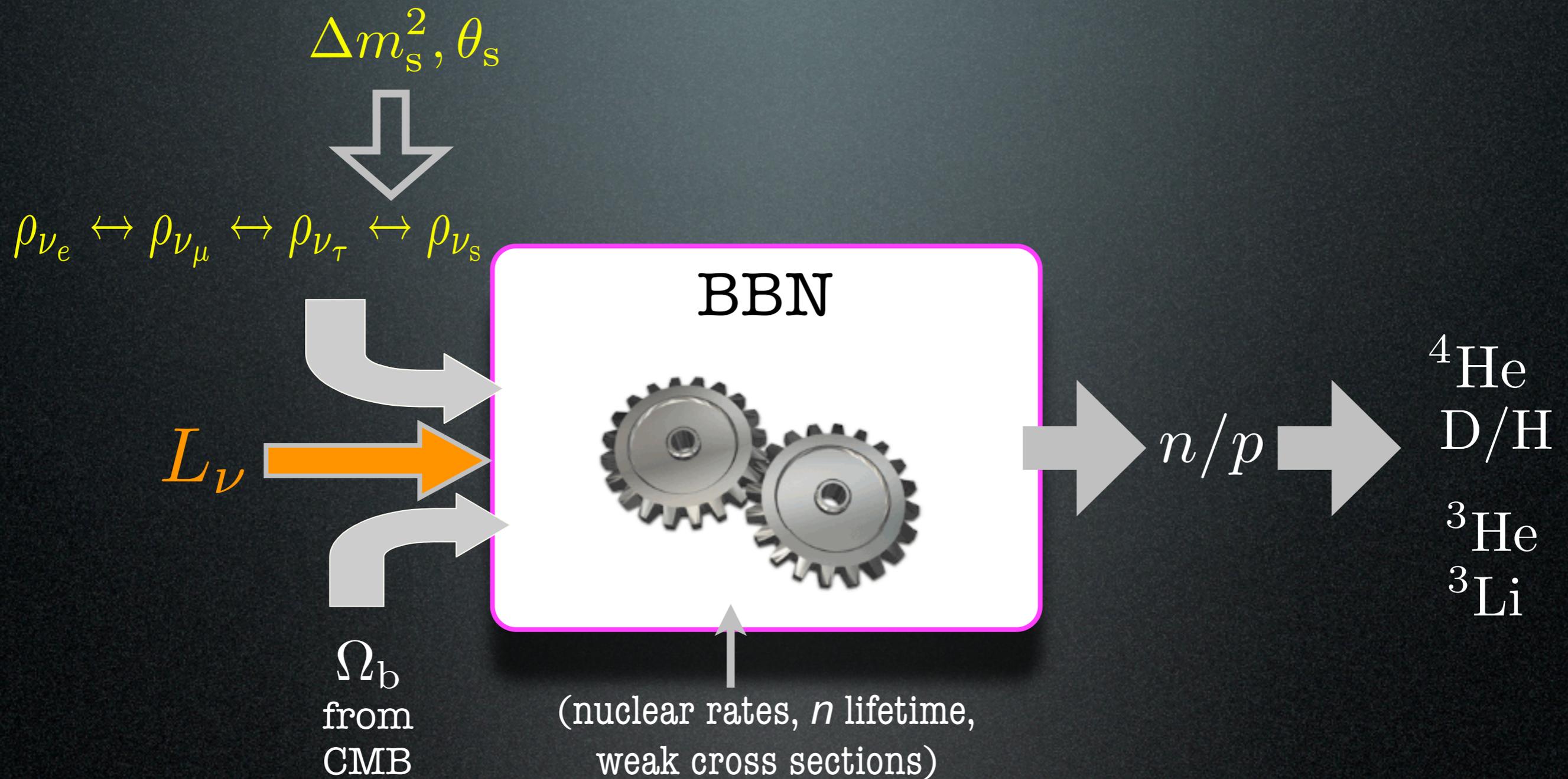
Dolgov,..., Semikoz (2002)  
Abazajian, Beacom, Bell (2002)  
Cuoco,..., Serpico (2004)  
Serpico, Raffelt (2005)

B. neutrino interactions with **new light particles**

C. **low reheating** temperature

D. ...

# BBN with lepton asymmetry



For any choice of  $\Delta m_s^2, \theta_s, L_\nu$  a prediction from BBN.

# BBN with lepton asymmetry

- follow separately  $\rho$  and  $\bar{\rho}$
- an **extra term** in the neutrino matter potentials

$$\frac{d\rho}{dt} \equiv \frac{dT}{dt} \frac{d\rho}{dT} = -i [\mathcal{H}_m, \rho] - \{\Gamma, (\rho - \rho^{\text{eq}})\}$$

3. scatterings and absorptions

2. oscillations

$$\mathcal{H}_m = \frac{1}{2E_\nu} [V \text{diag}(m_1^2, m_2^2, m_3^2, m_4^2) V^\dagger + E_\nu \text{diag}(V_e, V_\mu, V_\tau, 0)]$$

1. expansion

$$\dot{T} \sim -H(T, \rho)T$$

$$V_e \simeq \pm \sqrt{2} G_F n_\gamma \left[ \frac{1}{2} \eta + 2L_{\nu_e} + L_{\nu_\mu} + L_{\nu_\tau} \right] - \frac{199\sqrt{2}\pi^2}{180} \frac{\zeta(4)}{\zeta(3)} G_F \frac{T_\nu}{M_W^2} \left[ T^4 + \frac{1}{4} T_\nu^4 \cos^2 \theta_w (\rho_{ee} + \bar{\rho}_{ee}) \right]$$

$$V_\mu \simeq \pm \sqrt{2} G_F n_\gamma \left[ \frac{1}{2} \eta + L_{\nu_e} + 2L_{\nu_\mu} + L_{\nu_\tau} \right] - \frac{199\sqrt{2}\pi^2}{180} \frac{\zeta(4)}{\zeta(3)} G_F \frac{T_\nu T^4}{M_W^2} \left[ \frac{1}{4} T_\nu^4 \cos^2 \theta_w (\rho_{\mu\mu} + \bar{\rho}_{\mu\mu}) \right]$$

$$V_\tau \simeq \pm \sqrt{2} G_F n_\gamma \left[ \frac{1}{2} \eta + L_{\nu_e} + L_{\nu_\mu} + 2L_{\nu_\tau} \right] - \frac{199\sqrt{2}\pi^2}{180} \frac{\zeta(4)}{\zeta(3)} G_F \frac{T_\nu T^4}{M_W^2} \left[ \frac{1}{4} T_\nu^4 \cos^2 \theta_w (\rho_{\tau\tau} + \bar{\rho}_{\tau\tau}) \right]$$

$$V_s = 0$$

$\nu$  thermal masses

# BBN with lepton asymmetry

What happens qualitatively:

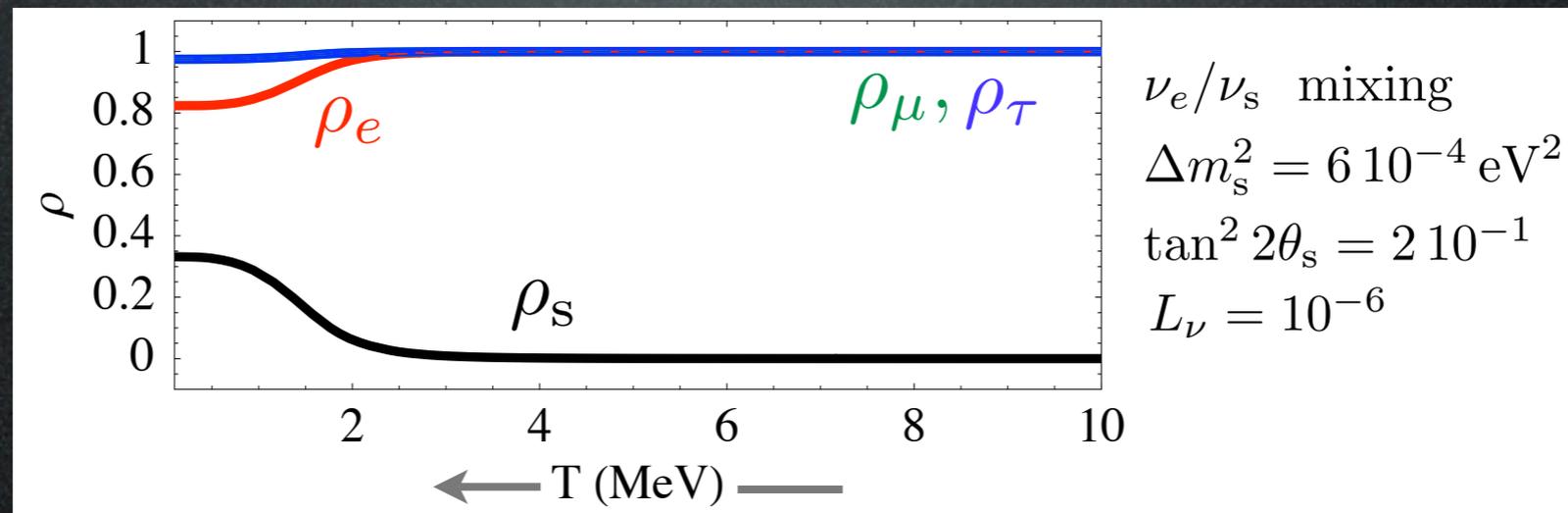
- for  $T \gg \text{MeV}$ , matter effects suppress mixing  $(\rho_{\nu_s} \simeq 0)$

- despite  $T$  decreasing, the asymmetry term inhibits

$\nu_{\text{active}} \leftrightarrow \nu_s$  oscillations



-  $\nu_s$  are less efficiently produced (or not at all)  $(\rho_{\nu_s} \ll 1)$



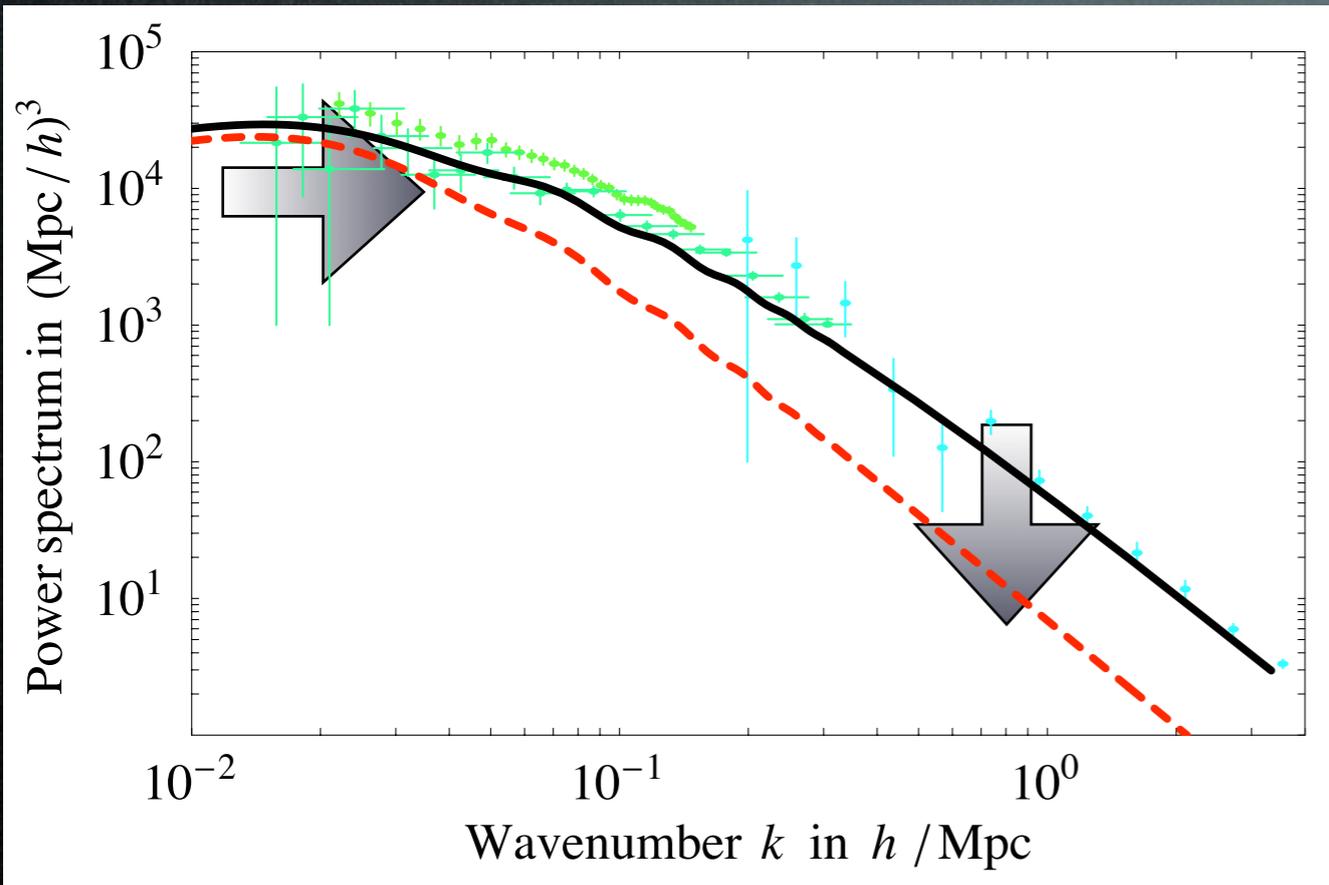
- (also: n/p weak rates affected by  $\rho_{\nu_e} \neq \bar{\rho}_{\nu_e}$ )

Assumptions:

- $L_{\nu_e} = L_{\nu_\mu} = L_{\nu_\tau}$  for simplicity
- non-dynamical  $L_\nu$
- neglect spectral distortions

# LSS with lepton asymmetry

Recall that



$\Rightarrow$

$$\sum m_{\nu_i} < 0.40 \text{ eV}$$

(@ 99.9% C.L.,  
global fit)

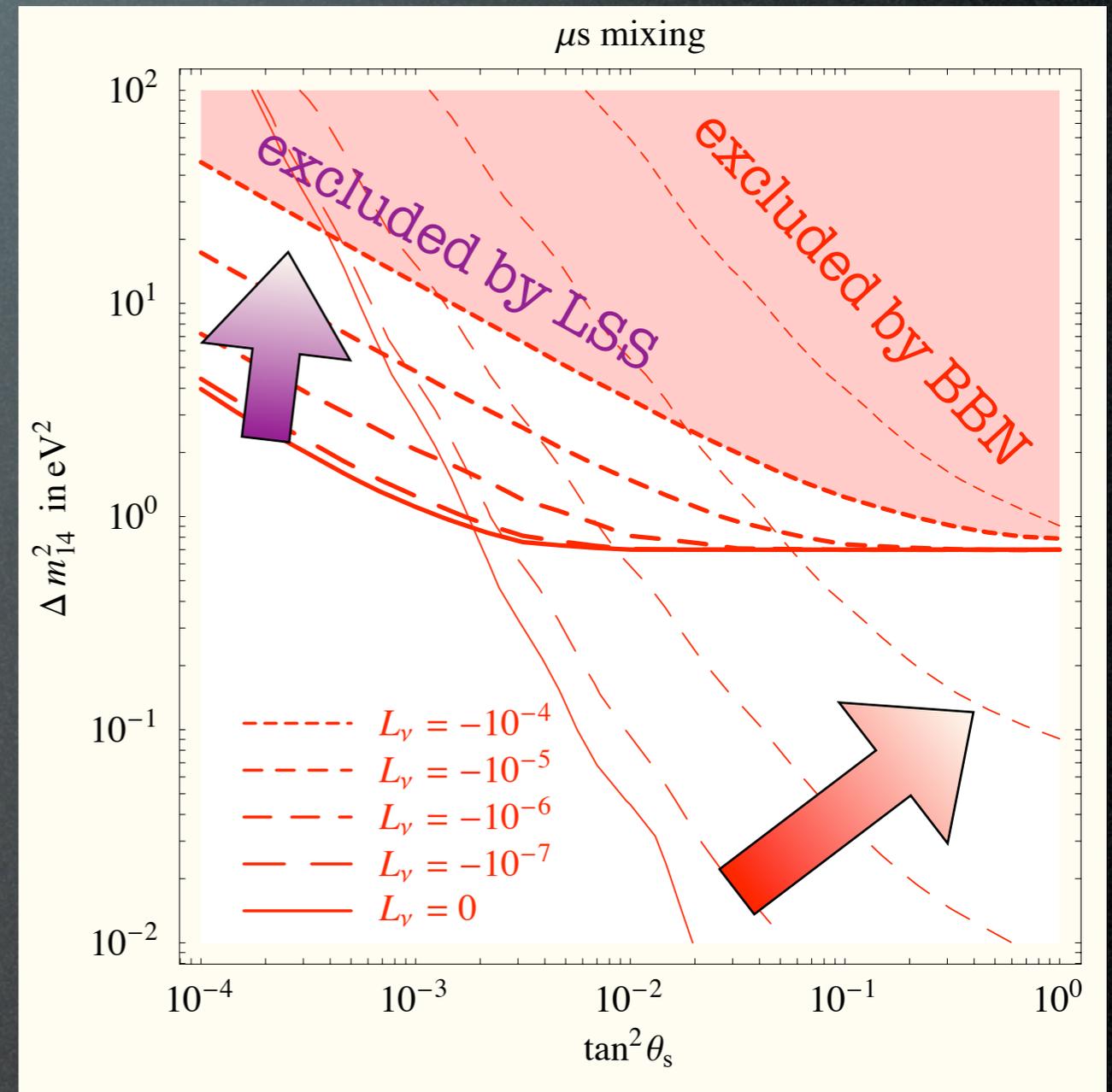
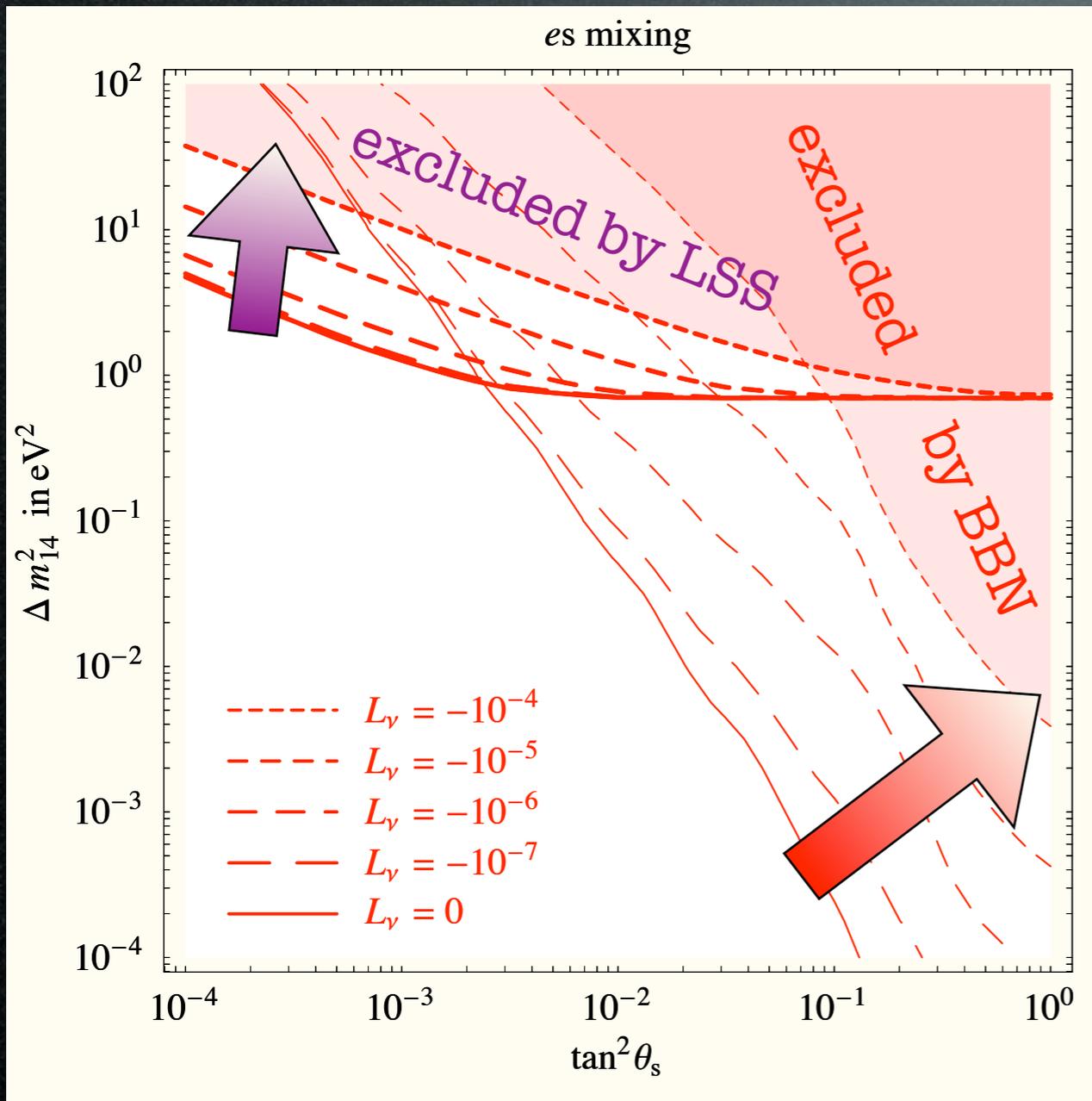
or better

$$\sum m_i \rho_i < 0.40 \text{ eV}$$

$L_\nu$  suppresses  $\nu_s$  production ( $\rho_{\nu_s} \ll 1$ ):  
the bound on  $m_4$  i.e.  $\Delta m_s^2$  is relaxed.

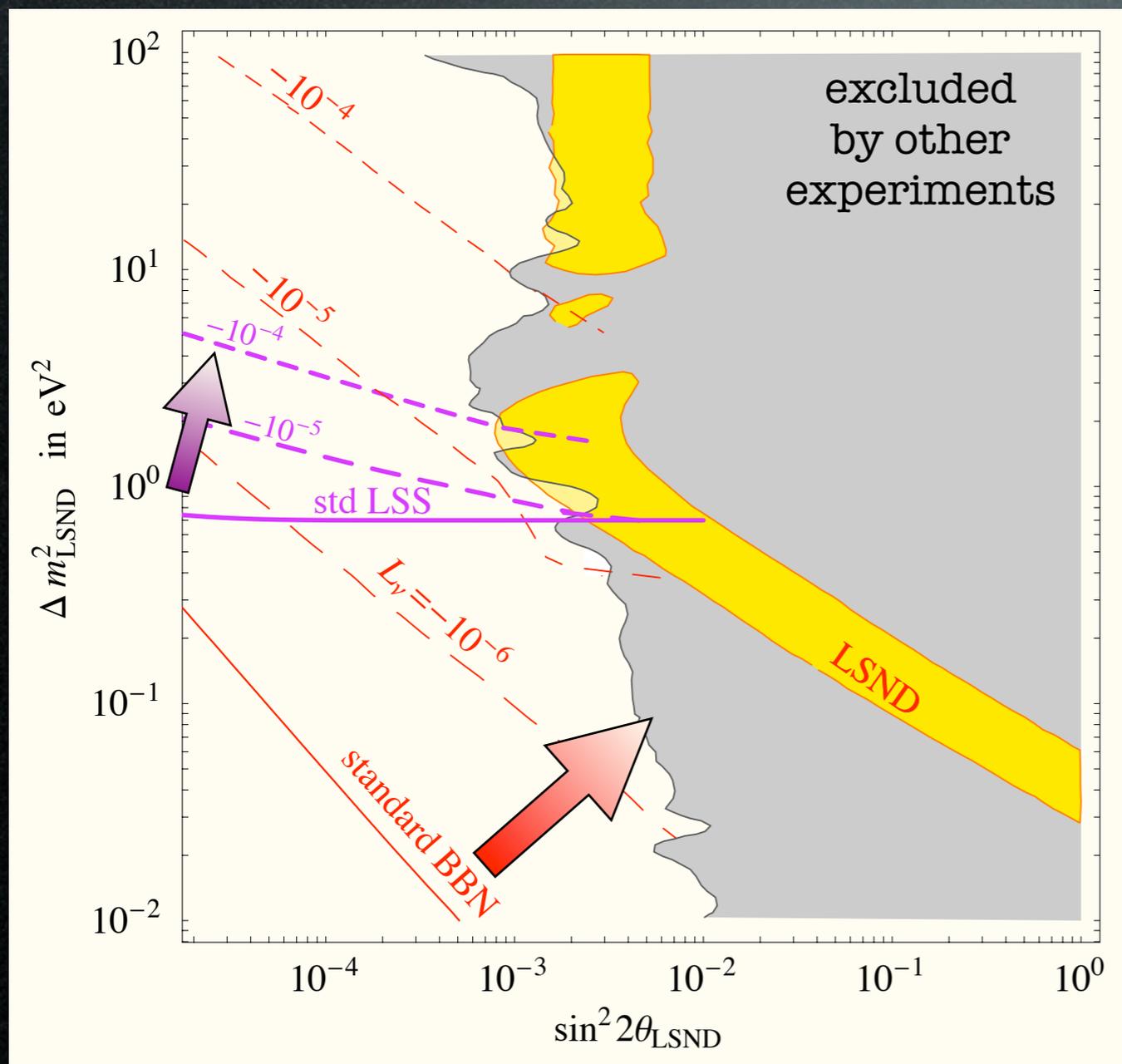
# with lepton asymmetry

Portions of the parameter space are **reopened**:



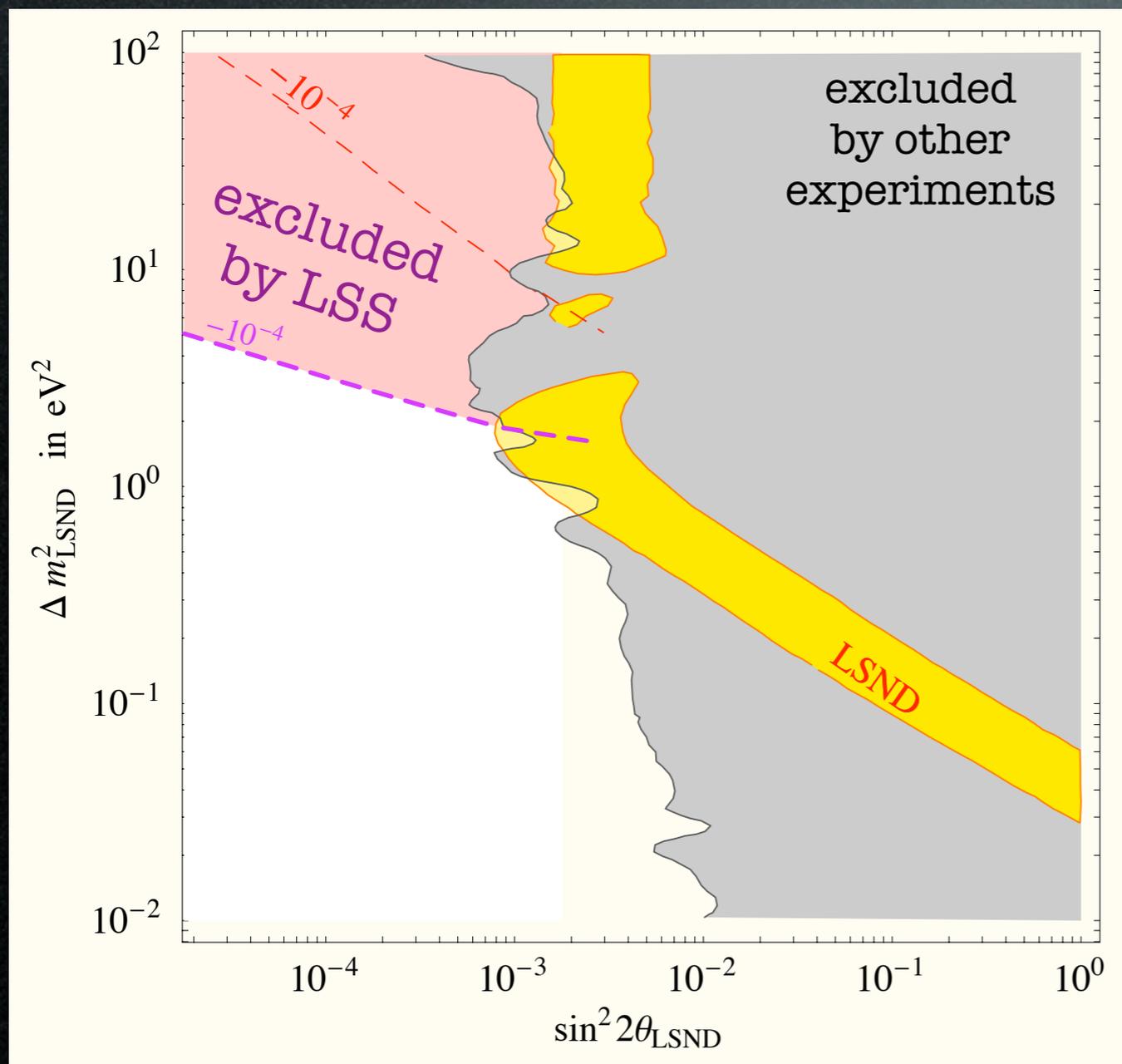
# LSND with lepton asymmetry

Portions of the parameter space are reopened:



# LSND with lepton asymmetry

Portions of the parameter space are reopened:



postulating a primordial  
asymmetry  $L_\nu \simeq -10^{-4}$   
reconciles LSND and cosmology

# Non-standard modifications

A. a large primordial lepton asymmetry

B. neutrino interactions with new light particles

C. low reheating temperature

D. ...

[skip to conclusions]

# Non-standard modifications

A. a large primordial lepton asymmetry

B. neutrino interactions with **new light particles**

couplings  $g \nu \bar{\nu} \phi$  mediate neutrino decay at late times:

neutrinos disappear  $\Rightarrow$  not subject to cosmo bounds

“Neutrinoless Universe”, Beacom, Bell, Dodelson (2004)

also for sterile neutrinos  $g \nu_s \bar{\nu} \phi$

“LSND”, Palomares-Ruiz, Pascoli, Schwetz (2005)

in general, interacting neutrinos pop up often

“MaVaNs”, Fardon, Nelson, Weiner (2004)

“Late-time masses”, Chacko, Hall et al., (2004)

C. **low reheating** temperature

D. ...

# Cosmology with sticky neutrinos

$\nu \leftrightarrow \phi$  couplings imply a **tightly coupled fluid** at recombination  
for  $g > 10^{-8}, 10^{-14}$  (decay, scattering) Hannestad, Raffelt (2005)  
 $\Rightarrow$  neutrino **free streaming** is **obstructed**

# Cosmology with sticky neutrinos

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 $\Rightarrow$  neutrino **free streaming** is **obstructed**

$N_\nu^{\text{norm}}, N_\nu^{\text{int}}, N_\phi, m_\nu, m_\phi$



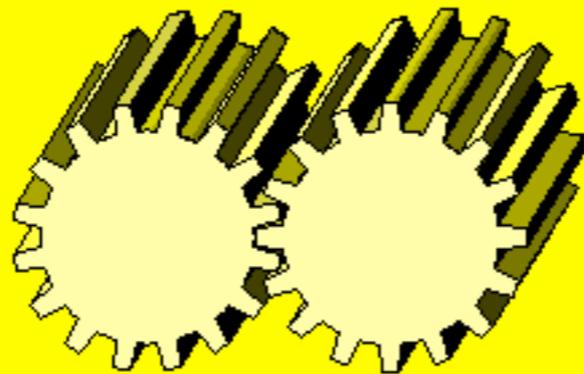
Boltzmann eqs for  
tightly coupled fluid

+

standard  $\nu$  eqs



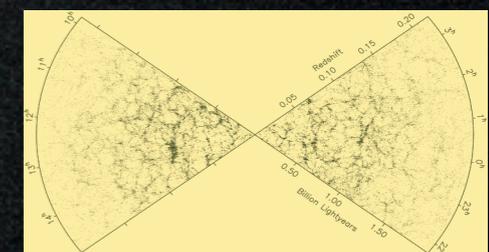
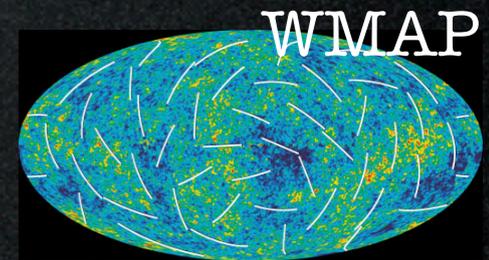
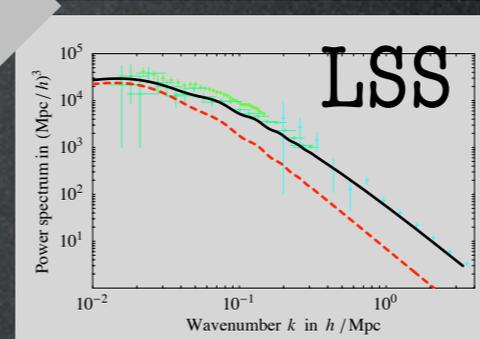
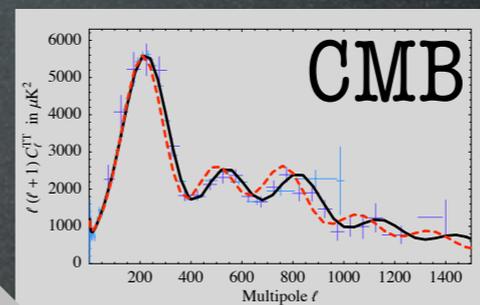
cosmological  
perturbations  
evolution



our code

$\Omega_b, \Omega_{\text{DM}}, \tau,$

$A_s, H_0, n_s$



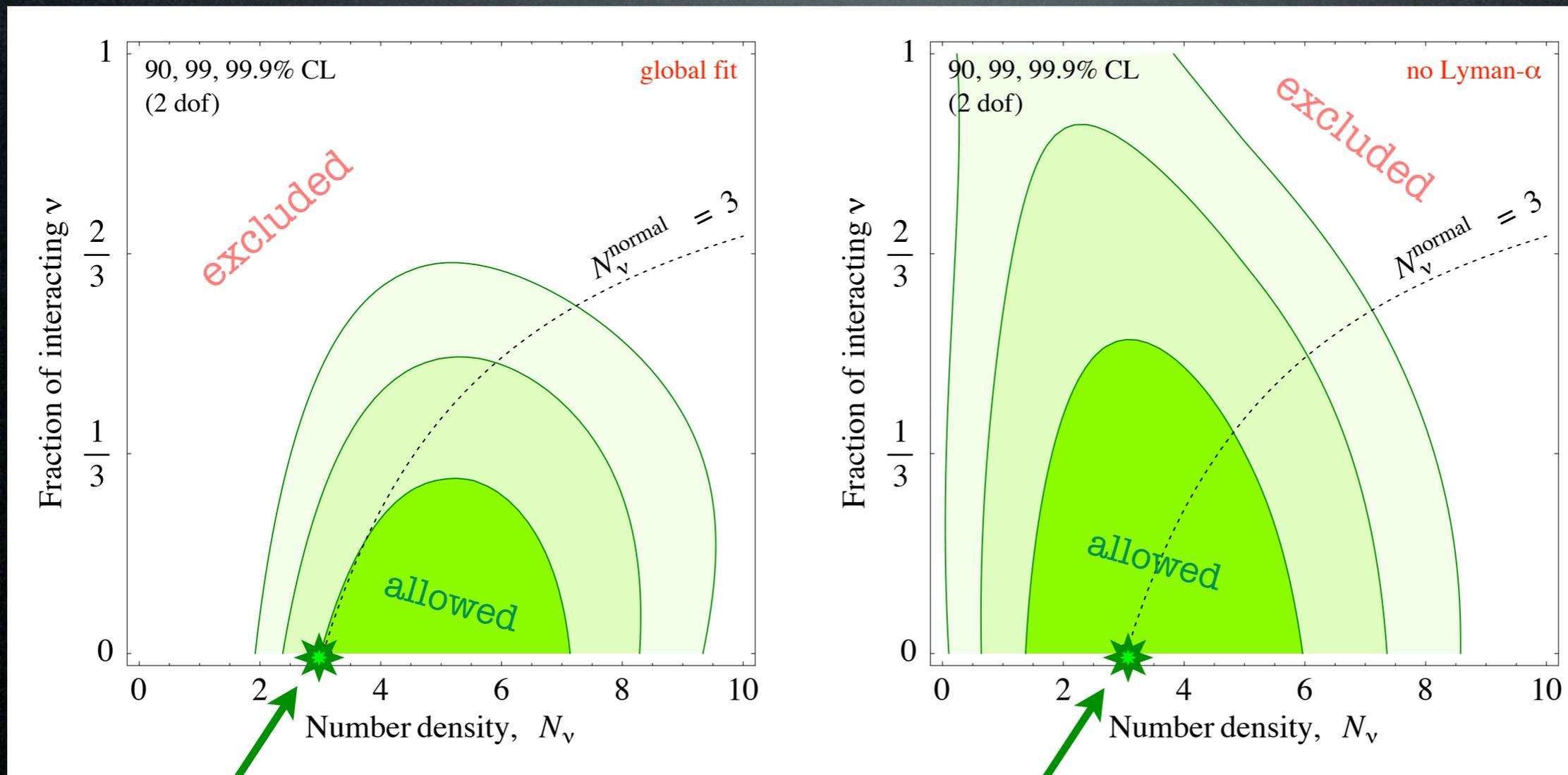
2dF, SDSS, Ly-A



Cirelli,  
Strumia  
2006

# Cosmology with sticky neutrinos

Case:  $N_\nu^{\text{norm}}$  standard neutrinos,  
 $N_\nu^{\text{int}}$  interacting with  $N_\phi$  scalars,  
 everything massless.



Cirelli, Strumia (2006)

Standard cosmology

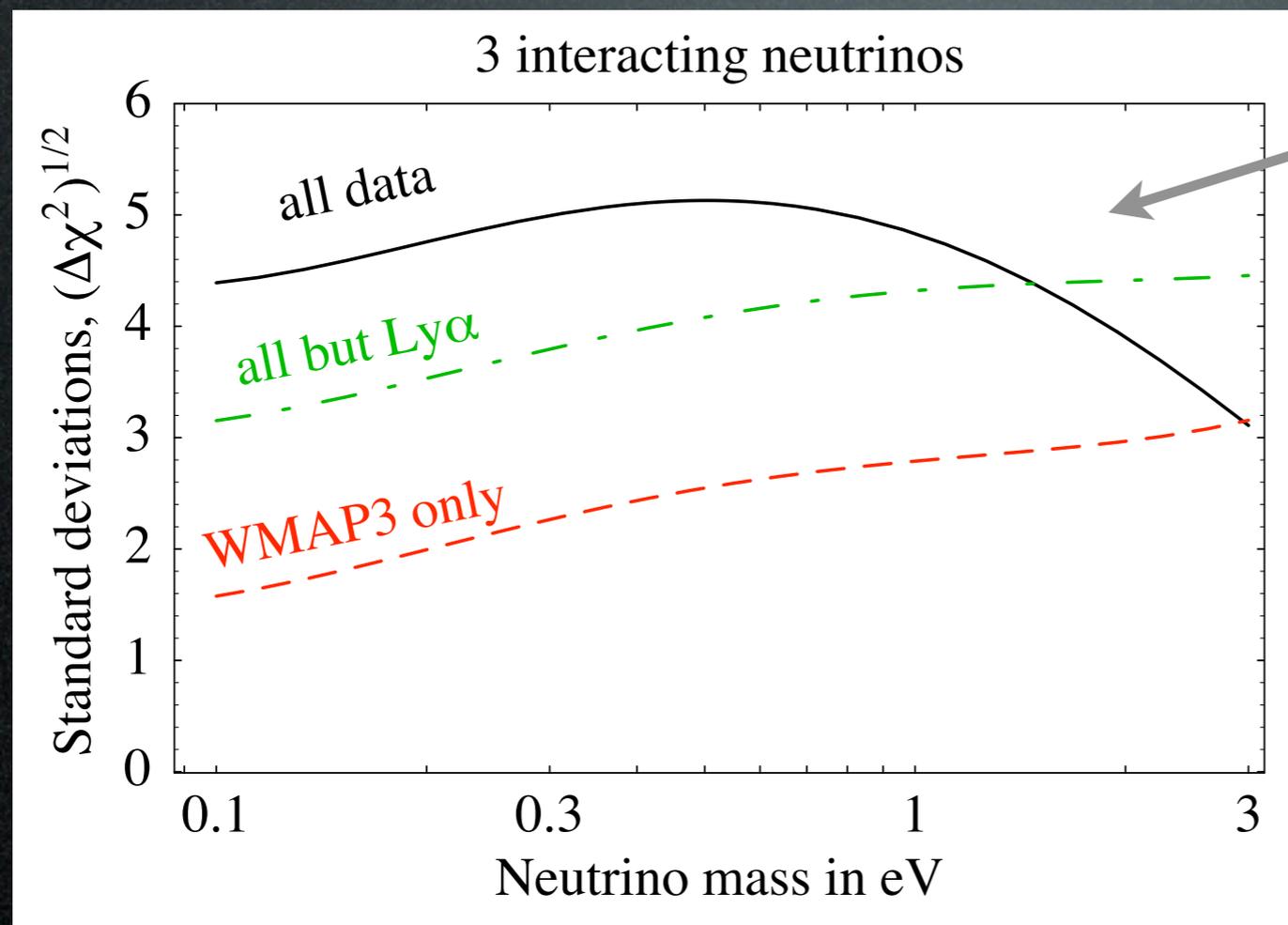
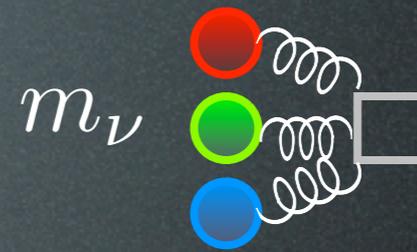
Standard cosmology

[see also Bell, Pierpaoli, Sigurdson, PRD73 (2006)]



# Cosmology with sticky neutrinos

Case: three massive neutrinos,  
interacting with a massless scalar.



disfavored at  
3 to 5  $\sigma$ .

Cirelli, Strumia (2006)  
[see also Hannestad, JCAP 2004]

*Bottom Line:* Cosmology **disfavors**, at various degrees,  
interacting (non-freely streaming) neutrinos.

# Cosmological Perturbations

$$\left. \begin{aligned} \dot{\Theta} + ik\mu\Theta &= -\dot{\Phi} - ik\mu\Psi - \dot{\tau} \left[ \Theta_0 - \Theta + \mu v_b - 1/2 \mathcal{P}_2(\mu)\Pi \right] \\ \dot{\Theta}_P + ik\mu\Theta_P &= -\dot{\tau} \left[ \Theta_P + 1/2(1 - \mathcal{P}_2(\mu))\Pi \right] \end{aligned} \right\} \text{photons}$$

$\dot{\tau} = d\tau/d\eta = -n_e\sigma_T a \quad \Pi = \Theta_2 + \Theta_{P2} + \Theta_{P0}$

$$\left. \begin{aligned} \dot{\delta}_{\text{dm}} + ikv_{\text{dm}} &= -3\dot{\Phi} \\ \dot{v}_{\text{dm}} + \frac{\dot{a}}{a}v_{\text{dm}} &= -ik\Psi \end{aligned} \right\} \text{dark matter}$$

$$\left. \begin{aligned} \dot{\delta}_b + ikv_b &= -3\dot{\Phi} \\ \dot{v}_b + \frac{\dot{a}}{a}v_b &= -ik\Psi + \frac{\dot{\tau}}{R} [v_b + 3i\Theta_1] \end{aligned} \right\} \text{baryons}$$

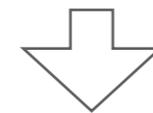
$R = 3\rho_b^0/4\rho_\gamma^0$

$$\left. \dot{\mathcal{N}} + i\frac{q_\nu}{E_\nu}k\mu\mathcal{N} = -\dot{\Phi} - i\frac{E_\nu}{q_\nu}k\mu\Psi \right\} \text{neutrinos}$$

$$\left. \begin{aligned} \dot{\delta}_x &= -(1+w)(3\dot{\Phi} + ikv_x) \\ \dot{v}_x &= -ik\Psi + \frac{\dot{a}}{a}(1-3w)iv_x - \frac{w}{1+w}ik\delta_x \end{aligned} \right\} \text{extra}$$

$$\left. \begin{aligned} k^2\Phi + 3\frac{\dot{a}}{a}\left(\dot{\Phi} - \Psi\frac{\dot{a}}{a}\right) &= 4\pi G_N a^2 [\rho_m\delta_m + 4\rho_r\delta_r] \\ k^2(\Phi + \Psi) &= -32\pi G_N a^2 \rho_r \Theta_{r,2} \end{aligned} \right\} \text{metric}$$

Massive particles, interacting among themselves and with neutrinos (i.e. non freely streaming).

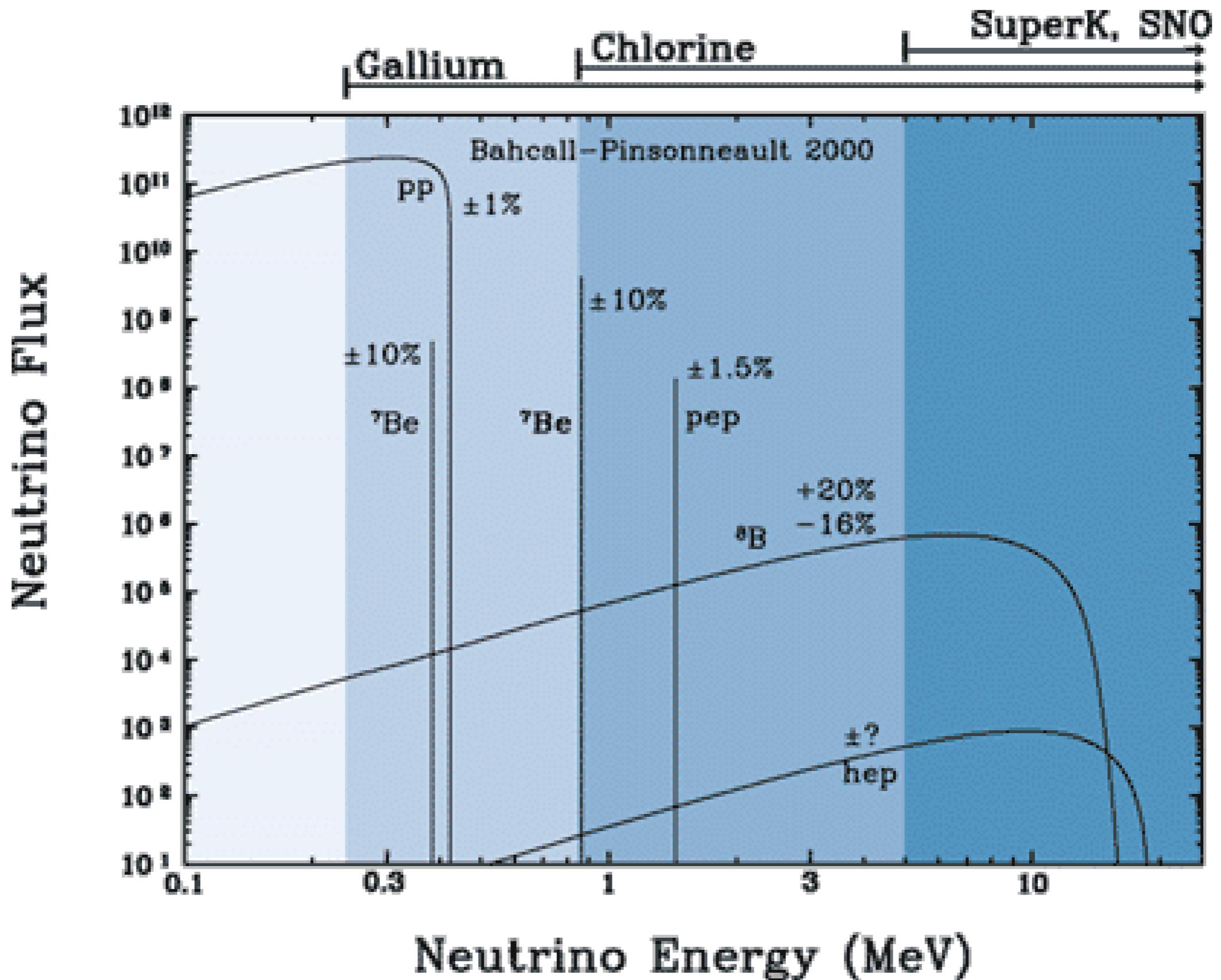


A fluid defined by  $\delta_x, v_x$ ,  
with  $w = 1/3$  when rel,  
 $w = 0$  when NR.

Contribute to the Rel/NR energy densities.

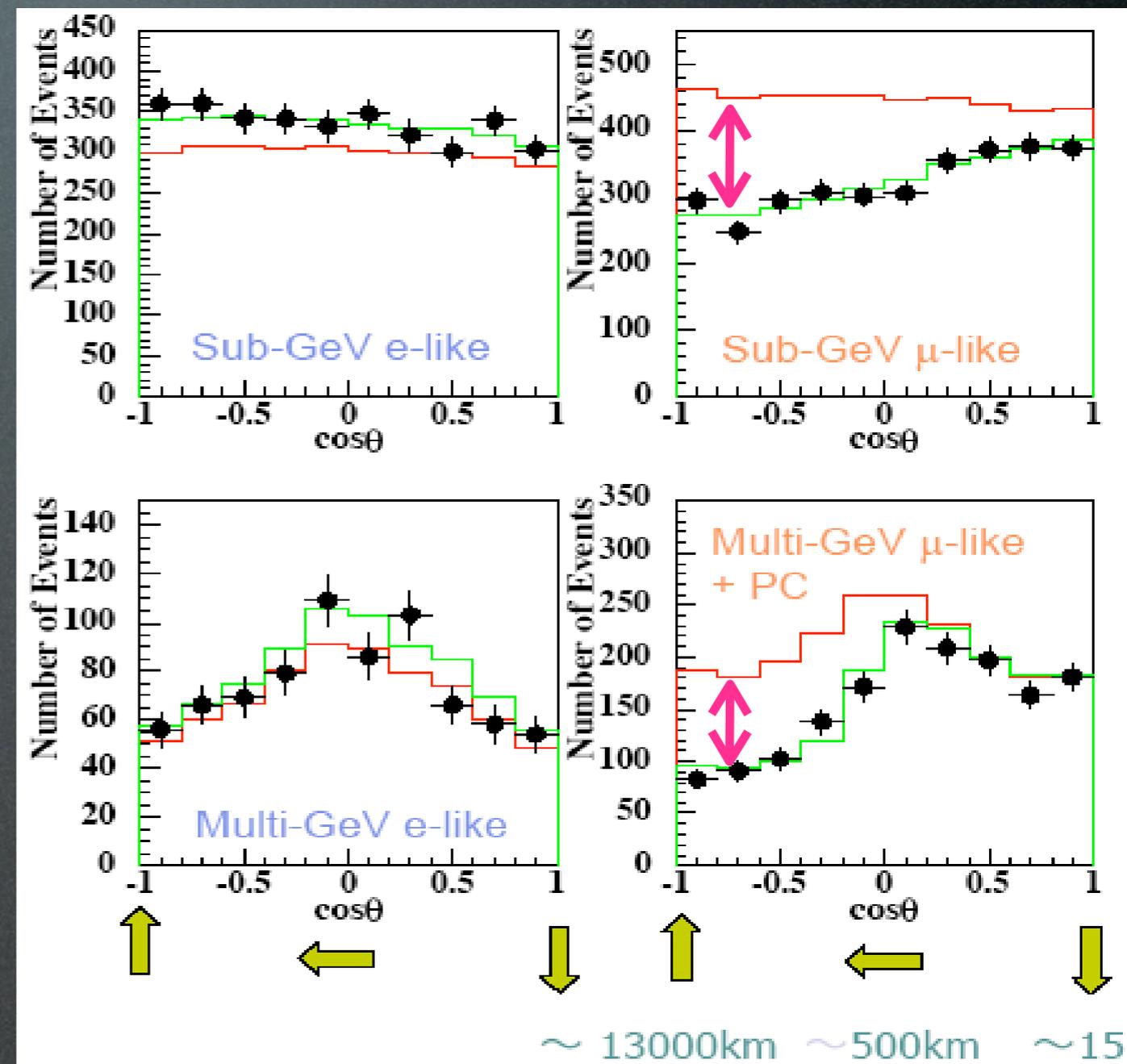
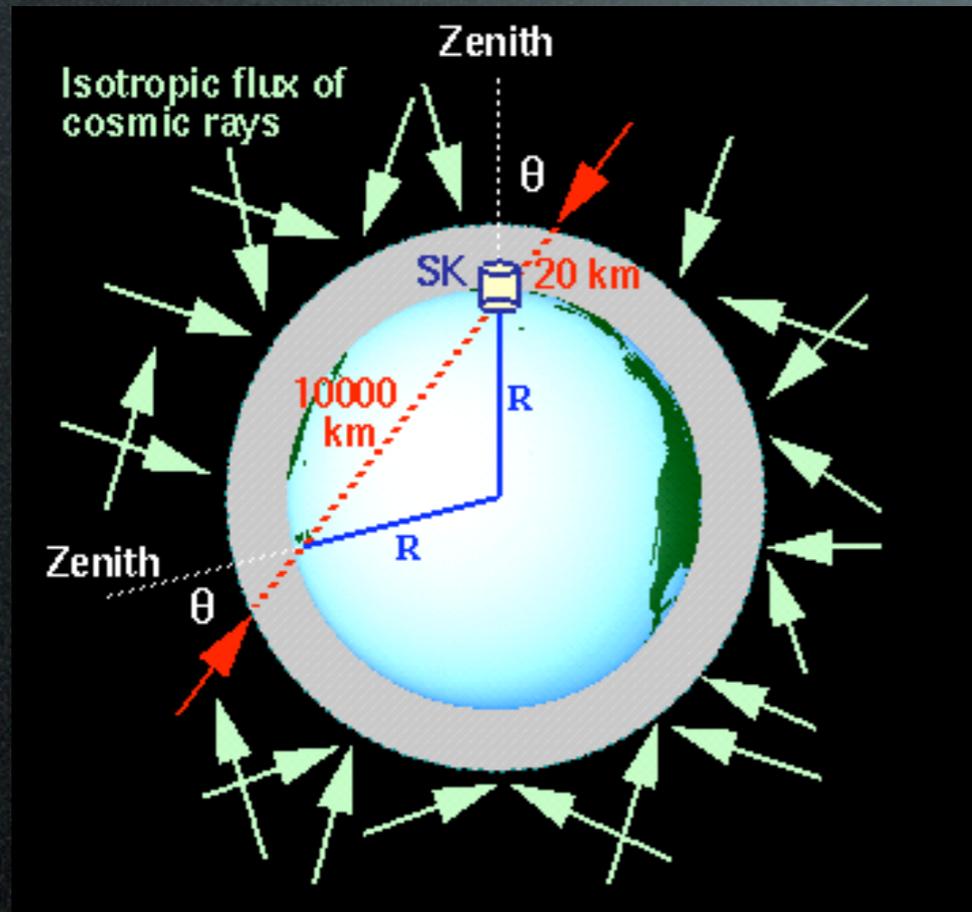
# Solar neutrino spectrum

Bahcall, Pinsonneault 2001



# Sterile neutrinos in atmo+LBL

Basics: evidence for oscillations is disappearance of  $\nu_\mu$  from below



[back to atmo]

# Sterile neutrinos in atmo+LBL

$$\nu_\mu \rightarrow \nu_\tau$$

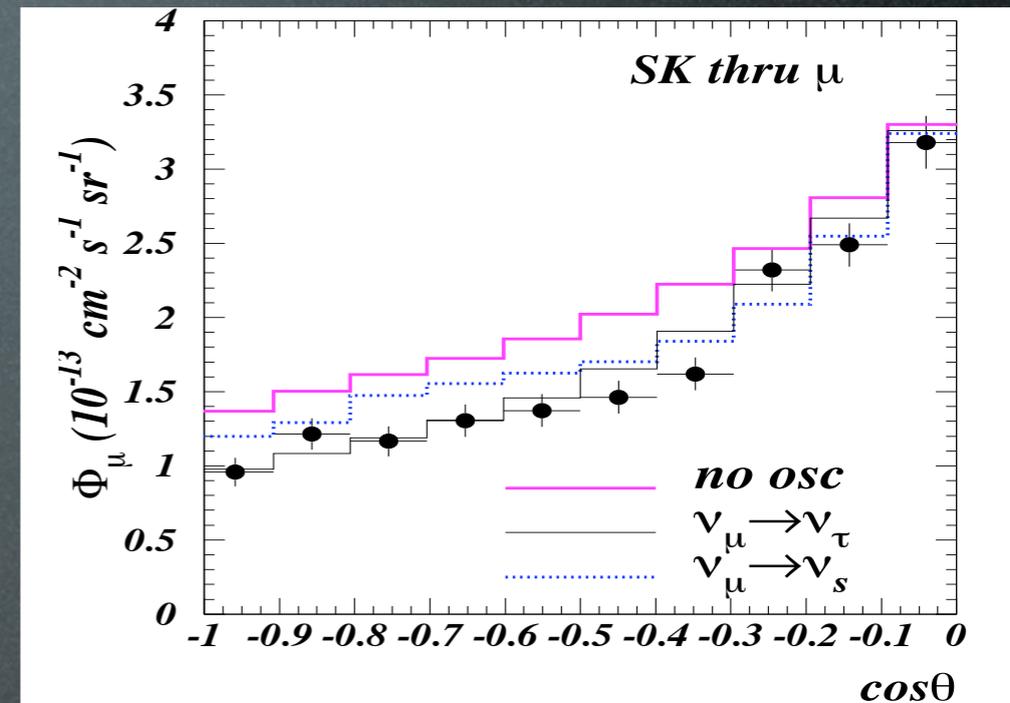
$$\nu_\mu \rightarrow \nu_s$$

(1)

no matter effects  
( $V_\mu = V_\tau$ )

matter effects  
( $V_\mu \neq V_s = 0$ )

enhanced thru- $\mu$   
and PC- $\mu$



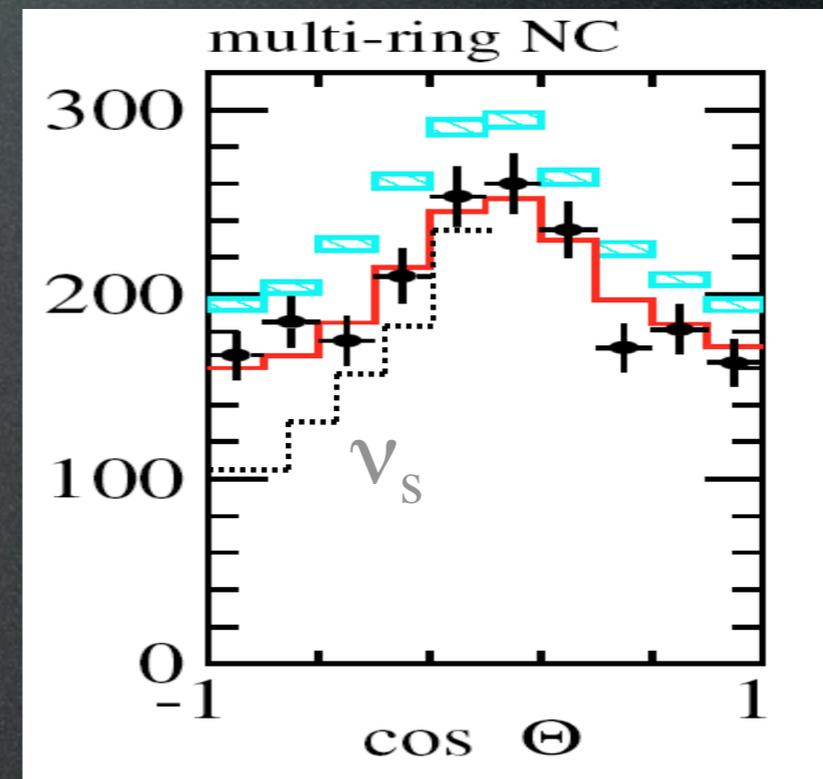
Gonzalez-Garcia, Nir 2002

(2)

$\nu_\tau$  makes NC

$\nu_s$  gives no signal

reduced NC



Kearns 2002 (adapted)

(3)

$\nu_\tau$  appearance

no  $\nu_\tau$  appearance

(still poor statistics)